PROGRAM CHAIR

Yasmin de Faria Krim, PharmD

Chairperson of the CMC Working Group DIA Regulatory Affairs Community

PROGRAM COMMITTEE

Lin-Jau (Christine) Wu Anderson, MS, RAC

Senior Research Scientist, Global Regulatory, CMC Eli Lilly and Company

Lynn Gold, MS, PhD

Vice President, Scientific and Regulatory Affairs Camargo Pharmaceutical Services, LLC

Elaine Morefield, PhD, RPh

Vice President Regulatory Affairs VaxForm. LLC.

Moheb M. Nasr, PhD, MS

Vice President, CMC Regulatory Strategy GlaxoSmithKline

Peter Richardson, PhD

Head of Quality, Human Medicines Evaluation

European Medicines Agency, European Union

Jean-Louis Robert, PhD

CHMP (EMA) Co-Opted Member / CHMP (EMA) QWP Chair CHMP (EMA), Luxembourg

Overview

Sound chemistry, manufacturing, and controls (CMC) are fundamental to the manufacture of safe, high quality, reliable biopharmaceuticals and devices. The growing complexity of products and technologies, along with increasing globalization and other factors, creates manufacturing challenges that can be difficult to resolve. Through three parallel tracks, DIA's CMC Workshop will provide a thorough understanding of the regulatory, technical, and quality requirements and strategies needed to support problem-solving as well as continuous improvements and innovation in biopharmaceutical manufacturing. Opportunities for interactive sharing of information and approaches will be an important part of the program.

Highlights

- Laser focus on regulatory applications and translations to technical and quality processes the strategy behind the science
- Facilitated discussions with a highly global, regulatory focus, concentrating on regulations and guidelines from multiple ICH and non-ICH regions by regulatory authorities from those regions
- Multiple tracks with truly comprehensive content that will meticulously cover regulatory, technical, and GMP/Quality processes and how they work together
- In-depth discussions on a broad array of innovative products including: drugs (small molecule), complex products/generics, large molecules (biologics), biosimilars, and drug device combination products

Who Should Attend

Professionals involved in:

- All areas of CMC
- Quality Assurance/Quality Control
- Regulatory Compliance
- API Development and Manufacturing
- Formulation Development and Manufacturing
- · Analytical Development



This program is co-sponsored with the American Association of Pharmaceutical Scientists



I Schedule At-A-Glance Track A: GMP/Quality Track B: Regulatory/Dossier Track C: Technical Aspects of CMC

