



CLINICAL DATA INTERCHANGE STANDARDS CONSORTIUM

*Setting the Global Standard for Medical Research*



**Strength** *through Collaboration*

# **CDISC: A Global Approach to Accelerating Medical Research**



*Strength through Collaboration*

# Overview

- Enabling Collaboration
- What is CDISC?
- Business case for using CDISC standards
- Brief (non-technical) Introduction to the CDISC Standards/Models
- Example: End-to-end use of CDISC Standards
- The Future -
  - SHARE
  - Healthcare Link

# Enabling Collaboration



*Strength through Collaboration*

# Broad Definition of Clinical or Medical Research

Patient-oriented research is research conducted with human subjects (or on material of human origin such as tissues, specimens, and cognitive phenomena) in which a researcher directly interacts with human subjects.

- epidemiologic and behavioral studies
- outcomes research
- health services research
- research on mechanisms of human disease, therapeutic interventions, clinical trials, and development of new technologies
- does not include in vitro studies using human tissues not linked to a living individual.

*For the purpose of this course, studies with animals are also addressed.*

## *Healthcare*

- Quality healthcare
- Informed decisions
- Personalized medicine
- Patient safety and privacy
- Public health
- Improved therapies
- Efficiencies/reduced costs

*Information from healthcare  
(private, aggregated)  
to enable research*



## *Research*

- Discovery of new therapies
- Understanding diseases
- Testing/comparing therapies (CER)
- Assessing efficacy
- Monitoring safety
- Understanding responses (genomics, biomarkers)
- Public health/quality evaluations
- Post-marketing surveillance

*Research findings  
to inform  
healthcare  
decisions*

# A Learning Health Care System

## Medical Research

- Research informs health care decisions
- Approximately \$100B spent annually on medical research in the U.S. alone and significantly more on a global basis.
- Data requirements for clinical research overlap substantially with clinical quality, safety and efficacy use cases.
- Health care and clinical research need to have consistent standards.
- Medical research needs a process transformation

## Clinical Care Decisions

# Clinical Research Today

- ~ 40-50% of trials - data collected on paper and are entered, re-entered or transcribed 4-7 times total, 2-3 times by the clinicians
- ~50-60% of data are collected by electronic systems
- An average active study site has 3 disparate solutions; many have up to a dozen or more

## Implications:

- Lag (~ 17 years!) between research results and translation into clinical practice
- Clinicians may not participate in research due to administrative burden
- Insurance companies may be first to spot safety issues

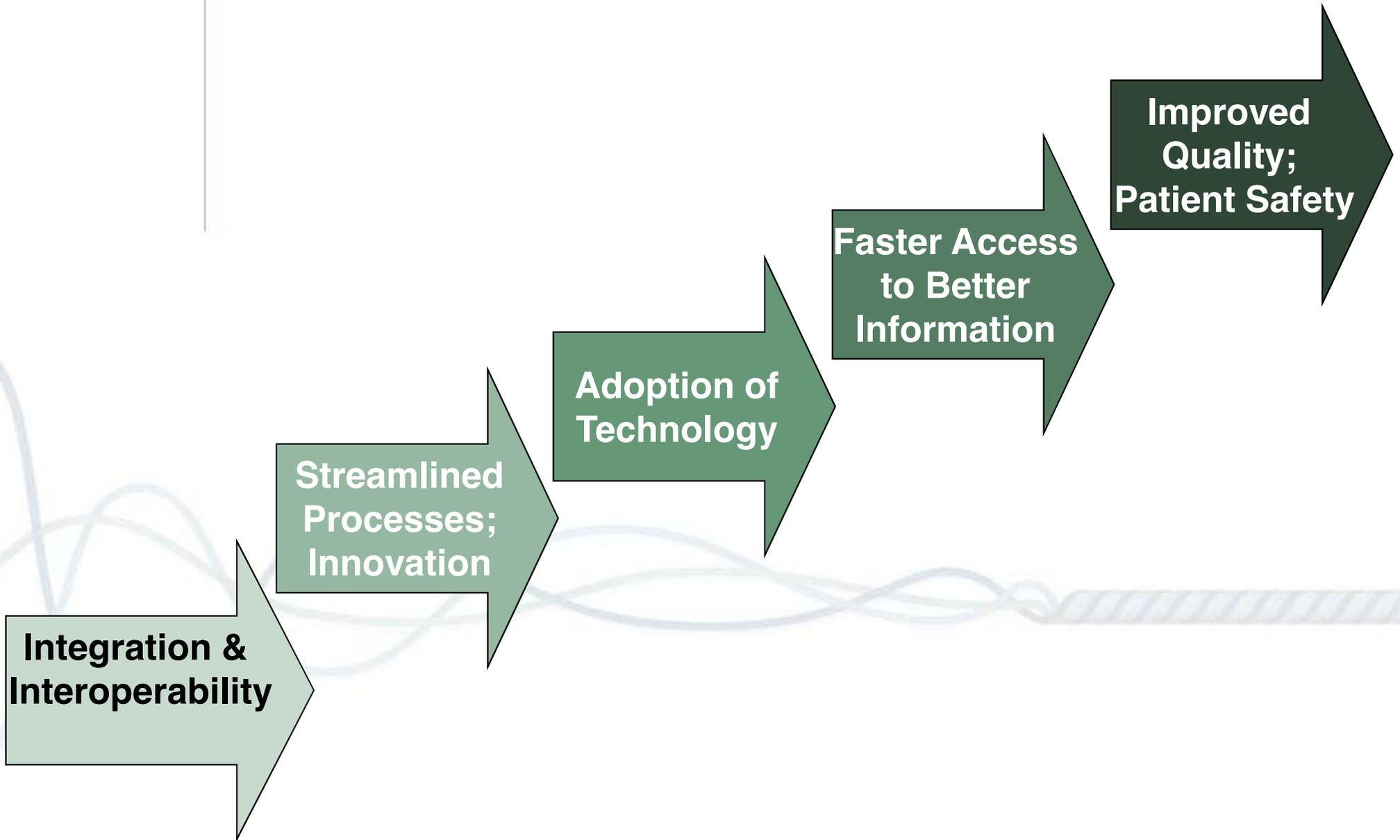
# The “Road” to Quality Clinical Data

- Build quality into the system – up front
- Train and educate
  - site personnel, project team and reviewers/auditors
- Decrease the amount of data collected
- Define the data set needed and specify requirements
- Standardize formats and procedures
- Also plan for data quality during post-marketing
- Decrease the number of times data are ‘handled’

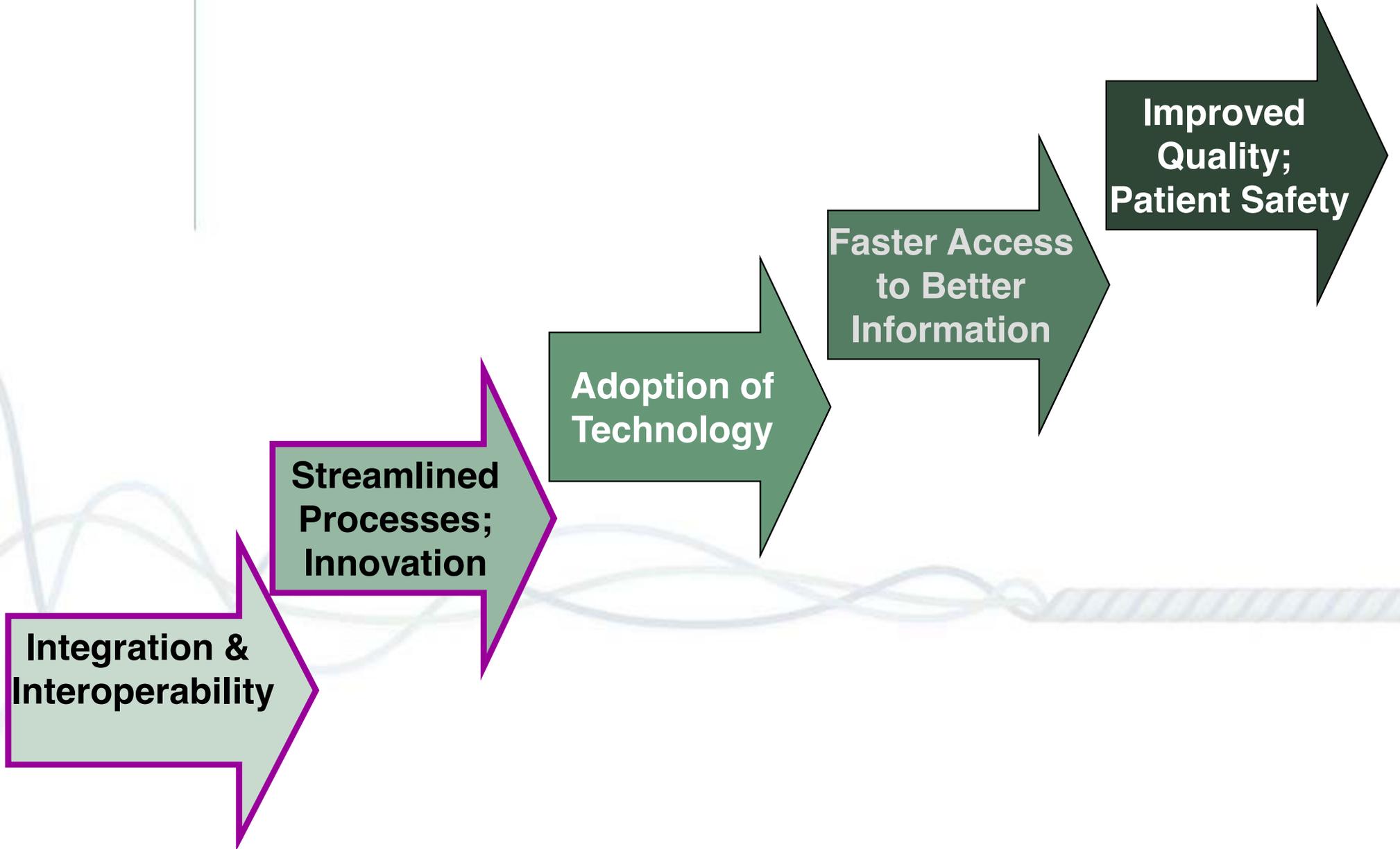
*(Note: Anticipated ‘by-products’ of these steps to improve quality are increased efficiency and lower costs.)*

*Source: Assuring Data Quality and Validity in Clinical Trials for Regulatory Decision Making: Workshop Report, 2000*

# Ultimate Goal for Medical Research



# What Can Standards do Towards this Goal?



# What is CDISC?



*Strength through Collaboration*

**Quality Improvement**

**Enablers**

**Speed**

**CDISC is more than  
Standards!**

**Process Redesign**

**Workflow Integration**

**Standards-inspired Innovation**

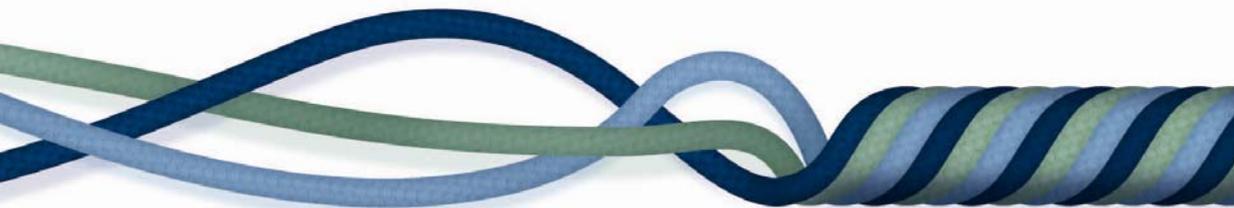
**Resource Savings**

*Strength through collaboration*

# The CDISC Mission

*To develop and support global, platform-independent data standards that enable information system interoperability to improve medical research and related areas of healthcare*

*As of 2004*

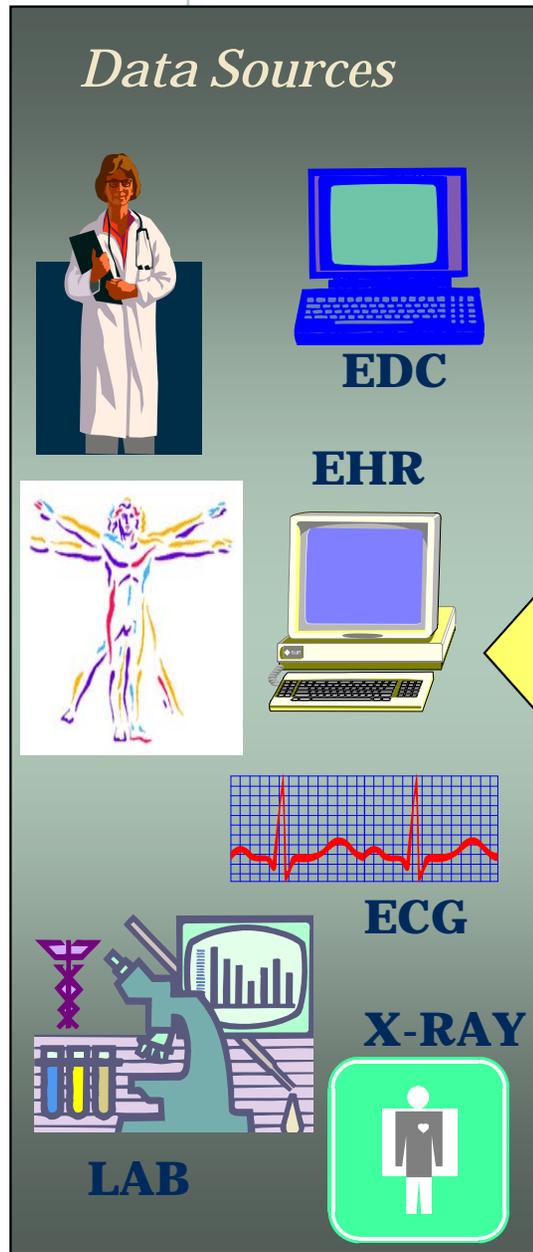


**Strength** *through collaboration*



# Vision – Medical Innovation

Subject Data – Enter Once for Multiple Purposes

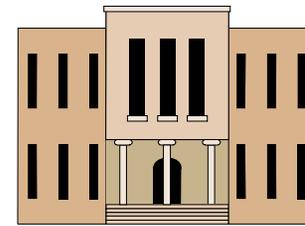


**Regulatory Authority**

Public Registries and IRBs



**Sponsor**



**CRO or Partner**

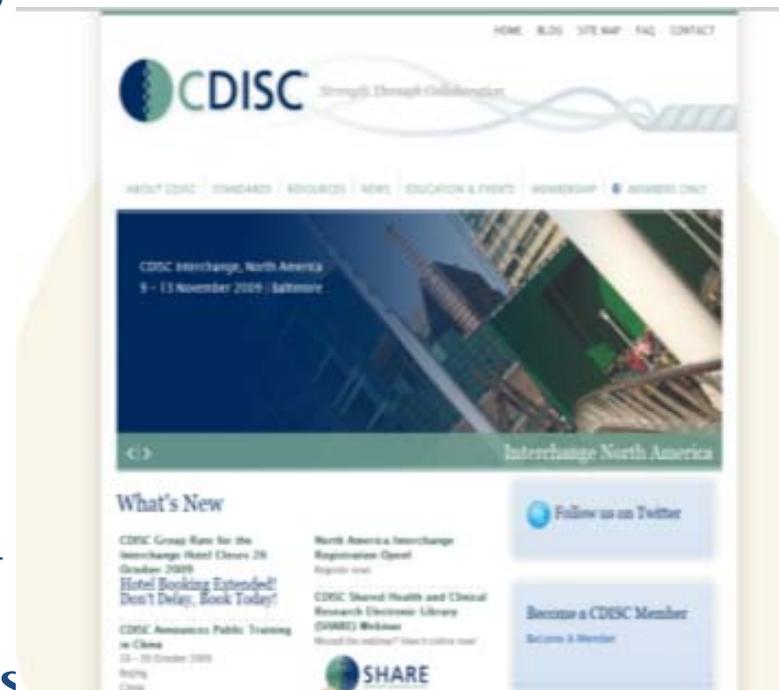
**Payer**

“Rolling” Warehousing, Reporting and Submissions

# CDISC

- **Global, open, multi-disciplinary, vendor-neutral non-profit standards developing organization (SDO)**

- Founded in 1997; incorporated in 2000
- > 260 organizational members (academia, biopharma, service and technology providers, etc)
- Liaison A Status with ISO TC 215
- Charter agreement with HL7 since 2001
- Member of Joint Initiative Council for Global Harmonization of Standards
- Member of ANSI-led ISO TAG
- Active Coordinating Committees
  - Europe, Japan, China, Korea
- Over 60 countries in participant database