7:00AM-5:00PM	Short Course Registration	Madison Foyer
7:00-8:00AM	Short Course Continental Breakfast and Networking	Madison Foyer
8:00AM-5:00PM	Short Course: Regulatory CMC Training – The Basics to Prepare for the CMC Workshop	Madison
		Madison
	ONDAY, APRIL 24	
7:30AM-5:30PM	Registration Continue L Broad Cont. 5 tribits and National Lines.	Plaza Ballroom Foyer
7:30-8:30AM	Continental Breakfast, Exhibits, and Networking	Plaza Ballroom Foyer
8:30-8:45AM	Welcome and Opening Remarks	Plaza Ballroom
8:45-10:15AM	Session 1: Accelerated Programs	Plaza Ballroom
IO:15-10:45AM	Refreshments, Exhibits, and Networking Break	Plaza Ballroom Foyer
10:45AM-12:15PM	Session 2: Breakout Sessions Track A: Joint Inspections Track B: QbD Approaches to Accelerated Drug Development Track C: Drug/Device – Part 1: Human Factor Studies	Plaza A Plaza C Regency
12:15-1:30PM	Luncheon	Atrium
:30-3:30PM	Session 3: Innovative Technologies	Plaza Ballroom
3:30-4:00PM	Refreshments, Exhibits, and Networking Break	Plaza Ballroom Foye
4:00-5:30PM	Session 4: Breakout Sessions Track A: Global Landscape of Falsified Medicines and Global Landscape of Serialization Track B: Dissolution Techniques Challenges Track C: Drug/Device - Part 2: Technical Challenges	Plaza A Plaza C Regency
5:30-6:30PM	Networking and Exhibits Reception	Plaza Ballroom Foye
DAY TWO TU	JESDAY, APRIL 25	
7:00AM-5:30PM	Registration	Plaza Ballroom Foyer
7:00-8:00AM	Continental Breakfast, Exhibits, and Networking	Plaza Ballroom Foyer
3:00-10:00AM	Session 5: Breakout Sessions Track A: Leveraging CDMOs to Optimize Biologics Product Development and Manufacturing Strategies Track B: Challenges in Development and Approval of Generic Non-Biological Complex Drugs (NBCDs) Track C: Drug/Device - Part 3: Global Regulatory Updates	Plaza A Plaza C Regency
I0:00-10:30AM		
10:00-10:30AM	Refreshments, Exhibits, and Networking Break	Plaza Ballroom Foyer
	Refreshments, Exhibits, and Networking Break Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges	Plaza Ballroom Foyer Plaza Ballroom
10:30AM-12:00PM 12:00-1:30PM	3	Plaza Ballroom Foyer Plaza Ballroom Atrium
10:30AM-12:00PM 12:00-1:30PM	Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges	Plaza Ballroom
10:30AM-12:00PM	Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges Luncheon Session 7: Breakout Sessions Track A: Science, Risk-Based Approaches to Post-Approval Stability Testing Track B: Biosimilars Track C: Regional Updates – Part 1: Latin America Regional Convergence Opportunities and	Plaza Ballroom Atrium Plaza A Plaza C Regency
0:30AM-12:00PM 12:00-1:30PM 1:30-3:30PM	Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges Luncheon Session 7: Breakout Sessions Track A: Science, Risk-Based Approaches to Post-Approval Stability Testing Track B: Biosimilars Track C: Regional Updates - Part 1: Latin America Regional Convergence Opportunities and Challenges	Plaza Ballroom Atrium Plaza A Plaza C
0:30AM-12:00PM 2:00-1:30PM :30-3:30PM 3:30-4:00PM	Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges Luncheon Session 7: Breakout Sessions	Plaza Ballroom Atrium Plaza A Plaza C Regency Plaza Ballroom Foyer Plaza A Plaza C
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10:30AM-12:00PM 12:00-1:30PM 12:30-3:30PM 3:30-4:00PM 4:00-5:30PM DAY THREE 7:00AM-12:00PM	Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges Luncheon Session 7: Breakout Sessions Track A: Science, Risk-Based Approaches to Post-Approval Stability Testing Track B: Biosimilars Track C: Regional Updates - Part 1: Latin America Regional Convergence Opportunities and Challenges Refreshments, Exhibits, and Networking Break Session 8: Breakout Sessions Track A: Process Validation/Continuous Verification for APIs: Challenges and Potential Benefits Track B: Update on ICH M9 Gaps Track C: Regional Updates - Part 2: Asia-Pacific WEDNESDAY, APRIL 26	Plaza Ballroom Atrium Plaza A Plaza C Regency Plaza Ballroom Foyer Plaza A Plaza C Regency
0:30AM-12:00PM 2:00-1:30PM 1:30-3:30PM 3:30-4:00PM 4:00-5:30PM DAY THREE 7:00AM-12:00PM 7:00-8:00AM	Session 6: ICH Q12 Life Cycle Management: Benefits and Challenges Luncheon Session 7: Breakout Sessions Track A: Science, Risk-Based Approaches to Post-Approval Stability Testing Track B: Biosimilars Track C: Regional Updates - Part 1: Latin America Regional Convergence Opportunities and Challenges Refreshments, Exhibits, and Networking Break Session 8: Breakout Sessions Track A: Process Validation/Continuous Verification for APIs: Challenges and Potential Benefits Track B: Update on ICH M9 Gaps Track C: Regional Updates - Part 2: Asia-Pacific WEDNESDAY, APRIL 26 Registration	Plaza Ballroom Atrium Plaza A Plaza C Regency Plaza Ballroom Foyer Plaza A Plaza C Regency Plaza A Plaza C Regency
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Learning Objectives

At the conclusion of this Workshop, participants should be able to:

- Discuss the current CMC topics for chemical and biological products in a globalized environment
- Explain challenges and opportunities for accelerated drug development
- Describe recent ICH updates
- Compare challenges in the area of drug delivery devices
- Outline challenges in preventing falsified medicines in a global landscape
- Assess current situation for biosimilars approval
- State regulatory updates in International markets
- Discuss process monitoring for CMC

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Short Course 1 0.8 CEUs Workshop 1.7 CEUs

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SHORT COURSE | SUNDAY, APRIL 23

7:00AM-5:00PM **Short Course Registration** 7:00-8:00AM **Short Course Continental Breakfast and Networking** 8:00AM-5:00PM **Short Course** Regulatory CMC Training - The Basics to Prepare for the CMC Workshop DIA will provide lunch Instructors 11:45AM-12:45PM Elaine Morefield, PhD Vice President Regulatory Affairs VaxForm, LLC. Lin-Jau (Christine) Wu Anderson, MS Senior Research Scientist, Global Regulatory, Chemistry, Manufacturing, and Control Eli Lilly and Company This course will cover the basics of regulatory CMC topics that will be covered during the Workshop at a higher level. It will allow you to gain a better understanding about regulatory applications in the US and abroad, stability requirements, and inspections. The course will jump start your knowledge to allow you to make the most of your time during the full Workshop. **Learning Objectives** At the conclusion of this short course, participants should be able to: • Review the basics of Chemistry and manufacturing controls • Interpret and analyze CMC regulations to facilitate better understanding of the topics in the CMC Workshop

• Improve skills in the CMC regulatory area to enhance work performance



7:30AM-5:30PM	Registration				
7:30-8:30AM	Continental Breakfast, Exhibits, and Networking				
8:15-8:45AM	Welcome and Opening Remarks Sudip Parikh, PhD Senior Vice President & Managing Director DIA Americas Program Chair Yamin de Faria Krim, PharmD Chairperson of the CMC Working Group DIA Regulatory Affairs Community				
8:45-10:15AM	Session 1 Accelerated Programs Session Chair Silke Klick, PhD Regulatory CMC Director AstraZeneca, Sweden This session will give an overview of accelerated programs in a global regulatory environment. Challenges in the CMC area will be illustrated by case studies, providing both industry and regulator perspective.				
	Expedited Programs - Implications and Innovations in Quality Assessment Sarah Pope Miksinki, PhD Director, Office of New Drug Products, Director (Acting), Office of Surveillance, OPQ CDER, FDA	Accelerated Programs – Experiences from a Small Molecule NME Global Roll-Out Silke Klick, PhD Regulatory CMC Director AstraZeneca, Sweden			
	Manufacturing Challenges and Opportunities for Accelerated Development Programs Earl Dye III, PhD Director, Technical Regulatory Policy Genentech, A Member of the Roche Group				
10:15-10:45AM	Refreshment and Networking Break				

10:45AM-12:15PM

Session 2: Concurrent Breakout Sessions

TRACK A

Joint Inspections

Session Chair

Zedong Dong, PhD

Quality Assessment Lead (Acting)

Frequently, new drug applications and post-approval CMC changes may require facility inspections. In addition to the investigator(s), reviewers and subject matter experts may also participate in the audit. Speakers from regulatory agencies and industry will share their knowledge and experience on manufacturing facility inspections. A brief panel discussion will follow to address your questions and discuss approaches for a successful inspection from both the regulatory and industry perspectives.

CDER Participation on Pre-Approval and Post-Approval Inspections

Thuy Thanh Nguyen, MPH

Quality Assessment Lead (Acting) FDA

Pre-Approval and Post-Approval Inspections - ORA Role

CAPT. Sharon K. Thoma, PharmD, RPh

National Expert of Pharmaceutical Inspections, ORA, OMPTO FDA

Development and Current State of Joint Inspections: Review and Inspection – Industry Perspective

Joseph C. Famulare

Vice President, Global Compliance and External Collaboration Genentech. A Member of the Roche Group

Joint Review and Inspection, an **Industry Perspective**

John Groskoph

Senior Director, New Products CMC, Global CMC Pfizer Inc

TRACK B

QbD Approaches to Accelerated **Drug Development**

Session Chair

Elaine Morefield, PhD

Vice President Regulatory Affairs VaxForm. LLC.

Breakthrough Therapy Designation (BTD), Priority Medicines (PRIME), Sakigake, and other accelerated pathways facilitate earlier patient access to innovative medicines. The timing of the accelerated pathway designation and corresponding marketing application can impact CMC as well as current Good Manufacturing Practice (GMP) development strategies and activities. This session will discuss how implementing a QbD paradigm can facilitate accelerated drug development and approval. Timing of development milestones in early phases, the use of risk to focus development decisions, how novel manufacturing techniques can support speed to market, various approaches for life cycle management that leverage enhanced product and process understanding, and regulatory approaches to meet the rapid development timelines for accelerated approvals will be discussed. During the panel discussion, you will have the opportunity to ask questions and share ideas on using QbD approaches for meeting accelerated timelines.

Leveraging QbD Paradigm for Accelerated Product Development: A Regulatory Perspective

Sharmista Chatterjee, PhD

Division Director (Acting), OPF, OPQ CDER, FDA

Expedited Drug Development with Quality by Design

James Bush

Associate Director Syner-G Pharma Consulting, LLC

CMC QbD Strategies for Accelerated **Pathways**

Terrance Ocheltree, PhD, RPH

Senior Director Regulatory Policy & Intelligence AbbVie Inc.

TRACK C

Drug/Device - Part 1: Human Factors **Studies**

Session Chair

Andrew Chang, PhD

Vice President, Quality and Regulatory Compliance, Product Supply Quality Novo Nordisk, A/S

This session will address the current regulatory landscape of Human Factors usability testing for drug/device combination products. The development of advanced drug delivery technologies is bringing new regulations and technical requirements with regards to usability of these devices. Last year, the FDA and the MHRA published draft guidelines for Human Factors studies. The session will provide recommendations/expectations for Human Factors analysis and testing, based also on experience with the existing CDRH FDA HFF Guide from 2016 and IEC62366-1 Usability for Medical Devices.