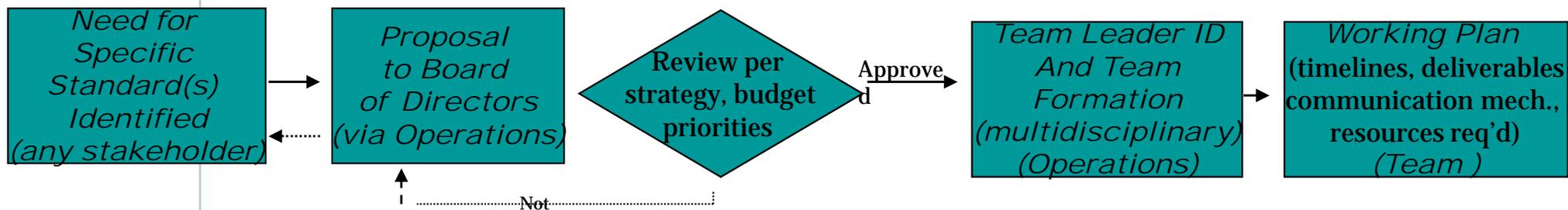
[www.cdisc.org](http://www.cdisc.org)



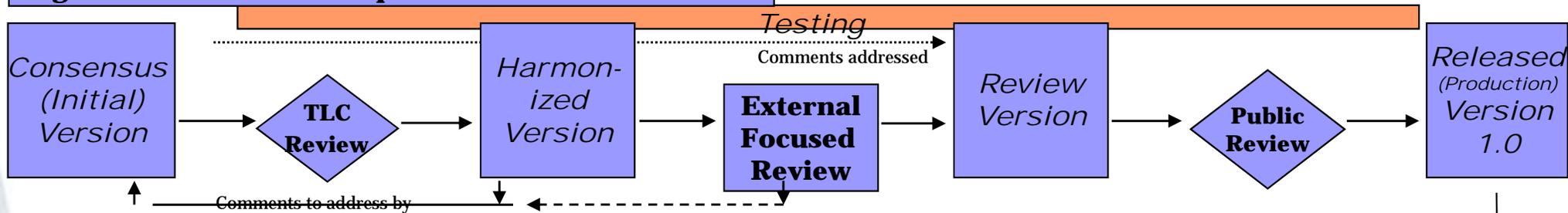
- Through a consensus-based approach (COP-001), CDISC has established **worldwide industry standards to support the electronic acquisition, exchange, submission and archiving of clinical research data and metadata** to improve data quality and streamline medical and biopharmaceutical product development and research processes.
- Standards are freely available on the CDISC website ([www.cdisc.org](http://www.cdisc.org)); IP Policy to ensure open standards

# CDISC Standards Development Process (CDISC-001)

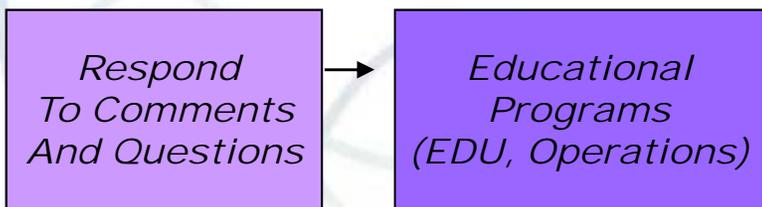
## Stage I: Standard Definition/Team Initiation



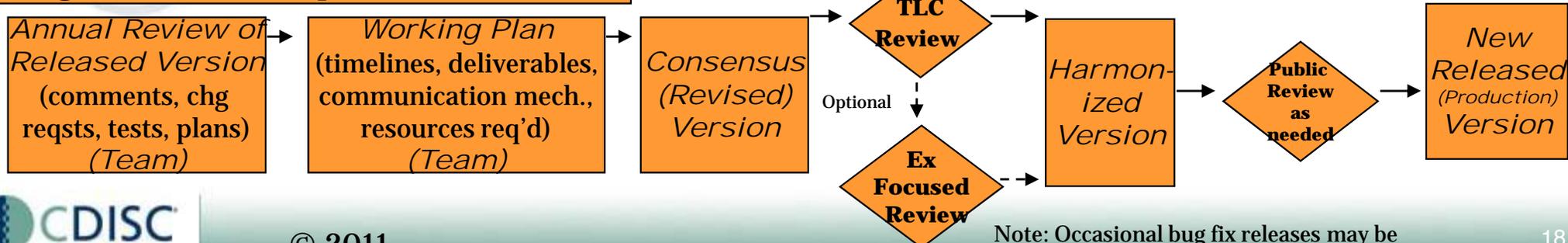
## Stage II: Standards Development/Review/V 1.0 Release



## Stage III: Education & Support



## Stage IV: Standards Update & Maintenance



# **COP-001 CDISC**

## **Stages of Standards Development Process**

**Stage I: Standard Definition/Team Initiation**

**Stage II: Standards Development/Review/V 1.0 Release**

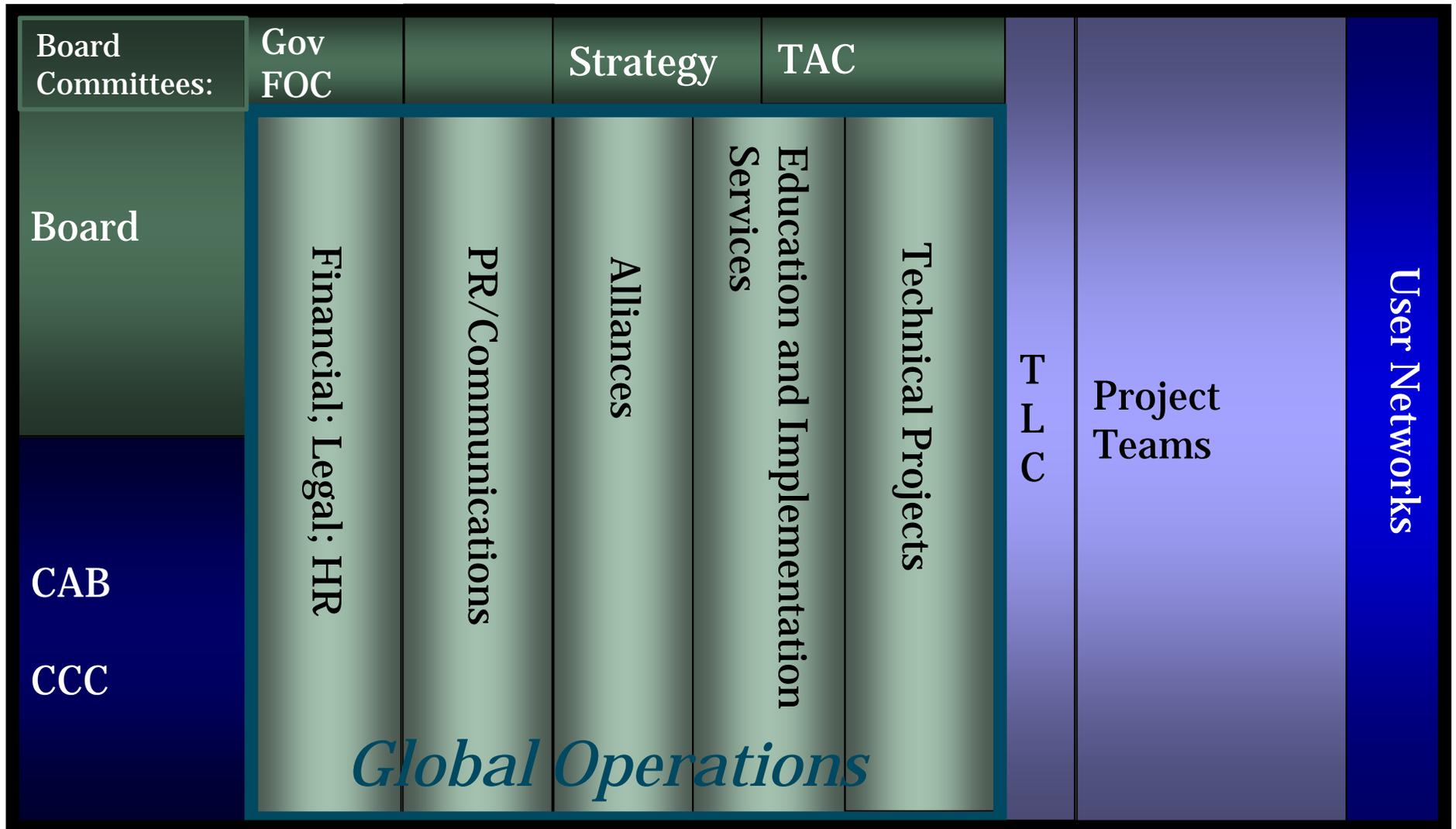
**Stage III: Education & Support**

**Stage IV: Standards Update & Maintenance**

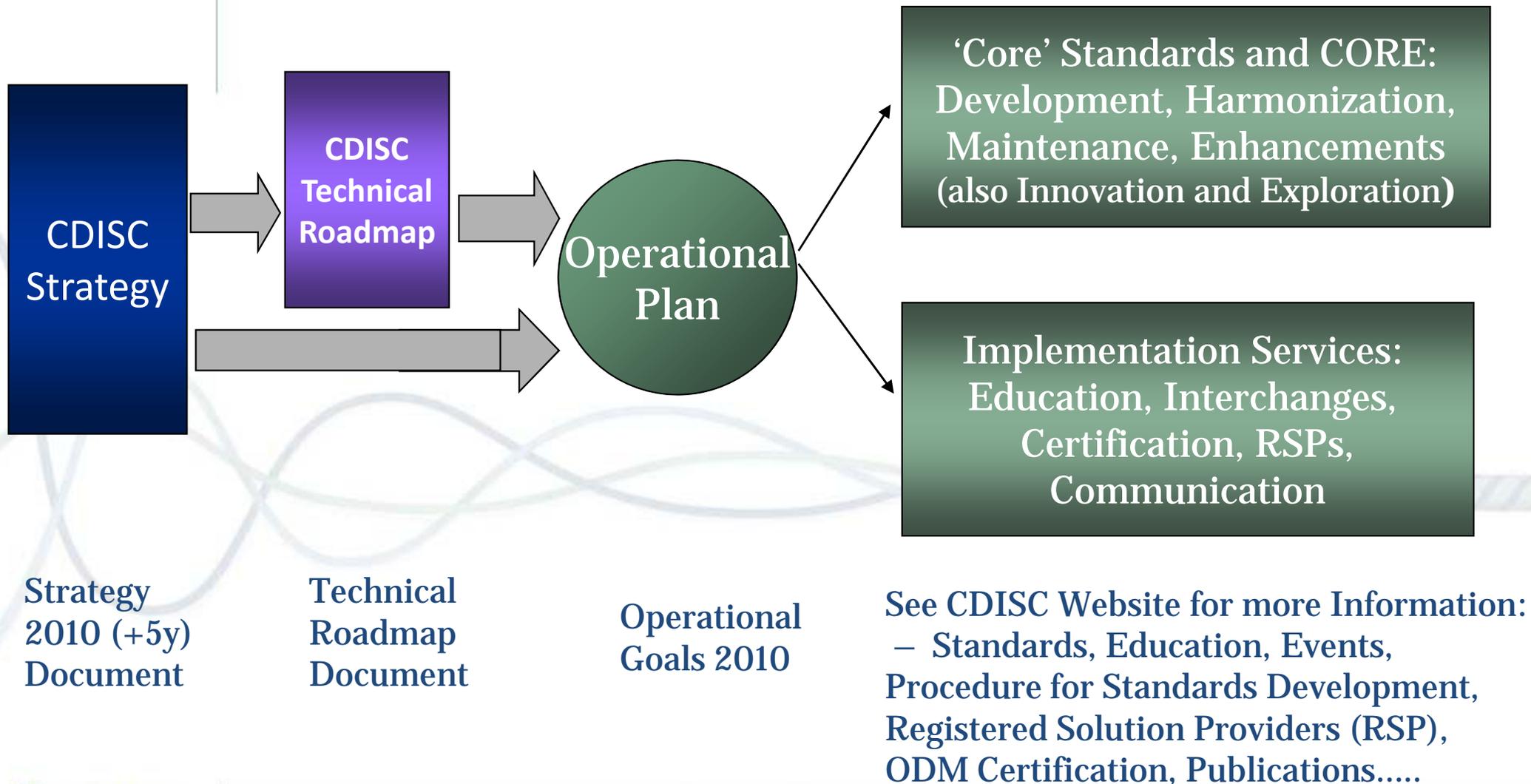
# CDISC Organization

- Volunteer participants and team members
  - CDISC Teams: Anyone can participate
  - 3 Cs (CDISC Coordinating Committees)
  - User Networks (regional, often language-centered)
- Technical Leadership Committee
  - Team leaders and co-leads
  - Oversees the standards development and implementation across Focus Areas and project teams

# Organizational Structure to Support CDISC Operational Plan



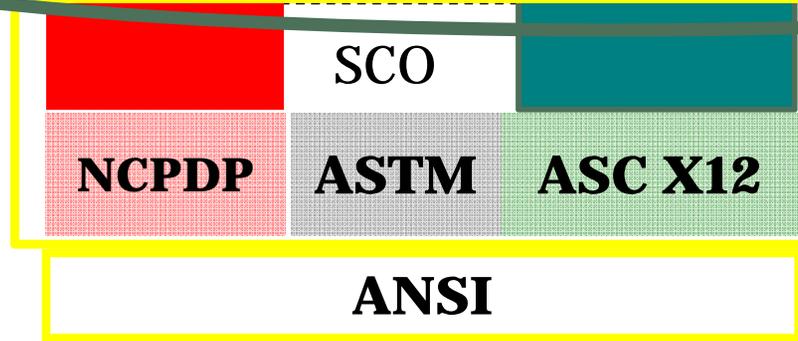
# CDISC Strategy to Implementation/Support



# Strategic Themes 2011

- 1) Ensure the existence, harmonization, acceptance and support of standards for medical research
- 2) Promote and provide education on the use and benefits of standards
- 3) Facilitate the integration with Electronic Health Record (EHR) / Health Information Technology (HIT)
- 4) Use CDISC standards to support data collection and reporting with a focus on data aggregation for the purposes of scientific investigation and comparative effectiveness
- 5) Leverage our global, nonprofit, vendor neutral, independent status to forge productive collaborations with other Standards Development Organizations (SDOs) and key stakeholder communities including regulators and health agencies

# INTERNATIONAL HEALTHCARE STANDARDS LANDSCAPE



**HITSP**

**CCHIT**

**FHA**

**ONC**

**HHS**

**NIH**

**NLM**

**NCI**

**caBIG**

**NQF**

**NIST**

**FDA**

**CDC**

**CMS**

**HIMSS/RSNA**

**IHE**

**VHA**

**DOD**

**Home Sec**

**US Realm**

**SNOMED**

**LOINC**

**MedDRA**

**ICH**

**CPT**

**AMA**

**ICD**

**WHO**

**DICOM**



# CDISC is YOU!

What does CDISC mean to you?



# The Business Case for Using CDISC Standards



*Strength through Collaboration*

# **Speaking of health benefits/opportunities to be realized from making more effective use of IT....**

“I think that CDISC will be a big part of moving FDA onto an electronic information architecture where we can realize all of these opportunities. I think this will have a profound and positive impact on our drug review process, allowing us to design trials that can be less expensive and still tell us more about the risks and benefits of a new medical product. And I think that the most significant and perhaps enduring legacy to your efforts could be the very immediate and significant impact it has on improving the lives of patients.”

**-Mark McClellan, MD, PhD, FDA  
Commissioner, September 2003**

# “Testimonies”

- I had half a day to review data from a company we were considering acquiring to provide input into the decision; fortunately, it was in CDISC format and easy to review.
- My company was asked by FDA to aggregate data across several studies so that they could better do their review; the therapy was never approved because we could not aggregate the data.
- Academic investigators do not want to use standards (thinking it will inhibit innovation and creativity), but afterwards they want to use the data and want to get it out of a database...and they don't realize that they are actually asking for standards.
- Standards are foundational to modernizing the FDA review process.
- If you cannot find/use the data from clinical trials afterwards, you have broken your contract with the participating patients/subjects.