Irene Z. Chan, PhD

Associate Director, Divison of Medication Error, Prevention and Analysis, OSE CDER. FDA

Usability and Human Factors Engineering: Integration with Risk Management and Design Controls

Senior R&D Engineer, Design and Controls Novo Nordisk, Denmark

Regulatory Perspectives on Planning Human Factors Studies of Combination Products

Becky Leibowitz, PhD

Associate Director, Regulatory Affairs, CMC Medical Devices and Combination **Products**

Janssen Research & Development

12:15-1:30PM

Luncheon and Networking

1:30-3:30PM

Session 3

Innovative Technologies

Session Chair

Peter Richardson, PhD

Head of Quality, Human Medicines Evaluation Division EMA, United Kingdom

This session will look at innovative technologies for the manufacture of pharmaceutical products, with a focus on continuous manufacture (CM). Over recent years, CM has become of increasing interest for manufacturers, offering many potential benefits. Experience is growing in this field and a number of CM processes have been approved by regulators and with many companies considering applying this process technology, this will continue to grow. Challenges such as batch definition and traceability, dynamic control strategies, use of Process Analytical Technologies, validation strategies, and specifications are some of the areas which can require new perspectives from both industry and regulators. The session will give broad ranging perspectives from regulators and industry participants for small and large molecule examples.

Session introduction and EU Regulatory Perspectives on Innovative Technologies

Peter Richardson, PhD

Head of Quality, Human Medicines Evaluation Division EMA, United Kingdom

US FDA Regulatory Perspectives on Innovative Technologies

Sau "Larry" Lee

Deputy Director (Acting), OPQ Emerging Technology Team Chair, Office of Testing and Research, OPQ

Industry Perspective for Implementing Continuous Manufacturing for Small Molecules

Diane Zezza. PhD

Vice President, Head Regulatory Affairs Global Drug Development

Novartis Pharmaceuticals Corporation

Industry Perspective for Implementing Continuous **Manufacturing for Biopharmaceuticals**

Nick Keener III, PhD

Director of Process Development Amgen

Panelist

(Joining Session Speakers)

Yoshihiro Matsuda, PhD

Senior Scientist (for Quality), Pharmacist PMDA, Japan

3:30-4:00PM

Refreshment and Networking Break

DIA 2017 Global Annual Meeting

- 10+ Tracks, 160 Sessions
- DIAmond Sessions
- Preconference Short Courses
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4:00-5:30 PM

Session 4: Concurrent Breakout Sessions

TRACK A

Global Landscape of Falsified **Medicines and Global Landscape** of Serialization

Session Chair

Terrance Ocheltree, PhD

Senior Director Regulatory Policy and Intelligence AbbVie Inc.

To combat the increasing risk of falsified medicines in the global market many countries have or plan to initiate serialization and traceability requirements. The lack of coordination of these efforts has the potential to create conflicting requirements and complex operational challenges for all stakeholders in the pharmaceutical supply chain. This session will highlight the current challenges facing the pharmaceutical industry to track and trace global medicinal products, discuss harmonized approaches pertaining to serialization, and provide a regulator's perspective to addressing falsified medicines. During the panel discussion, you will have the opportunity to ask questions and share ideas on approaches to secure pharmaceutical products supply chains to ensure that patients receive safe and effective medicinal products.

Supply Chain Integrity: FDA Perspective on Falsified Medicines and Serialization

CDR Eleni Anagnostiadis

Director, Division of Supply Chain Integrity CDER. FDA

Global Development in Serialization and the Need for Harmonization

Eric M. Marshall, JD

Senior Director Leavitt Partners

DSCSA - Drug Supply Chain Security Act

Lloyd Mager

Global Traceability Lead, Supply Chain **Traceability Operations** AbbVie

TRACK B

Dissolution Techniques Challenges

Session Co-Chairs

Lynn Gold, PhD

Vice President, Scientific and Regulatory

Camargo Pharmaceutical Services, LLC

Kathy Kemme

Associate Director of CMC Services Camargo Pharmaceutical Services, LLC

Innovation is essential to the development of novel pharmaceutical drug products, such as Non-Biologic Complex Drugs (NBCDs), such as nanoparticles, microspheres, parenterals and suspensions, which present unique challenges to the development program, impacting the design of a meaningful dissolution method. There are many challenges to dissolution for the standard drug products and the drug product complexity increases the dissolution method challenges become more complex as well. The challenges take many forms such as sampling, apparatus, parameters, optimum statistical analysis, and regulatory acceptability. This session will explore various aspects of these challenges from historical, scientific, and regulatory perspectives.

Challenges in Developing **Dissolution Methods for Non-Conventional Suspensions, Implants,** and Stents

Vivian Gray

President

V.A. Gray Consulting, Inc.