# CDISC Value by Profession

Profession	Why CDISC?
<p><b>CEO, Study Sponsor, Program/Project Manager</b></p> <p>Ask yourself, do you want:</p>	<ul style="list-style-type: none"><li>a) To initiate your study quickly and economically?</li><li>b) Have your CRFs easily understood and completed by investigative site personnel?</li><li>c) Receive high quality data that will readily fit into the format requested by FDA?</li><li>d) To have a protocol with sections that can be re-used (without re-entry) for trial registration, IRBs, generating study reports, publication, eSubmissions</li><li>e) Your data to readily integrate with that of other studies?</li><li>f) To be able to find your data later?</li><li>g) Have your data ready in case of a merger or acquisition?</li><li>h) Be able to use data from past research to improve current/future research?</li></ul>

# CDISC Value by Profession

Profession	Why CDISC?
<b>Medical Writer</b>  Ask yourself, do you want:	<ul style="list-style-type: none"><li>a) To write your protocols and study reports a bit faster?</li><li>b) Re-use information from your protocols without re-entering information, e.g. trial registration, study reports, publications?</li><li>c) To enable others on the team to auto-generate visit schedules and CRFs?</li></ul>
<b>Data Manager</b>  Ask yourself, do you want:	<ul style="list-style-type: none"><li>a) To get your CRFs ready more quickly and economically?</li><li>b) To create your data validation specifications more quickly and effectively?</li><li>c) To build your databases more efficiently?</li><li>d) To reduce training and improve communication with your CRAs and sites?</li><li>e) To get cleaner data, faster?</li><li>f) To reduce data problems and be able to focus more on the scientific content?</li><li>g) To build more effective partnerships with the whole study team?</li></ul>

# CDISC Value by Profession

<b>Profession</b>	<b>Why CDISC?</b>
<b>Vendor or Information Technologist</b>  Ask yourself, do you want:	<ul style="list-style-type: none"><li>a) To ensure that your system will be able to readily exchange information with another system the sponsor may wish to use?</li><li>b) To be able to provide a system based on industry standards?</li><li>c) To be able to quickly respond to sponsor requests by using standard libraries?</li></ul>
<b>Statistician</b>  Ask yourself, do you want:	<ul style="list-style-type: none"><li>a) To be able to create tables, listings and figures more efficiently?</li><li>b) To be able to integrate data from multiple studies more easily?</li><li>c) To be able to standardize your safety analysis programming?</li></ul>
<b>Others?</b>	What do you want from standards?

# Do You Need CDISC?

1. Do you do protocol-based clinical research?
2. Do you do annotate, acquire, aggregate, analyze, archive?
3. Do you want high quality data?
4. Do you want to save time?
5. Do you have limited resources?
6. Do you have limited time to complete your clinical programs?
7. Do you ever have to go back and look at old data for knowledge extraction?
8. Do you need patients and investigators?
9. Do you want to get information from EHRs?
10. Do you track and report safety data?
11. Do you submit to FDA?
12. Do you intend to or have you acquired another company?
13. Do you need to be transparent and compliant?
14. Do you use partners (CROs, tech vendors, development partners, labs)?

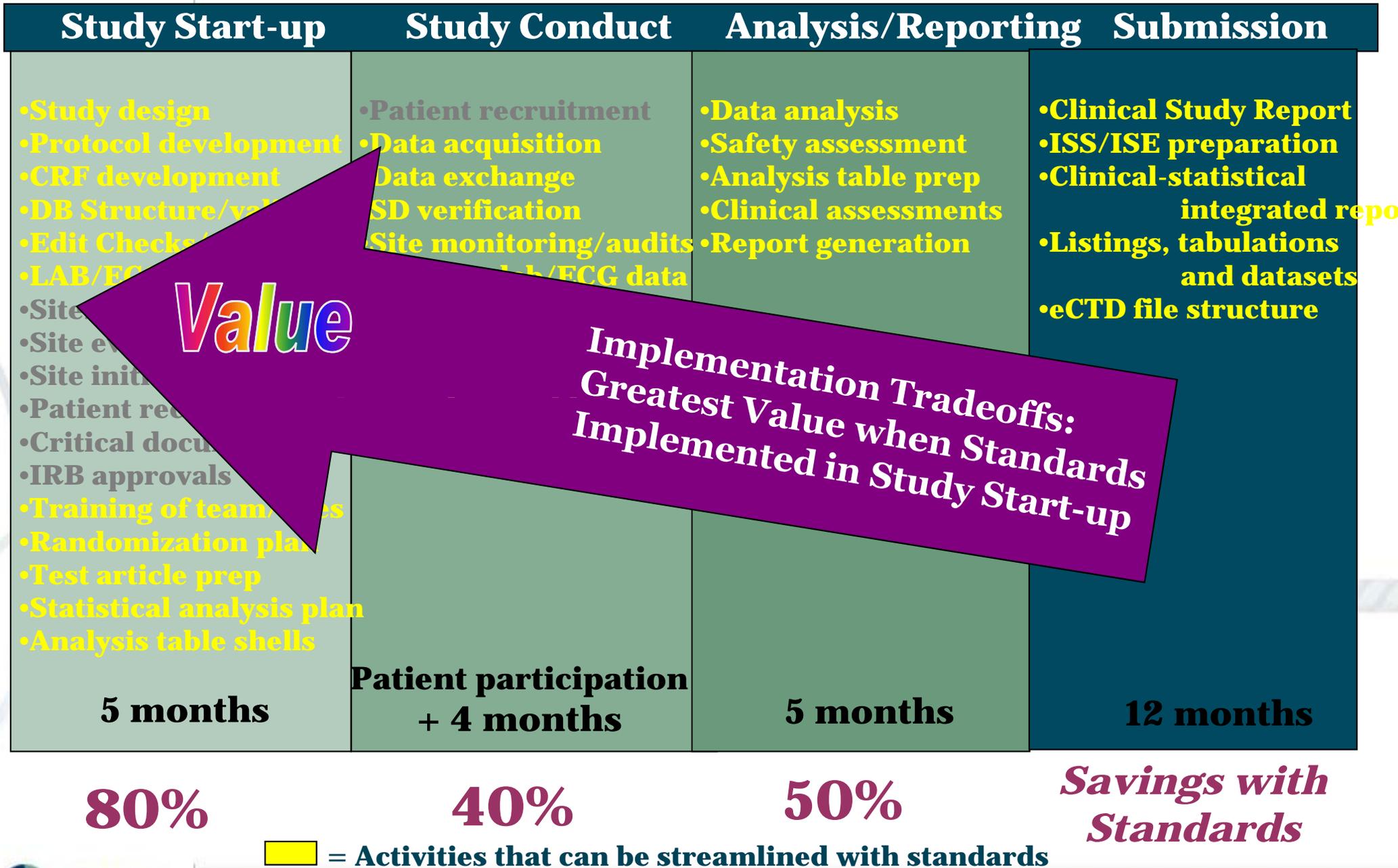
If you responded 'yes' to any of 1-7, you need standards.

**If you responded 'yes' to any of 8-14, you need industry standards.**

# Quotes from the Business Case

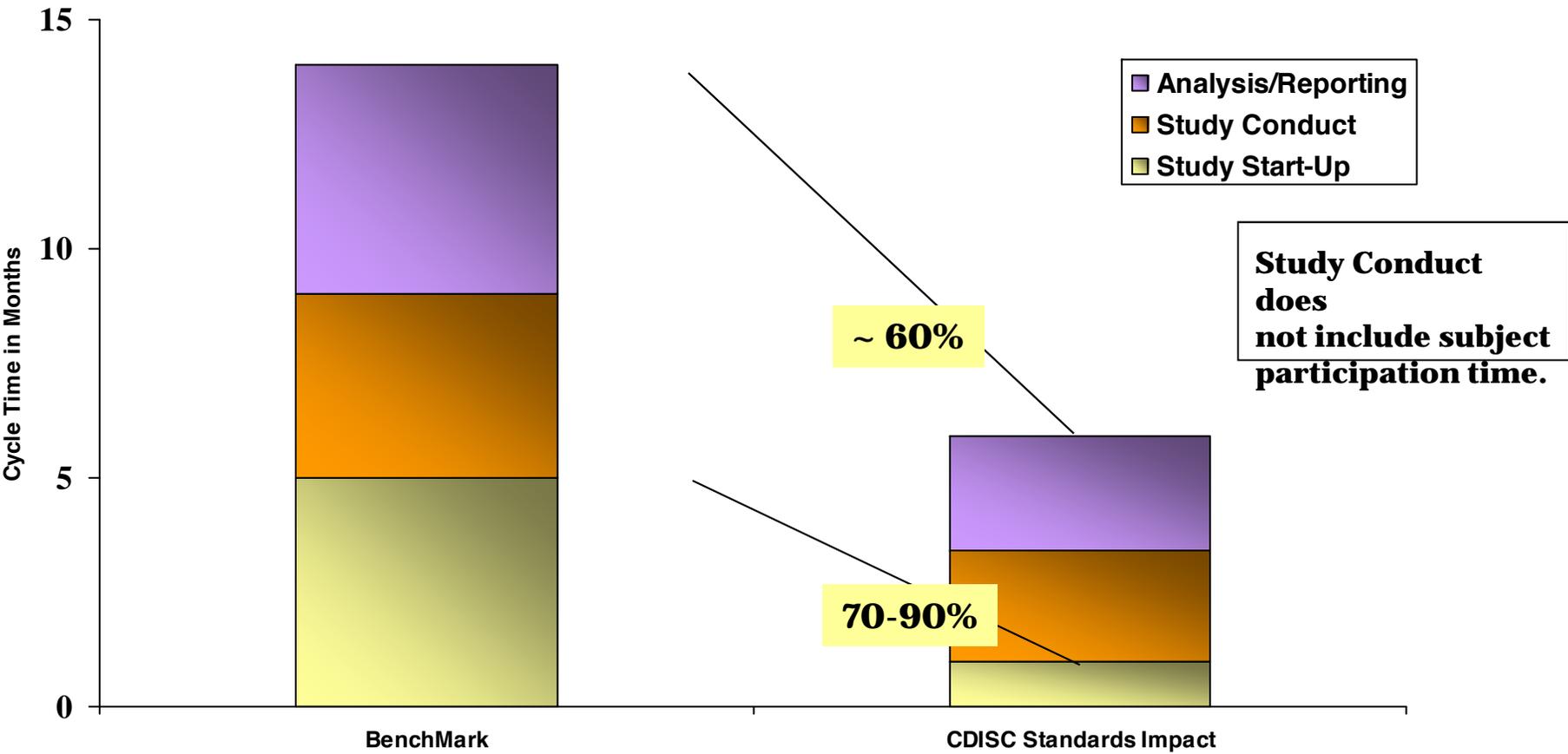
- “Operationalized standards become your common language, helping processes by facilitating communication and clarifying study design and setup processes. This is important when working with outside partners. There is the need to clearly communicate what your expectations are.”
- “We are convinced that clinical data standardization is critical to efficient and successful pharmaceutical product development and commercialization.”
- “The initial costs related to defining data according to the CDISC structure and using the standardized formats will translate to a worthwhile investment in time, efficiency, accuracy of content, and optimization of use in analysis.”  
(FDA interviewee)

# Standards Impact On Clinical Study Activities



# Quantifying the Value of Standards

## - Cycle Time (and Cost) Savings -



*Note: Figures are benchmarks based on aggregate data; study-specific cycle times and cost metrics will vary.*

# Summary of Business Case Findings (Gartner-PhRMA-CDISC Project)

- CDISC standards *can save significant time and cost, especially when implemented in the early stages of the study*
- CDISC standards have additional impact on clinical research to:
  - *Increase data quality*
  - *Enable data integration, enhancing re-usability in 'knowledge' warehouses*
    - *improving science, marketing and safety surveillance*
  - *Facilitate data interchange among partners*
  - *Enable choice of tools that readily exchange data*
  - *Improve communication among project teams*
  - *Facilitate review of regulatory submissions, audits*

# Sample Calculations When Standards are Implemented in the Study Start-up Stage

NOTE: Each company should use their own time and cost baselines.

Start-up Time (mos)	% Savings w/ Stds	Net mos saved	Conduct mos w/o Subject participation time***	% Svngs w/ Stds	Net mos saved	Analysis & report (mos)	% Svngs w stds	Net mos saved	Total mos saved	Cost Savings	“Value” (Cost of Clinical Res per day)
5	<b>(80%) x 0.8</b>	4	4	<b>(40%) x 0.4</b>	1.6	5	<b>(50%) X 0.5</b>	2.5	<b>8.1</b>	<b>Multipl y time saved x actual cost of study/ month</b>	<b>X \$37,000 (Tufts loaded cost per day) ~ \$9M</b>
4	<b>x 0.8</b>	3.2	3	<b>x 0.4</b>	1.2	3	<b>X 0.5</b>	1.5	<b>5.9</b>		
2	<b>x 0.8</b>	1.6	2	<b>x 0.4</b>	0.8	2	<b>X 0.5</b>	1.0	<b>3.4</b>		
12	<b>x 0.8</b>	9.6	7	<b>x 0.4</b>	2.8	7	<b>X 0.5</b>	3.5	<b>15.9</b>		
Your time	<b>x 0.8</b>	= A	Your non-subject participation time (LPO->DBL)	<b>x 0.4</b>	= B	Your time	<b>X 0.5</b>	= C	<b>A + B + C = Y</b>	<b>Y x cost per month</b>	<b>Y x \$37K per day</b>

\*\*\*Subject participation time is excluded.

# Efficiencies and Effectiveness Not Considered in the Calculations

- Site personnel and monitoring efficiency during patient participation period
- Recruitment
- Source document validation and randomization
- Safety surveillance
- Reuse (e.g. protocol, disease population data, CRF/eCRF designs)
- Training
- Improved team communication

***Opportunity value is doing more trials with the same number of people***

# from the PhRMA-Gartner-CDISC Project

- The value of standards extends far beyond process efficiency.
  - Higher quality data/information
  - Real time integrated data e.g. safety surveillance, marketing, submission, study design
  - Reusability of information to enhance science
  - Improved communication among project teams and business partners
  - Facilitated regulatory review process
- Standards save significant time and money, especially when implemented in the study startup stage.

# from the PhRMA-Gartner-CDISC Project

- Organizations are becoming proactively compliant with CDISC standards.
  - FDA's endorsement important; mandate still needed
- Harmonization of standards across clinical research and with healthcare is a core strategic goal.
  - Enter the data only once - at the site
  - Streamline investigator participation in research

# How can Data Standards help you ?

- What are the problems you are trying to solve?
- What benefits would standards bring?
- What are the potential challenges of implementing standards?



# 10 Minute Break

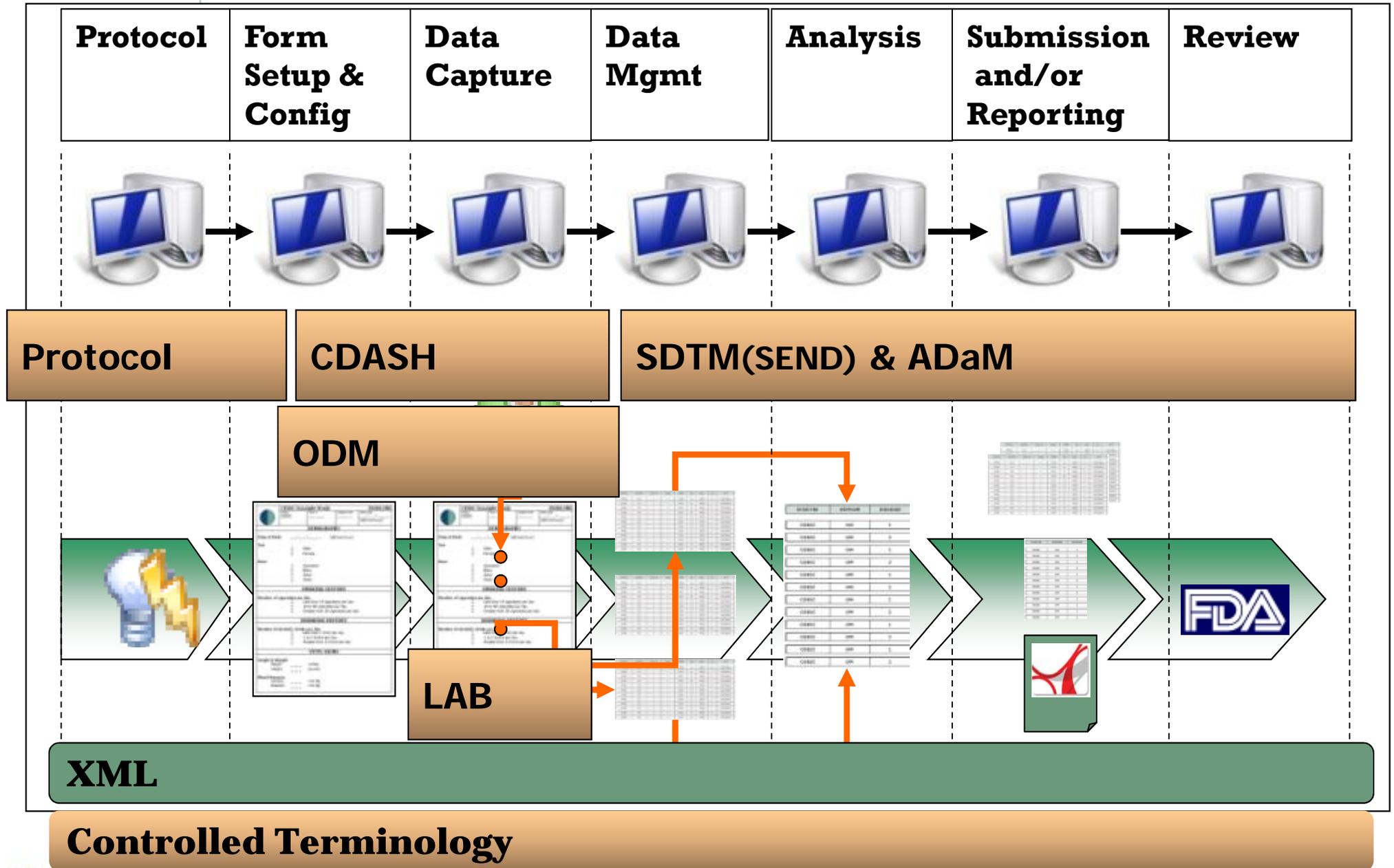


# Brief (non-technical) Introduction to the CDISC Standards



*Strength through Collaboration*

# CDISC Production Standards



# Relevant Definitions

- **Transport Standards** (Amy Malla – CBER)
  - Provide a consistent way to exchange information between computer systems in various organizations
- **Content Standards** (adapted from Amy Malla – CBER)
  - Consistent presentation and description of individual data or concepts
- **Data Model** (CDISC Glossary)
  - Unambiguous, formally stated, expression of items, the relationship among items, and the structure of the data in a certain problem area or context of use. A data model uses symbolic conventions agreed to represent content so that content does not lose its intended meaning when communicated.

# Relevant Definitions

- **Data [FDA]** (Synonym: Information)
  - representations of facts, concepts, or instructions in a manner suitable for communication, interpretation, or processing by humans or by automated means.
- **Metadata** (CDISC Glossary)
  - Data that describe other data

**WHY Metadata? What value is it?**

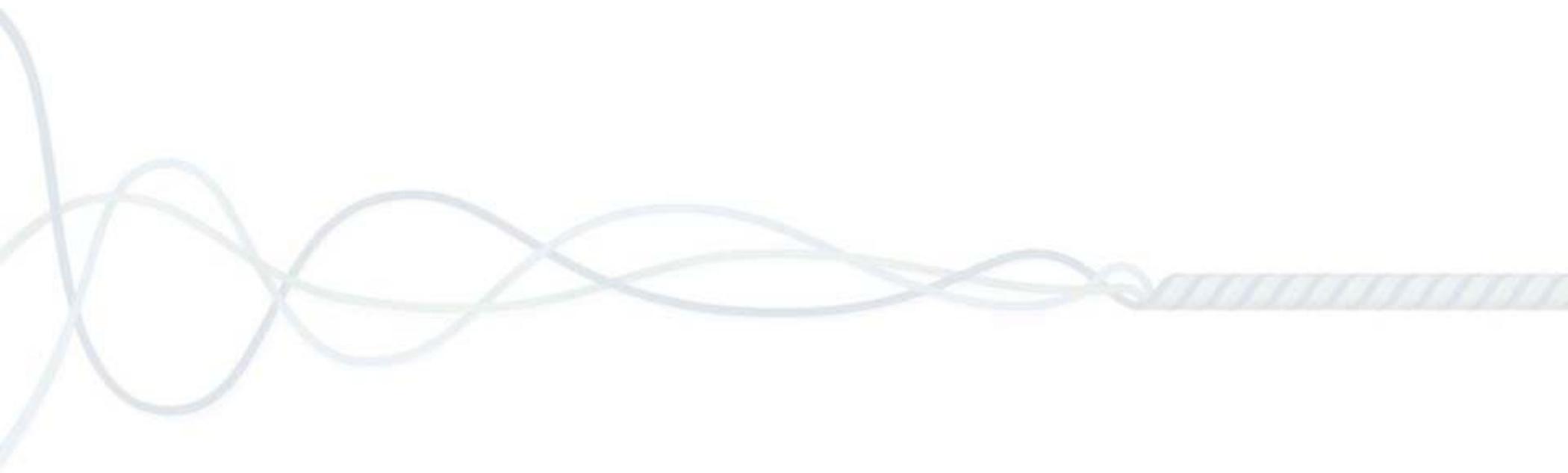
# How Important is Metadata?

**02/03/04**

# How Important is Metadata?

**02 /MAR /2004**  
**DD/MM/YY**

# GLOSSARY



# CDISC GLOSSARY

- First CDISC team to establish a scope and achieve it: “Define every word in the CDISC mission statement.”
- Mission statement in 1997: development of... standards to support the electronic acquisition, exchange, submission and archiving of clinical trials data...
- Glossary now updated each year and published in Applied Clinical Trials (December resource issue) and on the CDISC website
- Accompanying list of Acronyms included

# CDISC Clinical Research Glossary

Version 3.0

## Glossary Terms

**Abbreviation** is a shortened form of a word or phrase, often used to save space and time in writing. It is typically formed by taking the first few letters of the word or phrase, or by using a combination of letters and numbers. Abbreviations are commonly used in medical and scientific literature, as well as in everyday language.

**Acronym** is a word formed from the initial letters or syllables of other words. It is often used to represent a longer phrase or name. Examples include "NASA" for National Aeronautics and Space Administration, and "HIV" for Human Immunodeficiency Virus. Acronyms are commonly used in technical and scientific fields.

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**Action letter** is a type of document used to communicate specific actions that need to be taken. It is typically used in business and government settings to assign tasks and track progress. An action letter usually includes a clear statement of the action to be taken, a deadline, and the person responsible for completing the task.

**Activation** is the process of starting or enabling a system, device, or service. It often involves entering a code or providing specific information to the system. Activation is commonly used in the context of software licenses and mobile phone services.

**Admission criteria** are the requirements and standards that must be met in order to be accepted into a program, institution, or organization. These criteria can vary widely depending on the field and the specific program, and may include factors such as academic performance, test scores, and work experience.

## CDISC Glossary Project

**Arthur Gertel**, Project Leader  
**Glossary Project Core Team:**  
**Patricia Beers Block**, Liaison from the Office of Science & Health, FDA;  
**Helle-Mai Gawrylewski**, Johnson and

Johnson PRD; **Arthur Gertel**, Beardsworth Consulting; **Stephen A. Raymond**, PHT Corporation; **Theresa Quinn**, Lockheed Martin; **Erin Muhlbradt**, PhD, Lockheed Martin

**Orientation.** The following Glossary is the eighth produced by the Glossary Project of CDISC, which seeks to harmonize definitions (including acronyms, abbreviations, and initials) used in the various standards initiatives undertaken by CDISC in clinical research. The purpose of the CDISC Glossary is also to serve the community of clinical researchers by selecting and defining terms pertaining to clinical research, particularly clinical investigations, sponsored by the pharmaceutical industry or a federal agency. The Glossary is publicly accessible on the CDISC Web site (CDISC.org), where comments on the Glossary are welcomed.

Note that this CDISC Glossary is NOT comprehensive for all words bearing on human health, medicine, or laboratory methods. The Glossary includes references and links to other glossaries such as regulatory dictionaries and to health-related controlled terminologies that are known to be useful in conducting clinical research, including the CDISC Terminology Project.

Glossary terms are organized alphabetically by first word according to the opinion of the Glossary Project Team concerning most common usage in clinical research. Thus "source

document verification" would appear under "source," not "verification." The Glossary follows the practice of preceding certain terms with the letter "e" to denote that they pertain to electronic or Web implementations. Each term in the Glossary has the following conventions concerning content and order of presentation:

**Term.** The word or phrase being defined is followed by a period. Only proper nouns are capitalized.

**Definition.** Multiple meanings of the same term are numbered 1., 2., 3., etc.

**NOTE:** Comments including usage or domain knowledge related to a term may follow the definition.

**Source(s).** The sources for definitions are cited (see "Reference Citations") in square brackets. Where the definition has been altered by CDISC, the citation states "modified from." Where the definition has been drawn by CDISC from text that is not itself a definition, the citation states "after" or "from." Where no source is listed, the definition is from CDISC.

**Related terms.** Some definitions offer synonyms (See), comments, or related terms (See also or Compare to) to sharpen or expand upon the definition.

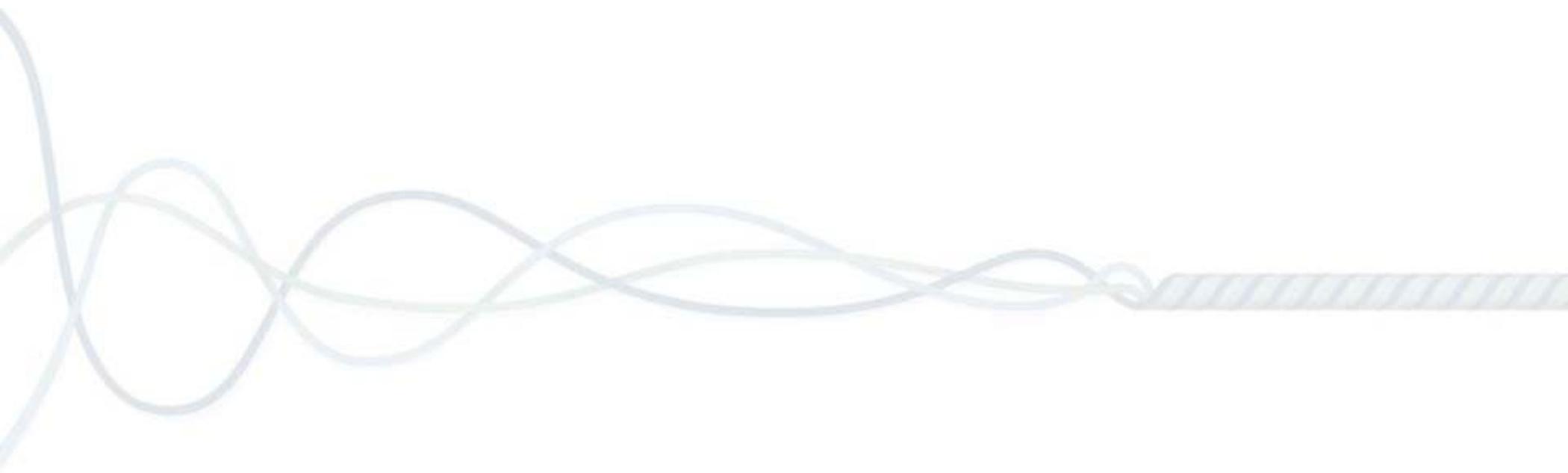
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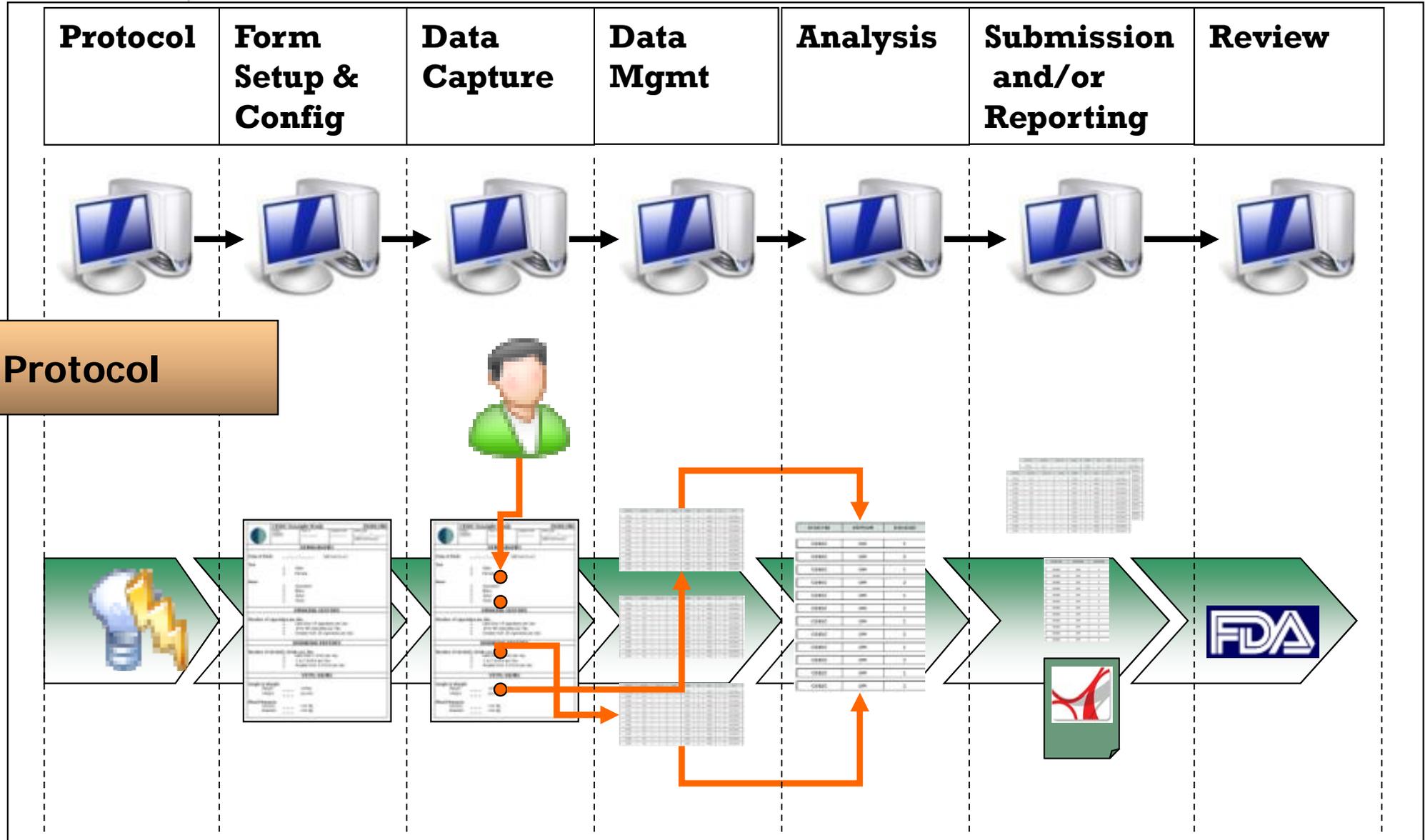
# Glossary



# PROTOCOL REPRESENTATION



# CDISC Production Standards



# Protocol Representation: Project Scope and Objectives

Protocol Representation will identify standard elements of a clinical study protocol that can be further elucidated and codified to facilitate study design, regulatory compliance, project management, trial conduct and data interchange among consumers and systems.

This work will be based upon the needs of protocol consumers, which may include regulatory authorities, IRBs, statisticians, project managers, site personnel and users of any downstream systems for the management of clinical research information.

Project Objective(s): Publication of a standard, machine-readable model for protocol representation that will enable interchange of this data among systems and stakeholders.

*PR Group April 2002*

# Protocol Representation

## 3.1. Summary of Study Design

FORM: Bolded, Arial, 14pt,  
Heading Level 1

This is a prospective, randomized, double-blind, double-dummy, placebo controlled, forced-titration, multicenter, parallel group trial. Stage I or II hypertensive patients, age 18 years of age or older, who meet all other inclusion and exclusion criteria and successfully complete the placebo run-in period will be randomized at the site level.

FORM: Arial,  
14 pt, Body text

**Not very Useful!**

Source: Cara Willoughby

# A Document Example: Structuring Information by “Meta” Information

## 3.1. Summary of Study Design

This is a prospective, randomized, **double-blind**, double-dummy, placebo controlled, forced-titration, multicenter, **parallel group trial**. **Stage I or II hypertensive patients, age 18 years of age or older**, who meet all other inclusion and exclusion criteria and successfully complete the placebo run-in period will be randomized at the site level.