Statistics for Dissolution Methods for Novel Dosage Forms/Non-**Biologic Complex Drugs**

Helen Strickland

Senior Statistical Consultant GlaxoSmithKline

Regulatory Challenges for **Dissolution Methods for Novel Dosage Forms/Non-Biologic Complex Drugs**

Okpo Eradiri, PhD

Acting Quality Assessment Lead FDA

TRACK C

Drug/Device - Part 2: Technical Challenges

Session Chair

Douglas Mead

Director, CMC Global Regulatory Affairs, Janssen Pharmaceutical Companies of Johnson & Johnson

Technical challenges in co-developing a delivery device with a drug or biologic are often underestimated and addressing them early on can mitigate delays later in development. The expected Design Controls approach will lead to user and technical design requirements and a "combination product design" with performance specifications that must be documented, verified in bench testing. and validated against user needs. We must also define control strategies for the design and manufacture of combination products to ensure quality attributes for safety and performance are established and confirmed. "Platform" delivery devices suitable for multiple drugs can be an important goal to streamline across product development programs, and ensuring high volume manufacturability for launch can raise significant challenges while providing life cycle advantages. This session will include a mixed discussion of technical challenges associated with the development control strategies. manufacturing considerations, and bridging strategies with Q&A.

Combination Product Control Strategy Development

Suzette Roan

Associate Director, Regulatory Affairs **CMC Combination Products** Biogen

Technical Challenges and Opportunities in Device Development

E. Guan

Director, Drug Delivery and Device Development MedImmune

Clinical and Quality Considerations Applicable to Combination Product Bridging Principles

Douglas Mead

Director, CMC Global Regulatory Affairs, Janssen Pharmaceutical Companies of Johnson & Johnson

5:30-6:30PM

Networking and Exhibits Reception

DAY TWO	D TUESDAY, APRIL	25			
7:00AM-5:30PM	Registration				
7:00-8:00AM	Continental Breakfast and Networking				
8:00-10:00AM	Session 5: Concurrent Breakout Se	essions			
	TRACK A	TRACK B	TRACK C		
	Leveraging CDMOs to Optimize Biologics Product Development and Manufacturing Strategies	Challenges in Development and Approval of Generic Non-Biological Complex Drugs (NBCDs)	Drug/Device – Part 3: Global Regulatory Updates		
	Session Chair Mike Jenkins, PhD Senior Consultant BioProcess Technology Consultants, Inc. One of the strategic decisions that must be made during product development is how and where to develop and manufacture the product. Manufacturing in-house, partnering with a company with excess capacity, and working with a CMO are common options. This session focuses on the best practices and the advantages of working with CDMO that go beyond accessing capacity. A good CDMO has	Session Chair Yu Chung Tsang, PhD Chief Science Officer, Biopharmaceuticals and Biostatistics, Apobiologix Apotex, Inc., Canada Non-biological complex drugs (NBCDs) are defined scientifically as not being a biological medicinal product where the active substance is not a homo-molecular structure, but consists of different closely related and often nanoparticulate structures that cannot be isolated and fully quantitated, characterized and/or described by physicochemical analytical means, where the structural	Session Chair LeeAnn Chambers, MS, RAC Principal Research Scientist, Global Regulatory Affairs, CMC-Devices Eli Lilly and Company This session will provide overviews of drug/device combination product regulations and development strategies. Topics include an update on the status of the EU Medical Device Regulations and how they will impact manufacturers, an overview of combination product development strategies for registering these products in China, and an overview of global regulation of oral liquid pharmaceutical products.		
	extensive expertise in areas such as cell line, process and method development QbD, continuous manufacturing, and accelerated development programs, and will apply this expertise as appropriate to optimize the long-term manufacturing strategy for their client. José Ochoa	elements that might impact the therapeutic performance are unknown. Nanomedicines, such as liposomes, polymeric micelles, glatiramoids, ironcarbohydrate complexes and nanocrystals are examples of NBCDs. The challenges in developing analytical methodologies to characterize these products, as well as assuring safety and efficacy of generic	Revolution in Europe — What Changes Can You Expect Regarding Drug-Device Combinations? Jaap Laufer, MD, PhD Vice President of Clinical and Regulatory Affairs Emergo Group		
	JD Chief Business Officer IDT Biologika	NBCDs for regulatory approval will be presented and discussed in this session.	Combination Product Development A Harmonized Roadmap for		

Selecting a CDMO: Perspective from a Small Biopharma Company

Karen Cui, MD, PhD

Head, Drug Development Precision Biologics

Getting to Win-Win: Reflections from CMO and Client Perspectives

Manoj Menon, PhD

Director, New Products, Biologics Global **Technical Operations** AstraZeneca

Regulatory Perspective on Demonstrating Analytical and Therapeutic Similarities of Complex