**Configuration**

**Subject age  
description**

**Population  
disease  
description**

**Degree of  
blind**

Source: Kristin O'Connor

# A Document Example: Structuring Information by “Meta” Information

“Meta” Information about Content	Content
Subject age description	Age 18 years of age or older
Configuration	Parallel group trial
Population disease description	Stage I or II hypertensive patients
Degree of blind	Double-blind

**Much More Useful!**

# Value of a Protocol Representation Standard

- Structured information to facilitate re-use (trial registries, study design, reporting)
- Ensure compliance with IRB requirements
- Facilitate study team comprehension of requirements
- Automation of CRF creation or EHR configuration to support clinical research

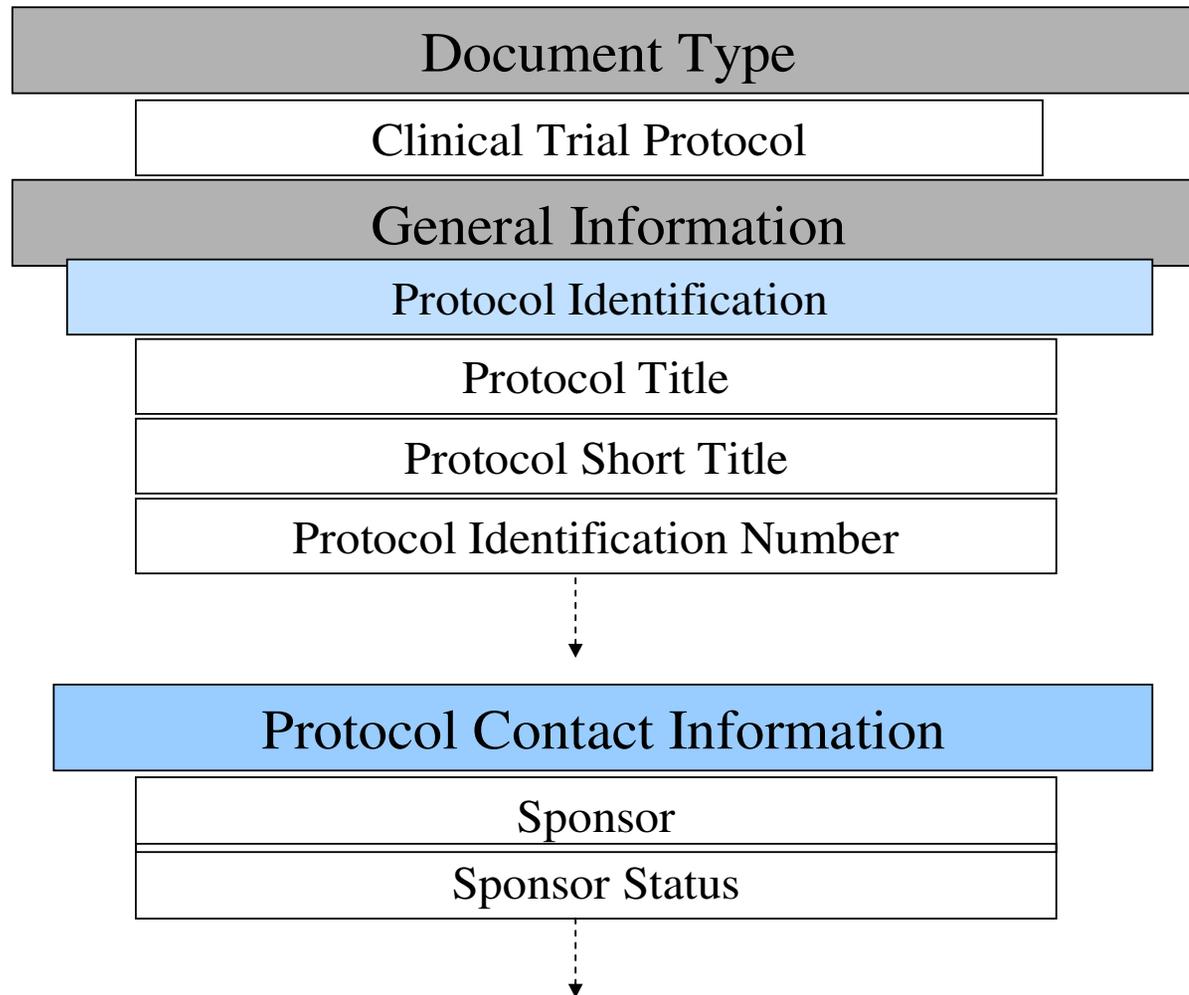
NOTE: NOT intended to inhibit creativity or innovation in study designs

# PRG Approach

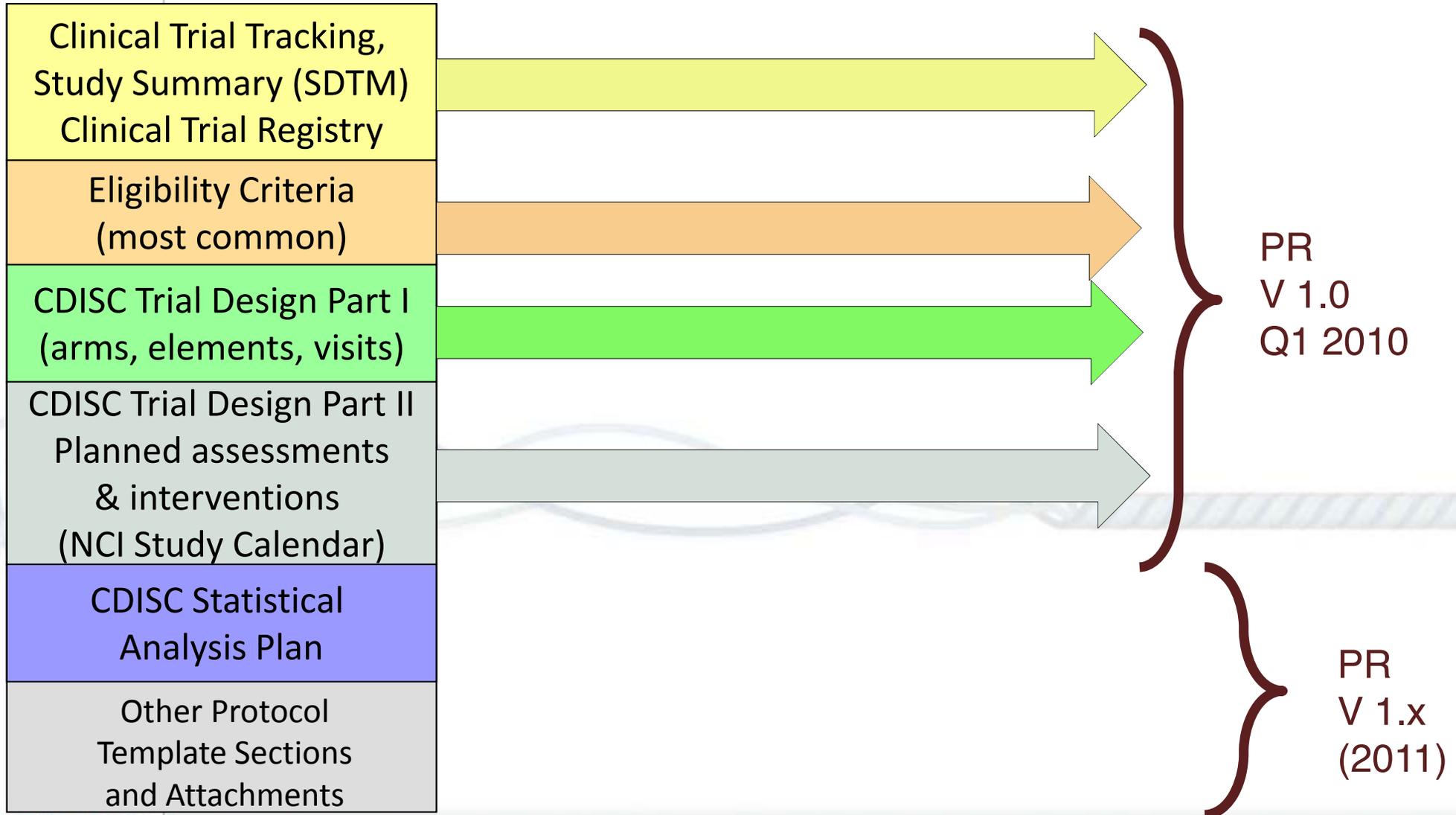
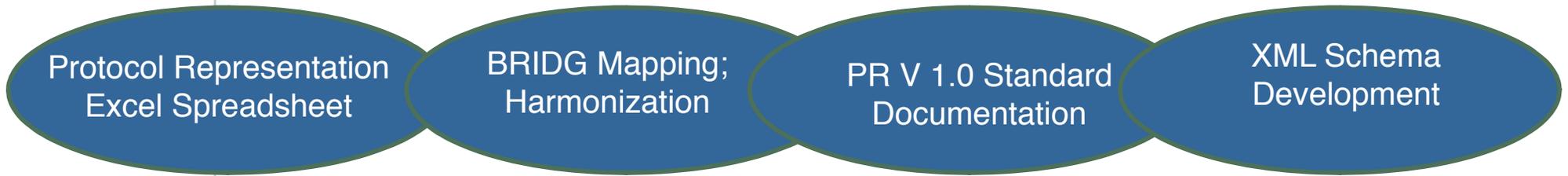
- Development should concentrate on **content first** and implementation second
- Elements must be **defined in a glossary**, since the industry uses multiple definitions for the majority of protocol elements
  - CDISC Glossary, Applied Clinical Trials, published yearly
- Identify **core set of elements** initially, expand with further details as needed
- **Initially based on**
  - ICH E6 - Basis for the development and organization
  - ICH E3 - Terms & definitions
  - EudraCT (EMEA) - Key words and Protocol description
  - Specific topics (e.g. IRB, SAP-E9)
  - Clinicaltrials.gov and WHO ICTRP

# Protocol Representation – Hierarchy

Sample: *Sections, Sub-sections, Elements*



# CDISC Protocol Representation Standard - Development

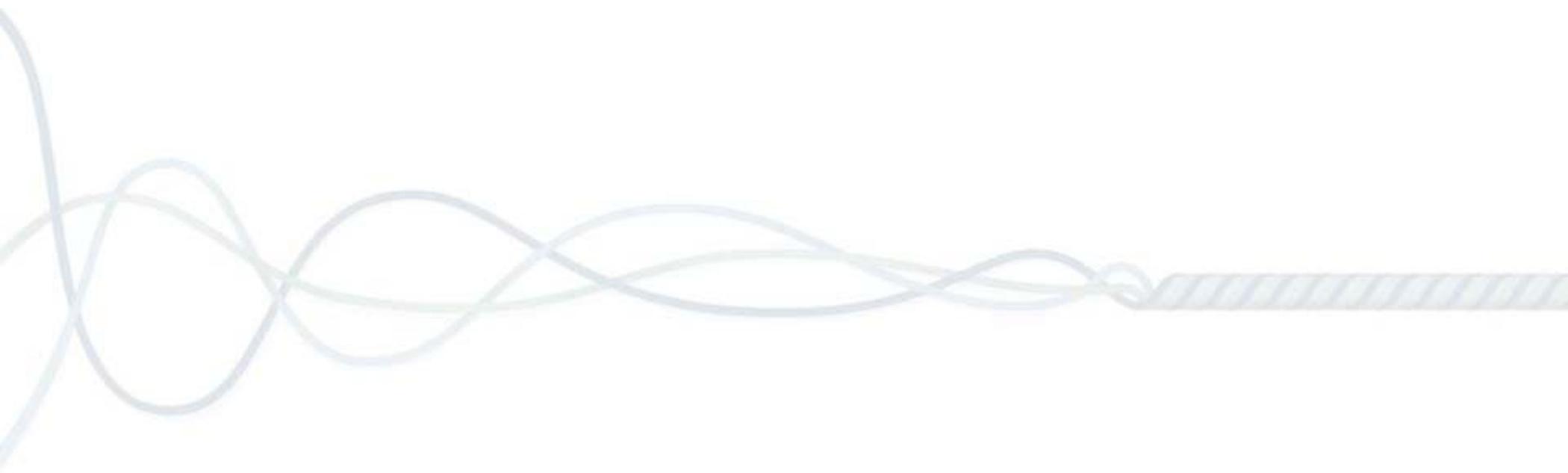


Protocol Section	CRF Development	Data Collection	Data Analysis	Report or eSubmission
Info for Trial Registration				Basic Info/ Trial Summary (Registration)
Eligibility Criteria				Eligibility Criteria
Study Design: Arms, Epochs				Study Design: Arms, Epochs
Study Design: Planned Events				Study Design: Planned Events
	<i>CDASH</i> CRFs	Data Collection	Data Tabulation	SDTM Data
Statistical Analysis Plan			Data Analysis	<i>ADaM</i> Datasets
Appendices, etc.				Appendices, etc.

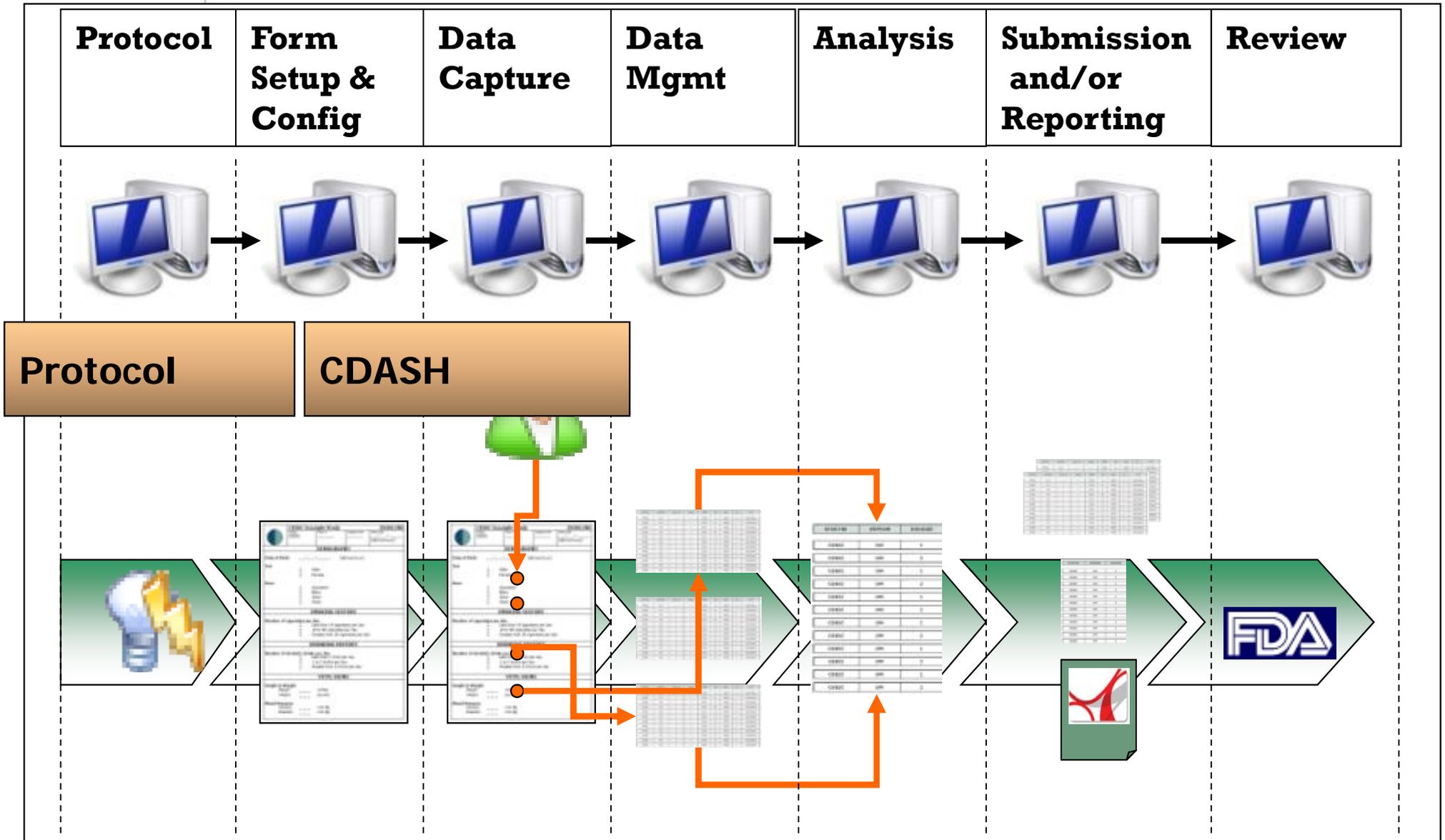
**Information Re-Use  
Improved Quality and Efficiency**

*PR Version 1.0*      *SDTM*

# **CLINICAL DATA ACQUISITION STANDARDS HARMONIZATION (CDASH)**



# CDISC Production Standards



- **FDA CRITICAL PATH INITIATIVE:  
STREAMLINING CLINICAL TRIALS**

- *Creating Innovative and Efficient Clinical Trials and Improved Clinical Endpoints*

- 45. Consensus on Standards for Case Report

**Forms.** Clinical trial data collection, analysis, and submission can be inefficient and unnecessarily expensive. A wide array of different forms and formats are used to collect clinical trial information, and most data are submitted to the FDA on paper.

**Differences in case report forms across sponsors and trials creates opportunities for confusion and error.**

Standardization of the look and feel of case report forms could reduce these inefficiencies and also help accelerate progress toward electronic data capture and submission.