Generic Products Rob Lionberger, PhD

Director, Office of Research and Standards, Office of Generic Drugs

Challenges in Manufacture of NBCDs and Assuring Analytical Similarity

Olu Aloba, PhD

Senior Director, Pharmaceuticals Camargo Pharmaceutical Services

The Need of Conducting Clinical **Study for Assuring Safety and** Efficacy, as Well as a Lack of **Immunogenicity for Generic NBCDs**

Ajaz S. Hussain, PhD President and CEO Insight Advice & Solutions, LLC

A Harmonized Roadmap for **Efficiency, Compliance, and Speed** to Market in Asia

Winston R. Brown

Vice President of Globabl Quality and Regulatory Affairs Phillips Medisize Corporation

Regulations and Design/ **Development Strategies for Oral Liquid Packaging and Device**

Matthew S. Thomas

Packaging Design and Development Manager Eli Lilly and Company

10:00-10:30AM

Refreshments and Networking Break

DAY TWO | TUESDAY, APRIL 25

10:30AM-12:00PM

Session 6

ICH Q12 Life Cycle Management: Benefits and Challenges

Session Co-Chairs

Jean-Louis Robert, PhD

CHMP (EMA) Co-Opted Member / CHMP (EMA) QWP Chair CHMP (EMA), Luxembourg

Moheb M. Nasr, PhD

Vice President, CMC Regulatory Strategy GlaxoSmithKline

While the concepts in ICH Q8, Q9, Q10, and Q11 provided opportunities for a more science- and risk-based approach for assessing changes across the life cycle, several gaps exist which limit full realization of expected regulatory flexibility. These gaps include harmonized change management best practices that effectively evaluates the impact of change on quality, clarity of the regulatory commitments (established conditions) in regulatory files and distinguishing them from supporting information, and the development and submission of product specific life cycle management strategy document in regulatory files.

ICH Q12 industry and regulatory experts will share their perspectives on ICH Q12 and provide an update on progress made to date. Presentations will be followed by panel discussions.

An Industry Perspective

Moheb M. Nasr, PhD

Vice President, CMC Regulatory Strategy GlaxoSmithKline

EU Regulatory Perspective

Jean-Louis Robert, PhD

CHMP (EMA) Co-Opted Member / CHMP (EMA) QWP Chair CHMP (EMA), Luxembourg

12:00-1:30PM

Luncheon and Networking



DAY TWO | TUESDAY, APRIL 25

1:30-3:30PM

Session 7: Concurrent Breakout Sessions

TRACK A

Science, Risk-Based Approaches to Post-Approval Stability Testing

Session Chair

Chi-wan Chen, PhD

Executive Director, Global CMC Pfizer Inc

This session will examine the need for. and the benefit of, establishing an ICH guideline on science- and risk-based approaches to stability testing for post-approval CMC changes or stability commitment. An outline of a proposal for an ICH guideline on this topic will be described. Predictive tools such as statistical modeling, and Accelerated Stability Assessment Program (ASAP), will be discussed. Utility of prior knowledge to justify reduced stability protocols for legacy products will be presented.

The Case for a New ICH Guideline on Science- and Risk-Based **Approaches to Stability Testing** for Post-Approval CMC Changes

Chi-wan Chen, PhD

Executive Director, Global CMC Pfizer Inc

Predictive Stability Approaches to Assessing Critical Attributes of **Pharmaceutical Products**

Brian Regler, PhD

Associate Principle Scientist Merck

Leveraging Stability Profiles to Justify a Reduced Stability Program for Legacy Products

Anthony Rainosek

Senior Manager, Stability Baxter Healthcare

Right-Sizing Post-Approval Stability Commitments: A Case-Study and Considerations

Donnie Pulliam

Manager, Global Stability Biogen

TRACK B

Biosimilars

Session Chair

Anthony Ridgway, PhD

Acting Director, Centre for Evaluation of Radiopharmaceuticals and Biotherapeutics, Biologics and Genetic Therapies Directorate, Health Products and Food Branch Health Canada

The development of biosimilars is continuing at a fast pace. This session will provide regulatory updates from the US and EU covering recent biosimilar approvals as well as changes to the regulatory frameworks and guidances in these regions (e.g. FDA draft guidance on interchangeability). Perspectives from industry speakers will include case studies illustrating obstacles for biosimilars in the CMC area and how these might be circumvented. During the panel discussion, CMC regulatory considerations for global development and the potential for a global regulatory submission will be explored.