*“Innovation/Stagnation: Challenge and Opportunity on the Critical Path to New Medical Products”,  
Critical Path Opportunities List, March 2006, page L-10.*

# CDASH Project Snapshot

- Streamlines data collection at investigative sites - addresses Critical Path Opportunity #45
- Continuation of ACRO's Initiative
- Started October 2006
- Supported by a collaborative group of 17 organizations
- Initial Core Team of 16 members managed
  - 11 working groups
  - Composed of between 8-40 volunteers
- Current Leadership Team of 11 manages a Core Team of ~50
- 16 (+2) Safety data domains developed
- Consolidated document posted for public review in May 2008
- Received over 1800 comments from 46 companies, institutions and agencies.
- All 3 ICH regions were represented in the public comment process
  - US
  - Europe
  - Japan
- Harmonized with analogous NCI CRFs



# CDASH Standards



CDISC CDASH  
V 1.1 2010

UG V1.0 in progress: due  
Q1 2011

ODM CRF examples:  
included in UG; available to  
members Q4 2010

**Clinical Data Acquisition Standards  
Harmonization:  
Basic Data Collection Fields for Case Report  
Forms**

Prepared by the CDISC CDASH **Core and Domain Teams**

Revision History

Date	Version	Summary of Changes
2008-08-22	Final Draft 1.0	NA

# CDASH CRFs

## ODM Sample: Demographics

## Conformant to CDASH rules

### Annotated CRFs for CDASH

File OID: CDASH\_File\_2010-03-10

#### Demographics [OID=F.DM\_2010-03-10]

##### Demographics

[OID=IG.DM\_2010-03-10]

##### Birth Date

[OID=DM\_2\_2010-03-10|CDASH=BRTHDAT]

\_\_\_\_ - \_\_\_\_ - \_\_\_\_ dd-mmm-yyyy

##### Sex

[OID=DM\_9\_2010-03-10|CDASH=SEX|CDASH/SDTM=SEX]

FEMALE [F]  MALE [M]

[OID=CL\_SEX\_2010-03-10]

##### Ethnicity

[OID=DM\_10\_2010-03-10|CDASH=ETHNIC|CDASH/SDTM=ETHNIC]

NOT HISPANIC OR LATINO [NOT HISPANIC OR LATINO]

HISPANIC OR LATINO [HISPANIC OR LATINO]

NOT REPORTED [NOT REPORTED]

UNKNOWN [UNKNOWN]

[OID=CL\_ETHNIC.SUBSET\_ETHNIC\_2010-03-10]

##### Race

[OID=DM\_11\_2010-03-10|CDASH=RACE|CDASH/SDTM=RACE]

BLACK OR AFRICAN AMERICAN [BLACK OR AFRICAN AMERICAN]

AMERICAN INDIAN OR ALASKA NATIVE [AMERICAN INDIAN OR ALASKA NATIVE]

ASIAN [ASIAN]

NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER [NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER]

WHITE [WHITE]

OTHER [OTHER]

[OID=CL\_RACE\_2010-03-10]

##### Specify Other

[OID=DM\_12\_2010-03-10|CDASH=RACEOTH|CDASH/SDTM=SUPPDM.QNAM]

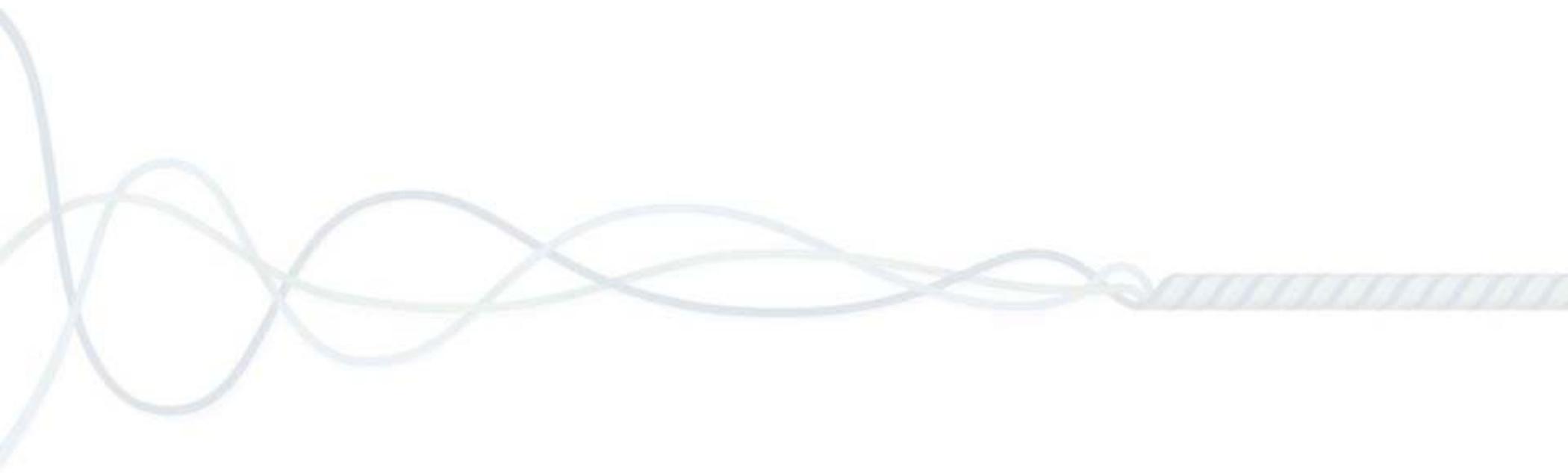
[999]

# General Recommendations

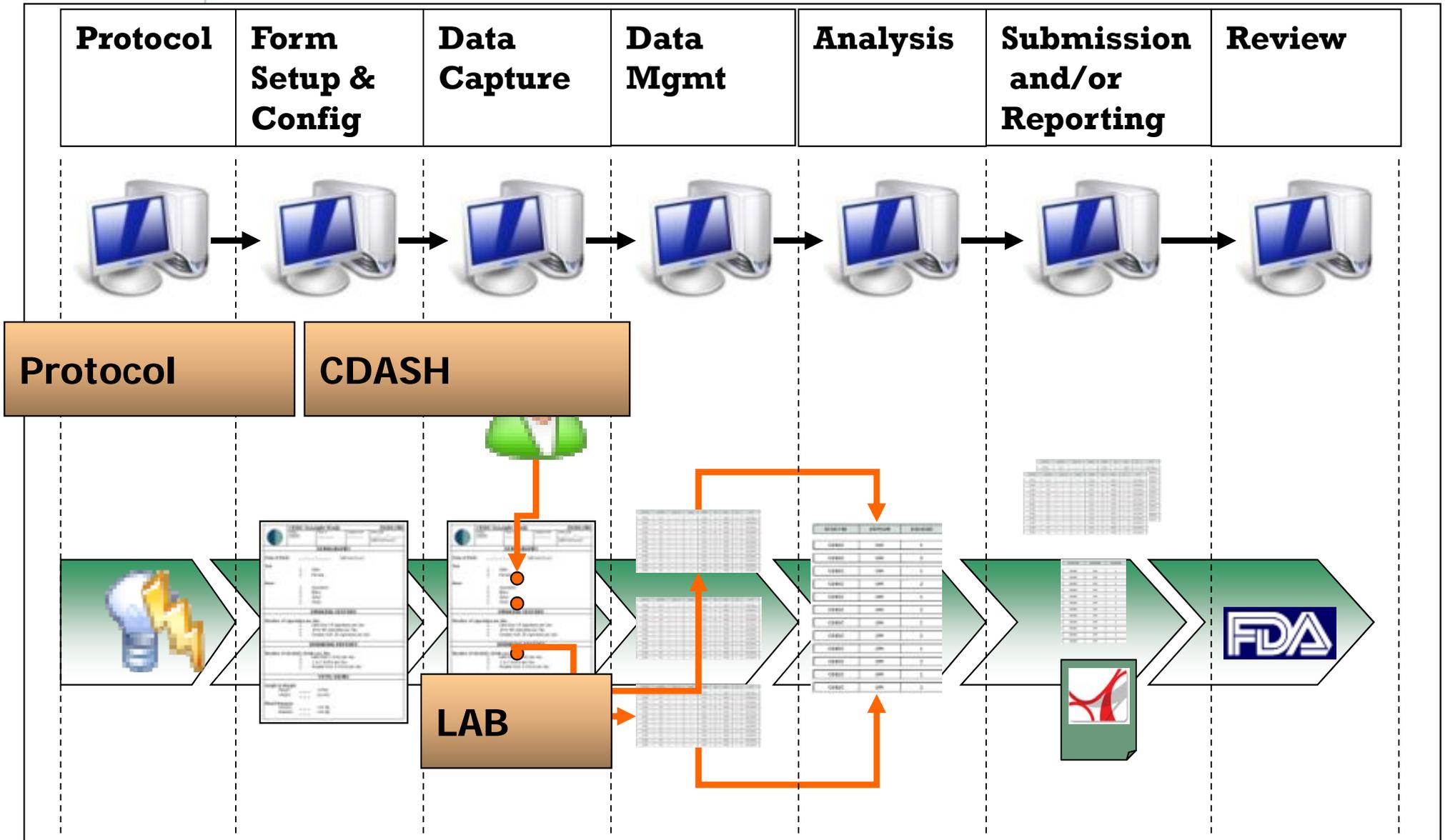
## Best Practice (General Recommendations and Observations Applicable to all Domains) TOC:

- **Implementation of CDASH Recommendations**
  - Mapping to SDTM and meeting regulatory requirements
  - Collecting data using CDISC Terminology
  - Collection of dates in unambiguous format
- **Recommended Methodologies for Creating Data Collection Instruments**
  - Methodologies
  - FAQs
  - Suggested CRF Development Process Flowchart
- **Use Common Identifier Variables that map to SDTM**

# LABORATORY MODEL (LAB)

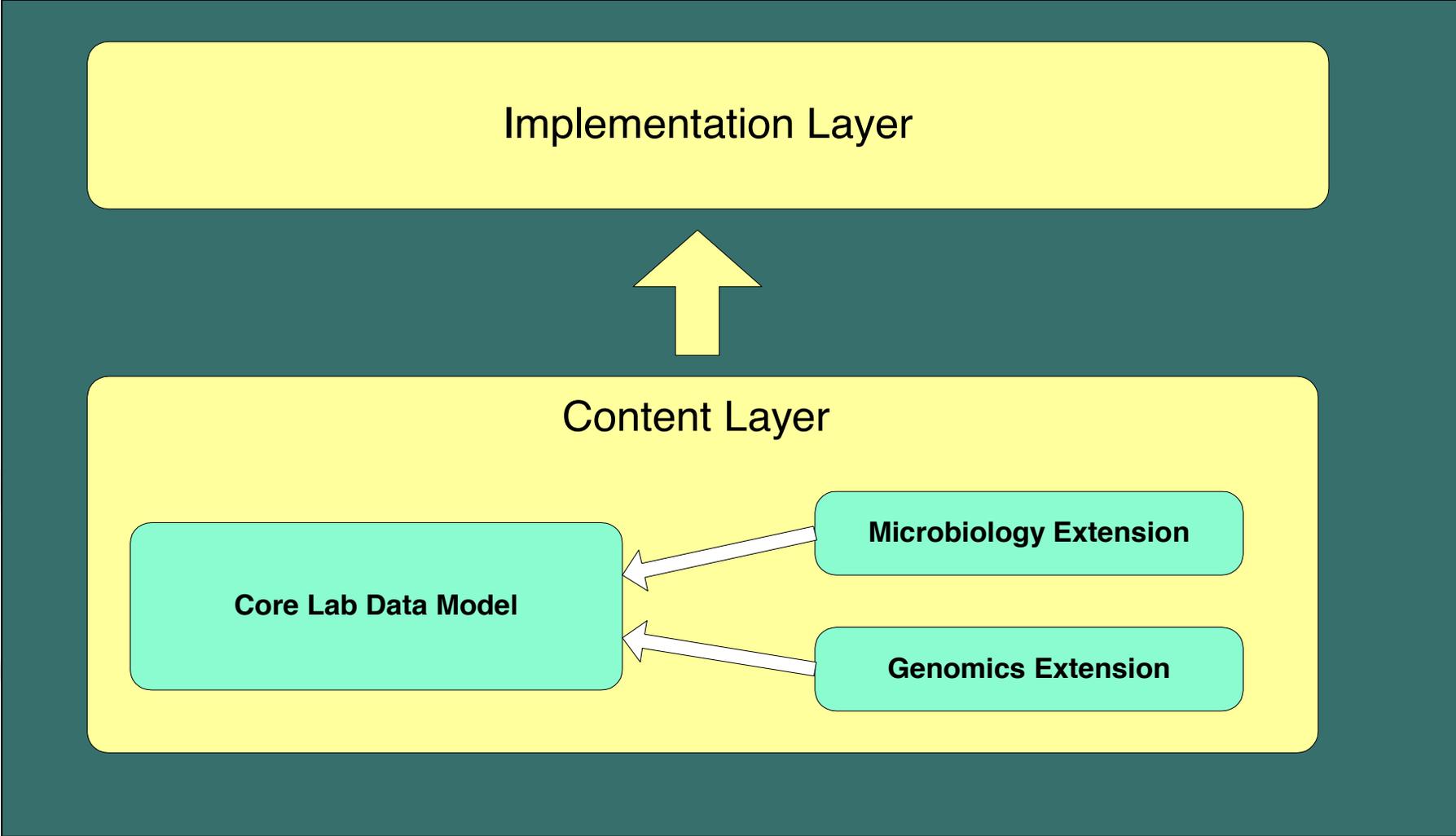


# CDISC Production Standards



# CDISC LAB Model (Lab)

- Primary AIMS
  - Interchange of test results & reference ranges
  - Incremental and cumulative data interchange
  - Full range of transaction types
  - Interchange data from 1+ studies in single file
  - Support the bulk transfer of laboratory data

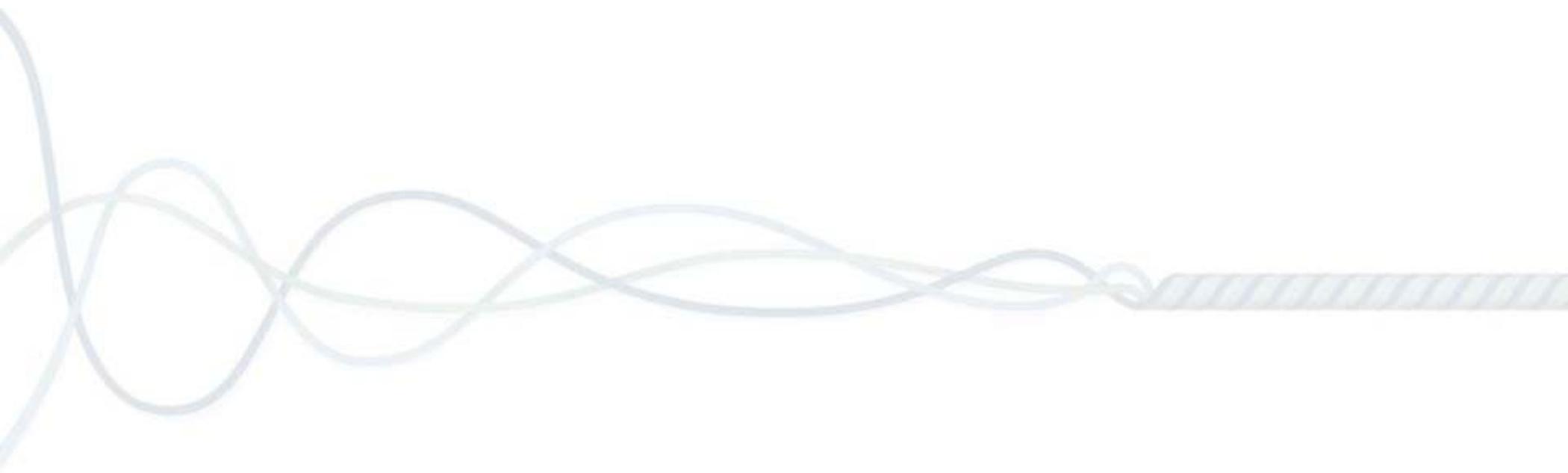


# CDISC Lab Core Model Levels

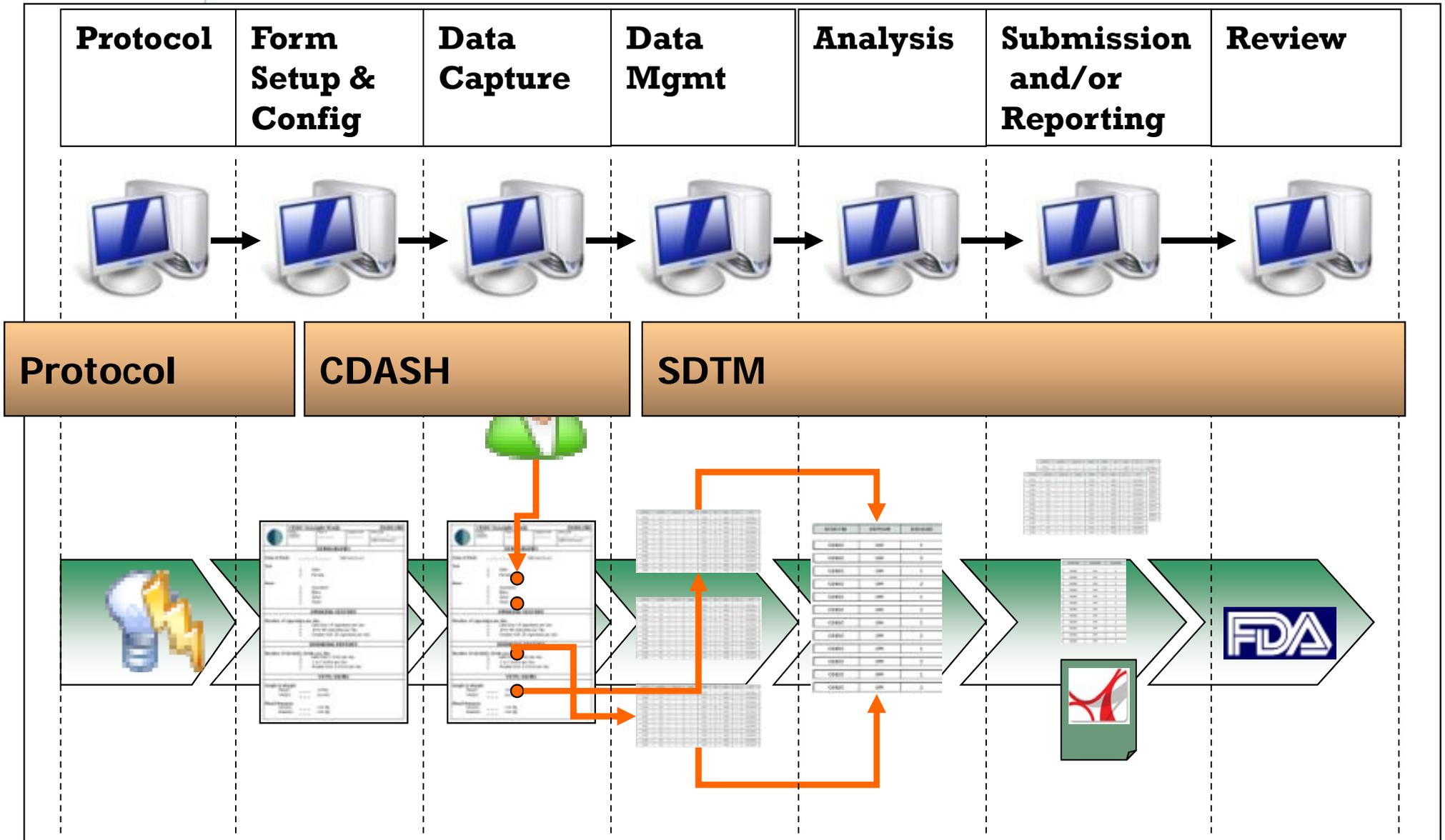
1. GTP (Good Transmission Practice)
2. Study
3. Site/Investigator
4. Subject
5. Visit
6. Accession (Kit) Record Type
7. Specimen (Container)
8. Battery
9. Test
10. Result

**LAB Standard Content/Information can be transported using CDISC ODM, HL7 V3, HL7 V2.5, ASCII, SAS...)**

# **STUDY DATA TABULATION MODEL (SDTM)**



# CDISC Production Standards



# Data without Standards

Name for Subject ID is never the same

Study #1 – demog.xpt

SUBJID	SEX
0001	M
0002	F
0003	F
0004	M
0005	F

Is Sex Male or Female, M or F, 1 or 2?