Regulatory Update from Europe and IPRF Biosimilars Working

Peter Richardson, PhD

Head of Quality, Human Medicines **Evaluation Division** EMA, United Kingdom

Constructing a Comprehensive Analytical Similarity Assessment Program

Juhong Liu

Scientist, OPQ, OBP, DBRRII CDER, FDA

Biosimilar Development: Understanding Structure Function Relationships is Key

Hansjeorg Toll, PhD

Head RegCMC Immunology Products Sandoz Pharmaceuticals, Austria

Case Study - CMC Challenges when a Small Biosimilar Developer **Must Rely on Outsourcing for Development and Manufacturing Activities**

Patricia M. Seymour

Senior Consultant BioProcess Technology Consultants, Inc.

TRACK C

Regional Updates - Part 1: Latin **America Regional Convergence Opportunities and Challenges**

Session Chair

Rebecca E. Thomas

Owner

Bekki Thomas Consulting, Inc.

This session will be a discussion of regional convergence opportunities and challenges in Latin America. The session will focus on clinical trials through all facets of development and commercialization-full life cycle of the product. The session will include an industry representative and invited PAHO and Health Authority representatives with overview presentations with an opportunity for audience participation.

Challenges and Opportunities from Initial Application Through Life Cycle in Latin America

Maria Cristina Mota Pina

Director, Scientific Regulatory Policy and Intelligence, Latin America Abbvie

Regulatory Challenges and Scenarios in Brazil

Tatiana Gaban

Director, Regulatory Affairs CMC International, Latin America Merck, Brazil

3:30-4:00PM

Refreshment, Exhibits, and Networking Break

DAY TWO | TUESDAY, APRIL 25

4:00-5:30PM

Session 8: Concurrent Breakout Sessions

TRACK A

Process Validation/Continuous Verification for APIs: Challenges and Potential Benefits

Session Chair

Jean-Louis Robert, PhD

CHMP (EMA) Co-Opted Member / CHMP (EMA) QWP Chair CHMP (EMA), Luxembourg

A major element of the new paradigm in pharmaceutical quality, besides science and risk management, is the life cycle approach as described in ICH Q10. Continuous verification strategies form the basis for handling life cycle maintenance. These strategies can enable continuous improvement and process optimization by continuously collecting information, allowing for better scientific understanding of both process and product. The session will present the regulatory expectation for process validation and process verification. Two drug substance examples, chemical and bio, will highlight the benefit of continuous verification strategies compared to traditional process validation.

Process Validation/Process Verification: A Regulatory Perspective

Jean-Louis Robert, PhD

CHMP (EMA) Co-Opted Member / CHMP (EMA) QWP Chair CHMP (EMA), Luxembourg

A Risk-Based Approach to Process **Validation Using QRM Principles** and Practices

Thomas Gervais, PhD

Associate Director, Process Life Cycle Management Bristol-Myers Squibb

Process Validation and Continuous Verification; Leveraging Process Models for the Manufacture of a **Small Molecule Semi-Continuous Process**

Kevin Seibert. PhD

Senior Research Advisor, Chemical Product R&D Eli Lilly and Co.

TRACK B

Update on ICH M9 Gaps

Session Chair

Roger Nosal

Vice President and Head, Global CMC Pfizer, Inc.

The ICH M9 FWG intends to harmonize criteria and definitions for Biopharmaceutics Classification System (BCS)-Based Biowaivers. While BCSbased biowaivers may be applicable to BCS Class I and III drugs, definitions of these two classes are not regionally consistent or recognized globally. This session will describe differences in classification definitions of solubility, and permeability as well as differences in the data required to justify a waiver in various regions and provide proposals for reconciling those differences.

Definition of Solubility (Maximum Therapeutic Dose vs. Highest Strength) and Requirement of **Dose-Proportionality (Dose Exposure**)

Jack Cook

Vice President, Clinical Pharmacology Pfizer Inc.

Definition of Permeability (Relative Value of In Vitro Data)

Mehul Mehta, PhD

Director FDA

Dissolution and Formulation Criteria (Justification for **Dissolution Criteria/Media: Excipient Impact on BA)**

Patrick Marroum, PhD

Senior Research Fellow AbbVie

TRACK C

Regional Updates - Part 2: Asia-Pacific

Session Co-Chairs

Lin-Jau (Christine) Wu Anderson, MS

Senior Research Scientist, Global Regulatory, Chemistry, Manufacturing and Control

Eli Lilly and Company

Xiling Song

Senior Quality Product Leader Genentech, A Member of Roche Group

There are numerous new and innovative regulatory developments coming to light in the Asia-Pacific region. In order to have a successful clinical trial application and/or MAA submission in this region. sponsors need to be made aware of these changes and requirements and how to adapt. This session will cover the latest hot topics in the Asia-Pacific region including Japan, China, and many other countries, regarding the regulatory submissions. A closing panel discussion and Q&A will allow for more in-depth discussions on these topics.

Regulatory Updates and Hot Topics - Japan

Issei Takayama, PhD

Reviewer, Office of New Drug IV Pharmaceuticals and Medical Devices Agency (PMDA), Japan

Regulatory Updates and Hot Topics - China

Yang (Frank) Gao

Associate Regulatory Affairs Director, Eli Lilly and Company, China

Regulatory Updates and Hot Topics - Other Asia-Pacific Countries

Xiling Song

Senior Quality Product Leader, Genentech, A Member of Roche Group

Panelist

(Joining Session Speakers)

Chi-wan Chen, PhD

Executive Director, Global CMC Pfizer Inc.

DAY THREE | WEDNESDAY, APRIL 26

7:00AM-12:00PM	Registration				
7:00-8:00AM	Continental Breakfast, Exhibits, and Networking Session 9: Concurrent Breakout Sessions				
8:00-9:30AM					
	SESSION 9A	SESSION 9B	SESSION 9C		
	Process and Product Monitoring for Sustained Quality	The Divide Between Small and Large Molecule Drugs: Myths and Realities	Regional Updates – Part 3: Middle East		
	Session Chair Christine M. V. Moore, PhD Global Head and Executive Director, GRACS CMC - Policy Merck Research Laboratories This session will describe the advantages of product and process monitoring beyond minimal GMP requirements. Such efforts are aligned with FDA efforts to modernize manufacturing and can aid in sustained process performance, that may be reflected in quality metrics. Industry speakers will discuss implementing a comprehensive system to monitor process performance and support continual improvement and how multivariate analysis of process data can aid fault diagnosis and correction. Additionally, an FDA speaker will provide a perspective of the advantages of process monitoring over the product life cycle. Improving Process Robustness via Continuous Process Monitoring in Drug Product Manufacturing - Case Studies	Session Chair Wassim Nashabeh, PhD Vice President, Regulatory Policy and International Operations F. Hoffmann-La Roche Ltd. This session will feature an interactive panel discussion on the similarities and differences between small and large molecule drugs development and regulatory approaches. Are these two classes of molecules so uniquely different that warrant different scientific and regulatory principles. What shared lessons can we learn between them when it comes to enhanced process and product understanding, quality risk management, establishment of control strategy, science-based regulatory framework and international regulations and harmonization. The panel will explore these themes with active engagement and participation from the audience. Come prepared with your questions and keep an open curious mind.	Session Chair Ihab Attia RAWG Chair Eli Lilly (suisse) S.A., United Arab Emirate This session will discuss CMC regulatory requirements in the Middle East/Near Earregion focusing on recent updates. Ihab Attia RAWG Chair Eli Lilly (suisse) S.A., United Arab Emirate Inas Chehimi Head DRA Middle East and North Africa Novartis Pharma Services AG, United Ara Emirates		
	Stelios Tsinontides, PhD Senior Director and Head, Drug Product Technical Services, SM Operating Unit, Technical Operations Shire The Application of Real-Time Multivariate Data Analysis to Improve Equipment Health and	Joseph C. Famulare Vice President, Global Compliance and External Collaboration Genentech, A Member of the Roche Group Peter Richardson, PhD Head of Quality, Human Medicines Evaluation Division EMA, United Kingdom			
	Process Consistency Louis Obando, PhD Principle Scientist Merck Research Laboratories	Nirdosh Jagota, PhD Global CMC Regulatory Head Merck			
	Design and Implementation of Process Monitoring Tools for Continuous Improvement	Laurie Graham Acting Director, DIPAP, OPPQ, OPQ CDER, FDA			
	Christina Capacci-Daniel, PhD Quality Assessment Lead (Acting), OPF CDER, FDA				

DAY THREE | WEDNESDAY, APRIL 26

10:00-12:00PM

Session 10

Regulators Update

Session Chair

Moheb M. Nasr, PhD

Vice President, CMC Regulatory Strategy GlaxoSmithKline

To conclude the workshop, this last session will provide updates from experts from regulatory agencies as well as a true opportunity for a dialogue with the audience.

Issei Takayama, PhD

Reviewer, Office of New Drug IV Pharmaceuticals and Medical Devices Agency (PMDA), Japan

Jean-Louis Robert, PhD

CHMP (EMA) Co-Opted Member / CHMP (EMA) QWP Chair CHMP (EMA), Luxembourg

Peter Richardson, PhD

Head of Quality, Human Medicines Evaluation Division EMA, United Kingdom

Anthony Ridgway, PhD

Acting Director, Centre for Evaluation of Radiopharmaceuticals and Biotherapeutics, Biologics and Genetic Therapies Directorate, Health Products and Food Branch Health Canada

Sarah Pope Miksinki, PhD

Director, Office of New Drug Products, Director (Acting), Office of Surveillance, OPQ CDER, FDA

Helen Saccone, PharmD

Senior Advisor, Global Regulatory Operations and Policy, OIP, OC FDA

12:00PM

Workshop Adjourns