Study #2 – dmg.xpt

ID	GENDER
A1	Male
A2	Male
A3	Female
A4	Female
A5	Male

Study #4 – dmghp.xpt

PTID	GENDER
0001	1
0002	1
0003	2
0004	2
0005	1

Name for demography dataset is variable???

Study #3 – axd222.xpt

USUBID	SEX
00011	0
00012	1
00013	1
00014	0
00015	1

Gender or Sex, what will today's submission use?

Column Header  
(Variable) for  
Subject ID  
is always the  
same

# with Standards

Study #2 – DM.xpt

Name for  
demography  
dataset  
always the  
same!

Study #3 – DM.xpt

Study #1 – DM.xpt

USUBJID	SEX
ABC-0001	M
ABC-0002	F
ABC-0003	F
ABC-0004	M
ABC-0005	F

USUBJID	SEX
DEF-001	M
DEF-002	M
ABC-001	F
DEF-004	F
DEF-005	M

USUBJID	SEX
JKL-011	M
JKL-012	F
GHI-003	F
JKL-014	M
JKL-015	F

Study #4 – DM.xpt

USUBJID	SEX
GHI-001	M
GHI-002	M
GHI-003	F
GHI-004	F
GHI-005	M

Sex is always  
reported using  
the same  
terminology  
(codelist)

Sex is always  
reported  
using the  
same variable  
name.

# SDTM Basics

## Structures Based Upon General Observation Classes

### Interventions:

- Investigational treatments, therapeutic treatments, and procedures administered to or taken by the subject
- One record per constant dosing/treatment interval
- Examples: study medications(EX), concomitant medications(CM)

### Events:

- Occurrences or incidents independent of planned study evaluations occurring during the trial or prior to the trial
- One record per event
- Examples: medical history(MH), adverse events(AE)

### Findings:

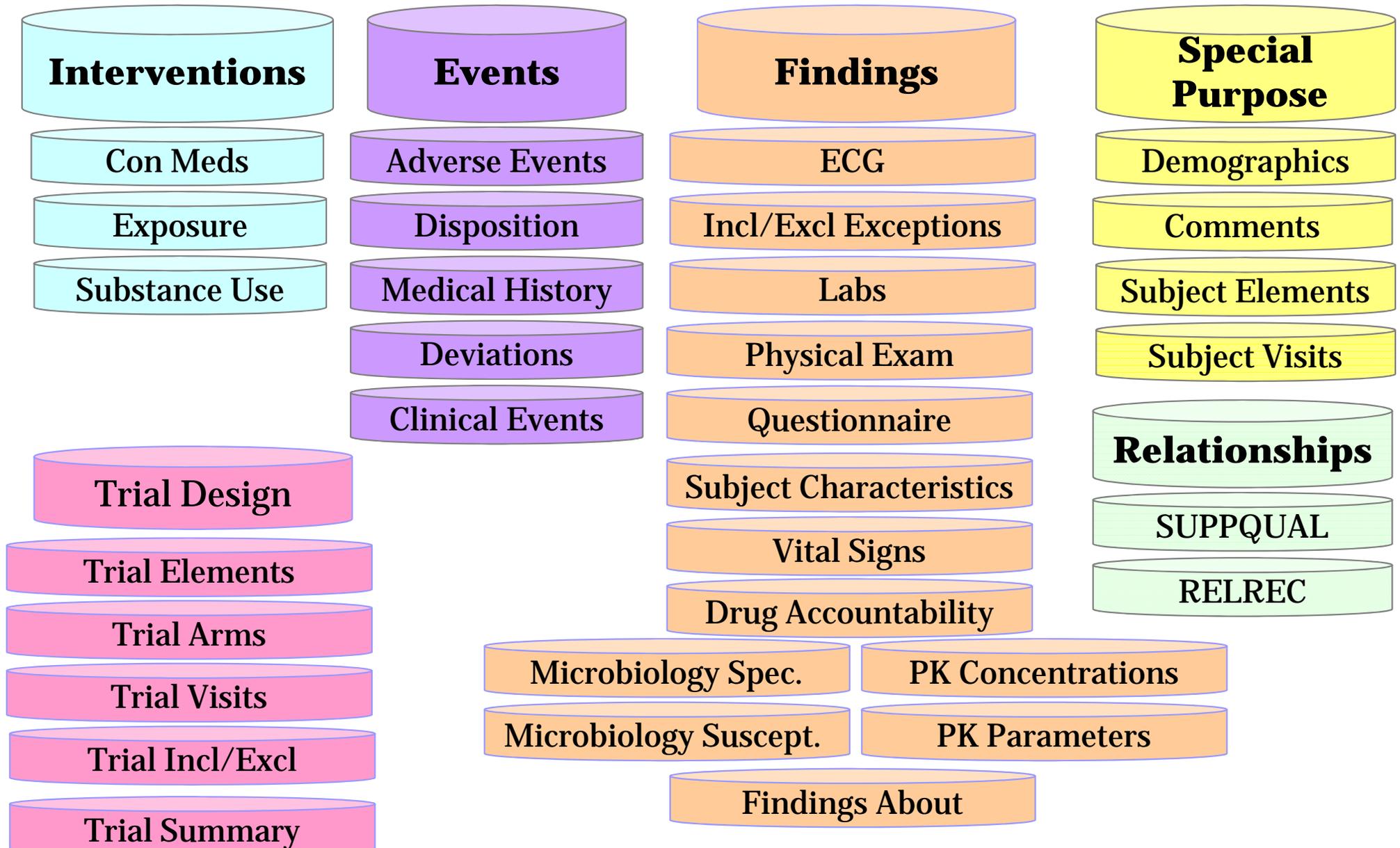
- Observations resulting from planned evaluations
- One record per finding result or measurement
- Examples: lab data(LB), vital signs(VS)

# SDTM Basics

## Special Purpose Domains, Trial Design and Relationships

- Not Classified as Interventions, Events, or Findings
- They Have Special Rules
  - Demographics(DM)
    - Subject data
  - Comments(CO)
    - Free-text comments
  - Supplemental Qualifiers
    - Used for data items not included in the SDTM standard
  - RELREC
    - Used for Relating records across datasets
  - Trial Design Tables
    - Planned treatments, planned visits
  - Subject Element and Visit tables
    - Subject actual experience

# SDTMIG Standard Domains – v3.1.2



# SDTM Example – Laboratory Data (LB) - Findings

	STUDYID	DOMAIN	USUBJID	LBSEQ	LBTESTCD	LBTEST	LBCAT	LBORRES	LBORRESU	LBORNRO	LBORNRI
<b>Row 1</b>	ABC	LB	ABC-001-001	1	GLUCOSE	Glucose	Urine	7	mg/dL	1	15
<b>Row 2</b>	ABC	LB	ABC-001-001	2	GLUCOSE	Glucose	Urine	11	mg/dL	1	15
<b>Row 3</b>	ABC	LB	ABC-001-001	3	GLUCOSE	Glucose	Urine	9	mg/dL	1	15

	LBSTRESC	LBSTRESN	LBSTRESU	LBSTNRLO	LBSTNRHI	VISIT	VISITNUM
<b>Row 1 (cont)</b>	0.38	0.38	mmol/L	0.1	0.8	BASELINE	1
<b>Row 2 (cont)</b>	0.61	0.61	mmol/L	0.1	0.8	BASELINE	1
<b>Row 3 (cont)</b>	0.5	0.5	mmol/L	0.1	0.8	BASELINE	1

	LB DTC	LBENDTC	LBTPT	LBTPTNUM	LBELTM	LBTPTREF	LBRFTDTC
<b>Row 1 (cont)</b>	1999-06-19T04:00	1999-06-19T07:45	Pre-dose	1	-P15M	Dosing	1999-06-19T08:00
<b>Row 2 (cont)</b>	1999-06-19T08:00	1999-06-19T16:00	0-8 hours after dosing	2	P8H	Dosing	1999-06-19T08:00
<b>Row 3 (cont)</b>	1999-06-19T16:00	1999-06-20T00:00	8-16 hours after dosing	3	P16H	Dosing	1999-06-19T08:00



# Study Data Domains

[Preferences](#) [Settings](#) [Feedback](#) [Exit](#) [Help](#)

[Home](#) [Select](#) [Domains](#) [Screening](#) [Subject Lists](#) [Reports](#) [Advanced](#) [Load&Check](#) [Run History](#)

User: BATCHAPP Administrator [admin], Application/Study: Wonderdrug ISS/ISS forWonderdrug

**Application: Wonderdrug ISS      Study: ISS forWonderdrug      Sponsor: Company 1      Last Data Load: 03/25/2005 14:13:49 EST**  
**Last Run Name: Re-Run of Initial load of Wonderdrug IS**

[View Complete Error Log](#)

Domain	Subjects	Description	Report	Download Rows	Variables	Structure Errors	Consistency Errors
<a href="#">AE</a>	<a href="#">2072</a>	<a href="#">Adverse Events</a>		<a href="#">10733 rows</a>	<a href="#">65</a>	0	<a href="#">29</a>
<a href="#">AE_SUPP</a>	<a href="#">2072</a>	<a href="#">Supplemental Qualifier Vars</a>		<a href="#">66593 rows</a>	<a href="#">10</a>	0	0
<a href="#">CM</a>	<a href="#">2801</a>	<a href="#">Concomitant Meds</a>		<a href="#">51027 rows</a>	<a href="#">61</a>	0	<a href="#">12</a>
<a href="#">CM_SUPP</a>	<a href="#">2783</a>	<a href="#">Supplemental Qualifier Vars</a>		<a href="#">454710 rows</a>	<a href="#">10</a>	0	0
<a href="#">DM</a>	<a href="#">2826</a>	<a href="#">Demographics</a>		<a href="#">2826 rows</a>	<a href="#">32</a>	0	<a href="#">4</a>
<a href="#">DS</a>	<a href="#">2826</a>	<a href="#">Disposition</a>		<a href="#">12303 rows</a>	<a href="#">34</a>	0	<a href="#">4</a>
<a href="#">EG</a>	<a href="#">2768</a>	<a href="#">ECG</a>		<a href="#">82727 rows</a>	<a href="#">52</a>	0	<a href="#">4</a>
<a href="#">LB</a>	<a href="#">2819</a>	<a href="#">Lab</a>		<a href="#">452750 rows</a>	<a href="#">60</a>	0	<a href="#">4</a>
<a href="#">MH</a>	<a href="#">2826</a>	<a href="#">Medical History</a>		<a href="#">12331 rows</a>	<a href="#">56</a>	0	<a href="#">4</a>
<a href="#">MH_SUPP</a>	<a href="#">2816</a>	<a href="#">Supplemental Qualifier Vars</a>		<a href="#">23577 rows</a>	<a href="#">10</a>	0	0
<a href="#">OT</a>	<a href="#">2506</a>			<a href="#">16037 rows</a>	<a href="#">57</a>	0	<a href="#">3</a>
<a href="#">SC</a>	<a href="#">2818</a>	<a href="#">Subject Characteristics</a>		<a href="#">226047 rows</a>	<a href="#">32</a>	0	0
<a href="#">VS</a>	<a href="#">2797</a>	<a href="#">Vital Signs</a>		<a href="#">110680 rows</a>	<a href="#">48</a>	0	0

Maximum Error Severity Levels:



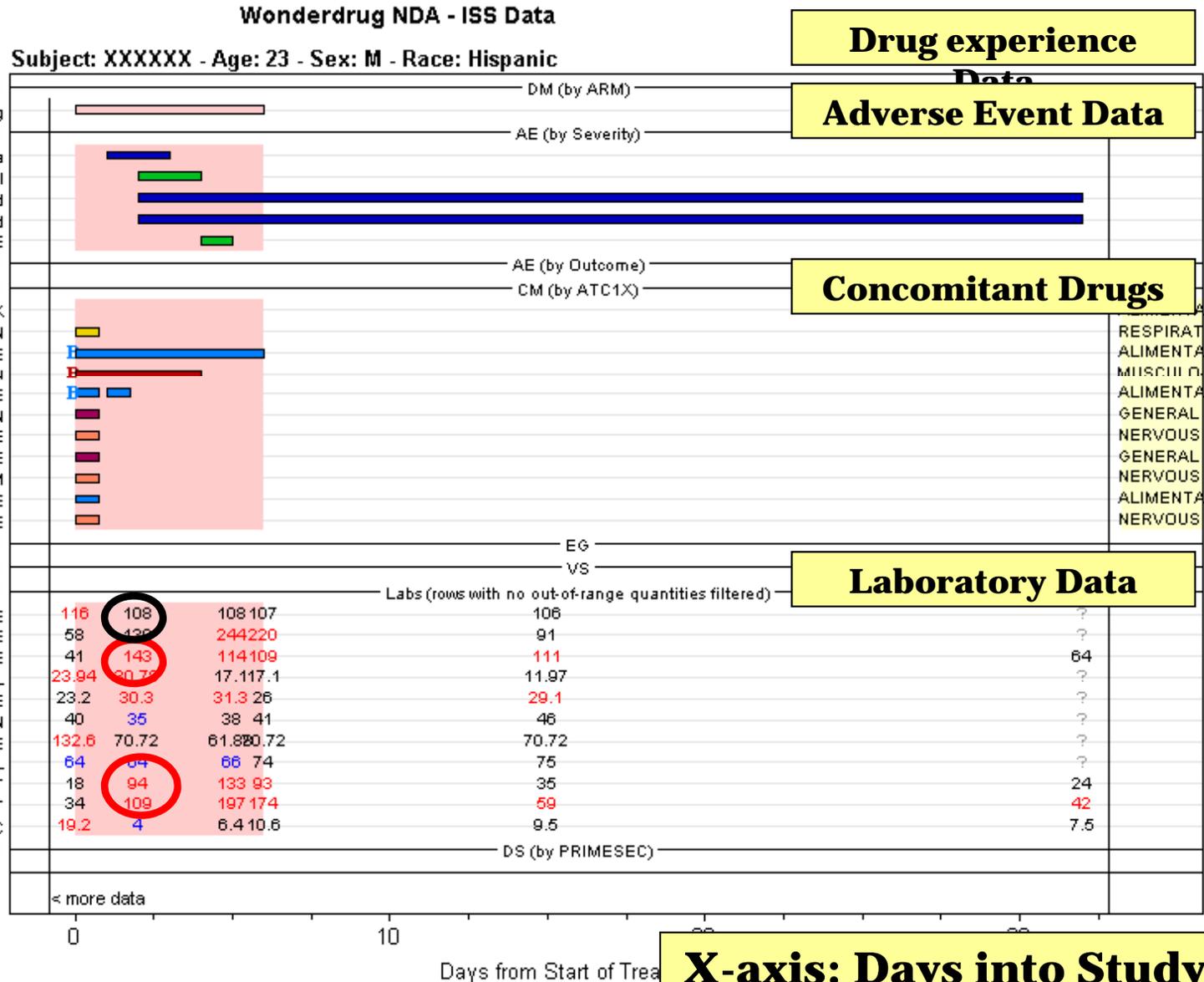
Done

Local intranet

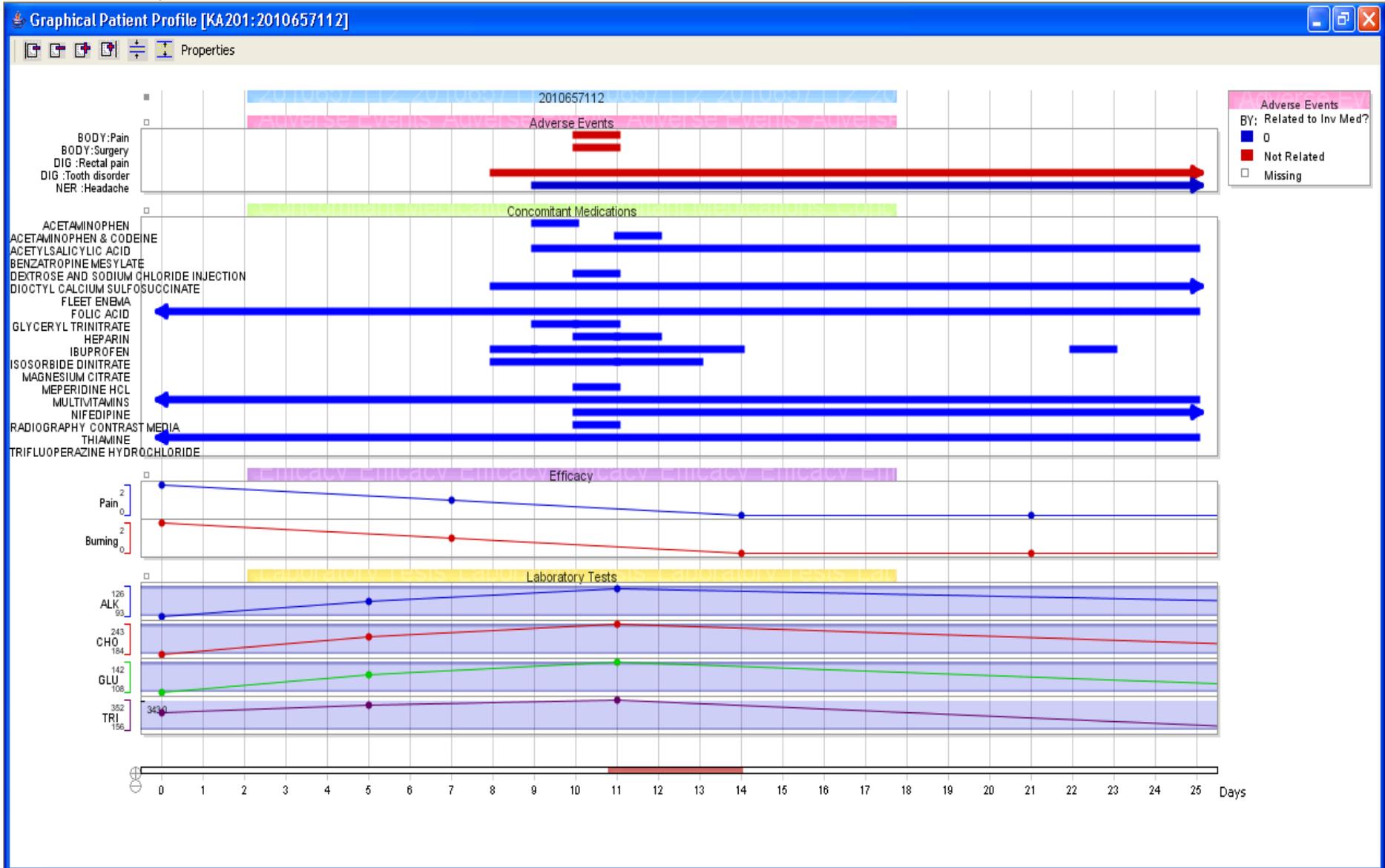


# Assessing Potential Liver Injury by Analyzing Increases in Serum Alanine Aminotransferase (ALT) and Total Serum Bilirubin (TBILI) IN ONE STEP

**Individual Patient Profile:**  
Linkage of several data tables using the same timeline



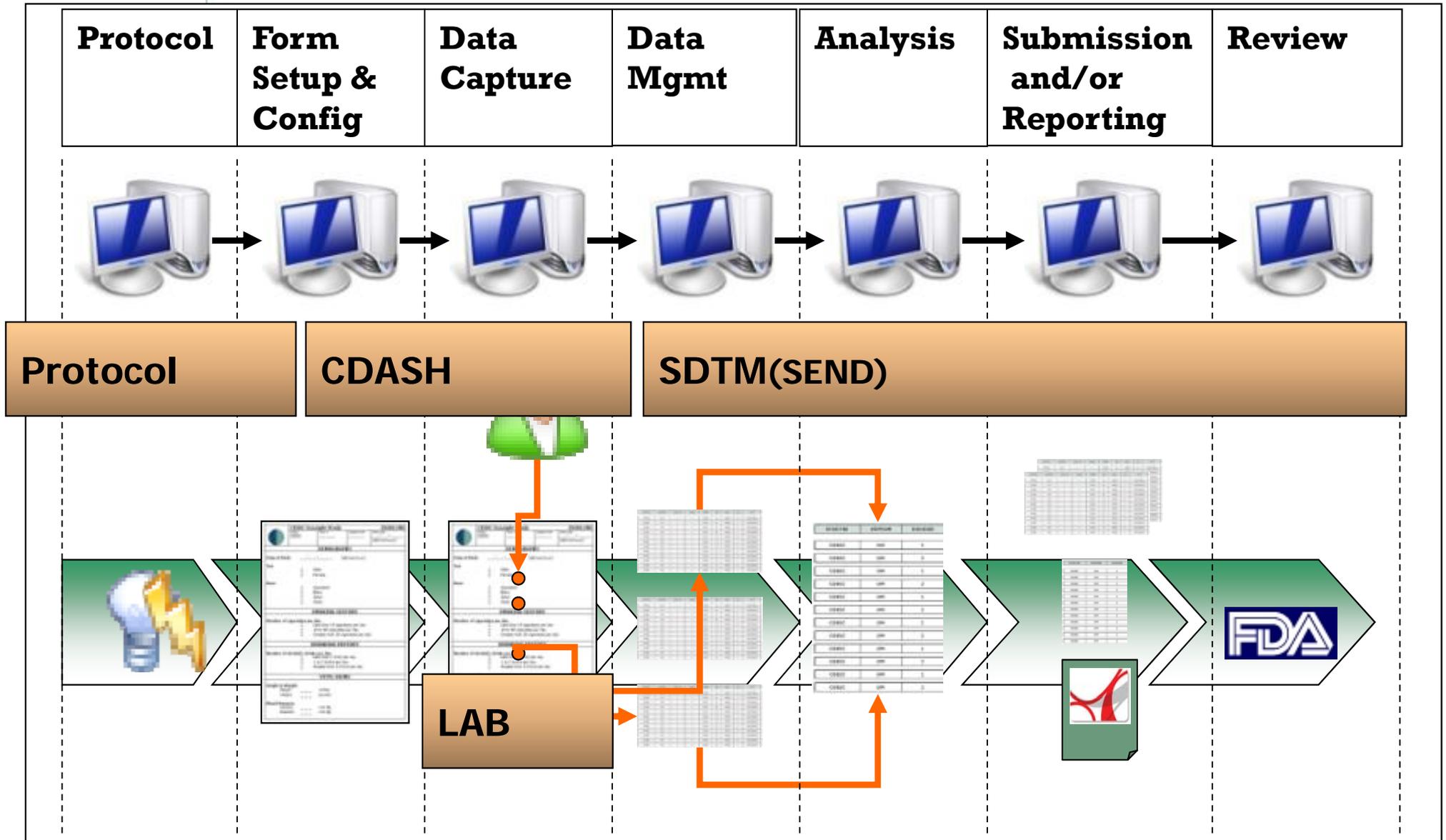
# J Review



# STANDARD FOR EXCHANGE OF NONCLINICAL DATA (SEND)



# CDISC Production Standards



# SEND

- SEND is an implementation of SDTM for animal data
- SEND defines domains and variables for submitting all data generated from animal toxicity studies
  - Includes: single- and repeat-dose toxicity, carcinogenicity, reproductive toxicity, and rodent micronucleus
  - Does not include data generated from in vitro studies or as part of basic pharmacology or efficacy studies conducted in animals
- CRADA (April 2002) between PharmQuest and CDER to develop and evaluate software tools for receiving, storing, viewing and analyzing nonclinical (i.e., animal toxicity) data based on SEND model

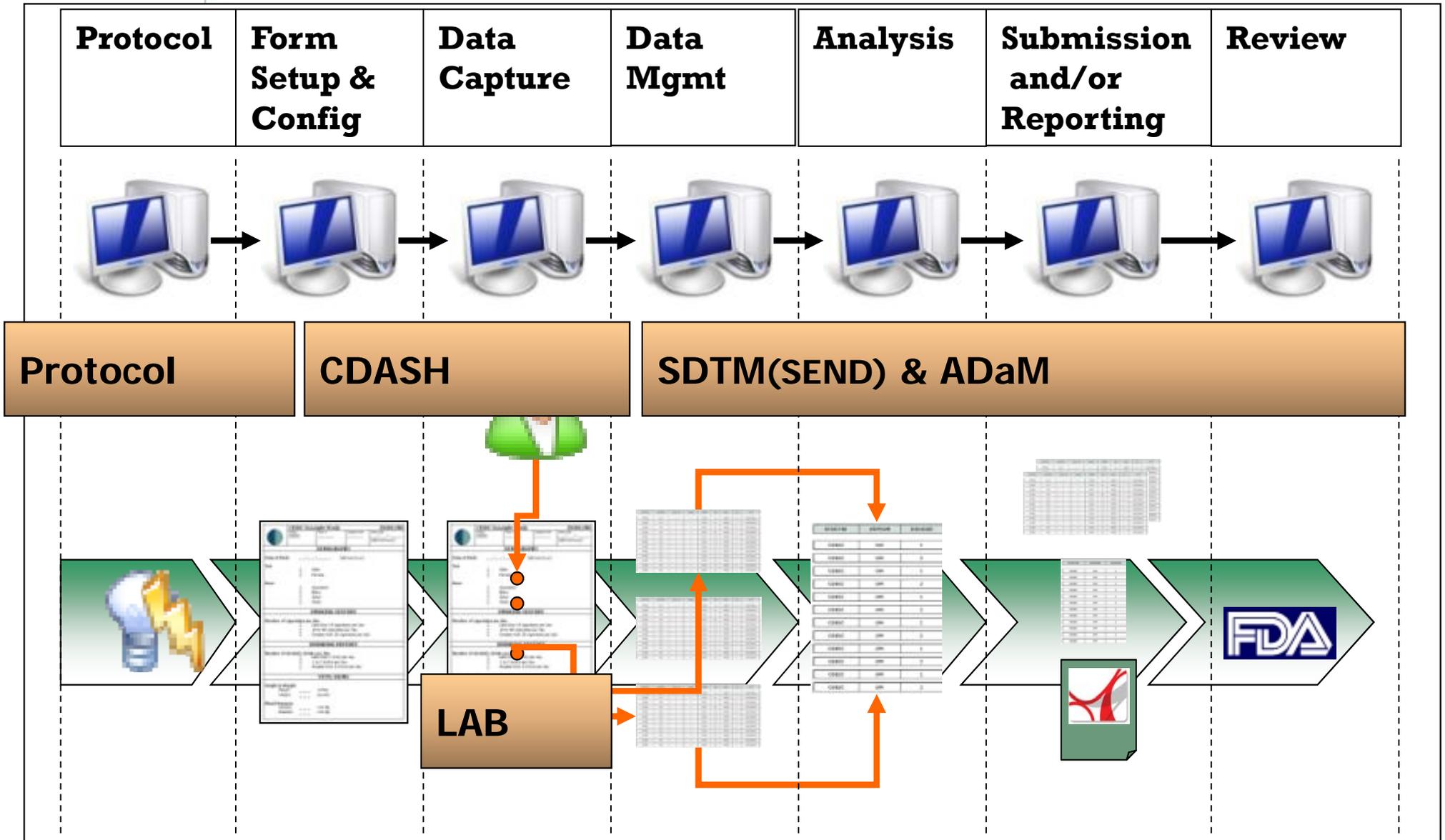
# SEND v2.3 Findings Domains

- Animal Characteristics
- Water Consumption
- Clinical Signs
- Clinical Pathology
- Organ Weights
- Fetal Data
- Group Observations
- Drug/Metabolite Levels
- Tumor Analysis
- Vital Signs
- Food Consumption
- Body Weights
- Animal Disposition
- Macroscopic Findings
- Microscopic Findings
- Fertility
- Group Characteristics
- Study Summary
- Rodent Micronucleus

# **ANALYSIS DATA MODEL (ADaM)**



# CDISC Production Standards



# Analysis Data Model: Version 2.1

- ADaM used for statistical analysis and reporting
- Describes
  - key principles
  - conventions for standard analysis variables
  - provides an example of a key subject-level analysis file
- Describes metadata specific for Analysis Datasets
  - Analysis dataset metadata
  - Analysis variable metadata
  - Analysis results metadata

} structured documentation of analysis datasets

# Key Principles for Analysis Dataset Creation

Analysis datasets should:

- facilitate clear and unambiguous communication
- be useable by currently available tools
- be linked to machine-readable metadata
- be analysis-ready
- include subject-level analysis dataset named ADSL
- use the convention: ADxxxxxx for naming
- have optimum number of datasets so minor programming needed
- maintain SDTM variable attributes for same variables
- use SDTM naming fragments where feasible

# Example: Analysis Dataset

An ADaM dataset should be named "ADxxxxxx"

SAMPLE DATASET FOR ADSL									
Obs	STUDYID	USUBJID	SAFFL	ITTFL	PPROTF	COMPLTF	DSREAS	AGE	AGEGR1
1	XX0001	0001-1	Y	Y	Y	Y		30	21-35
2	XX0001	0001-2	Y	Y	N	N	ADVERSE EVENT	38	36-50

SAMPLE DATASET FOR ADSL (continued)									
Obs	AGEGR1N	SEX	RACE	RACEN	TRT01P	TRT01PN	HEIGHTBL	WEIGHTBL	BMIBL
1	2	F	WHITE	1	DRUG A	1	170	63.5	21.97
2	3	M	ASIAN	4	PLACEBO	0	183	86.2	25.74

SDTM variable with no changes

ADaM Treatment Variable

# Why both SDTM & ADaM Datasets?

## SDTM Datasets:

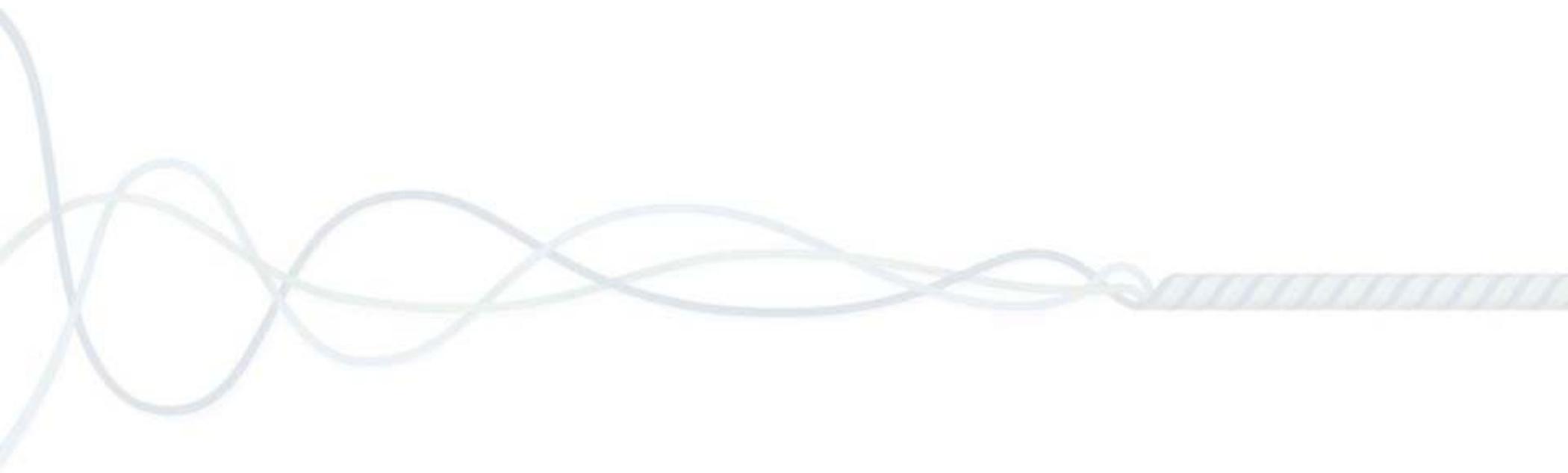
- observations from a clinical trial
- useful in medical officer evaluation of safety
- how the data were collected

## ADaM Datasets:

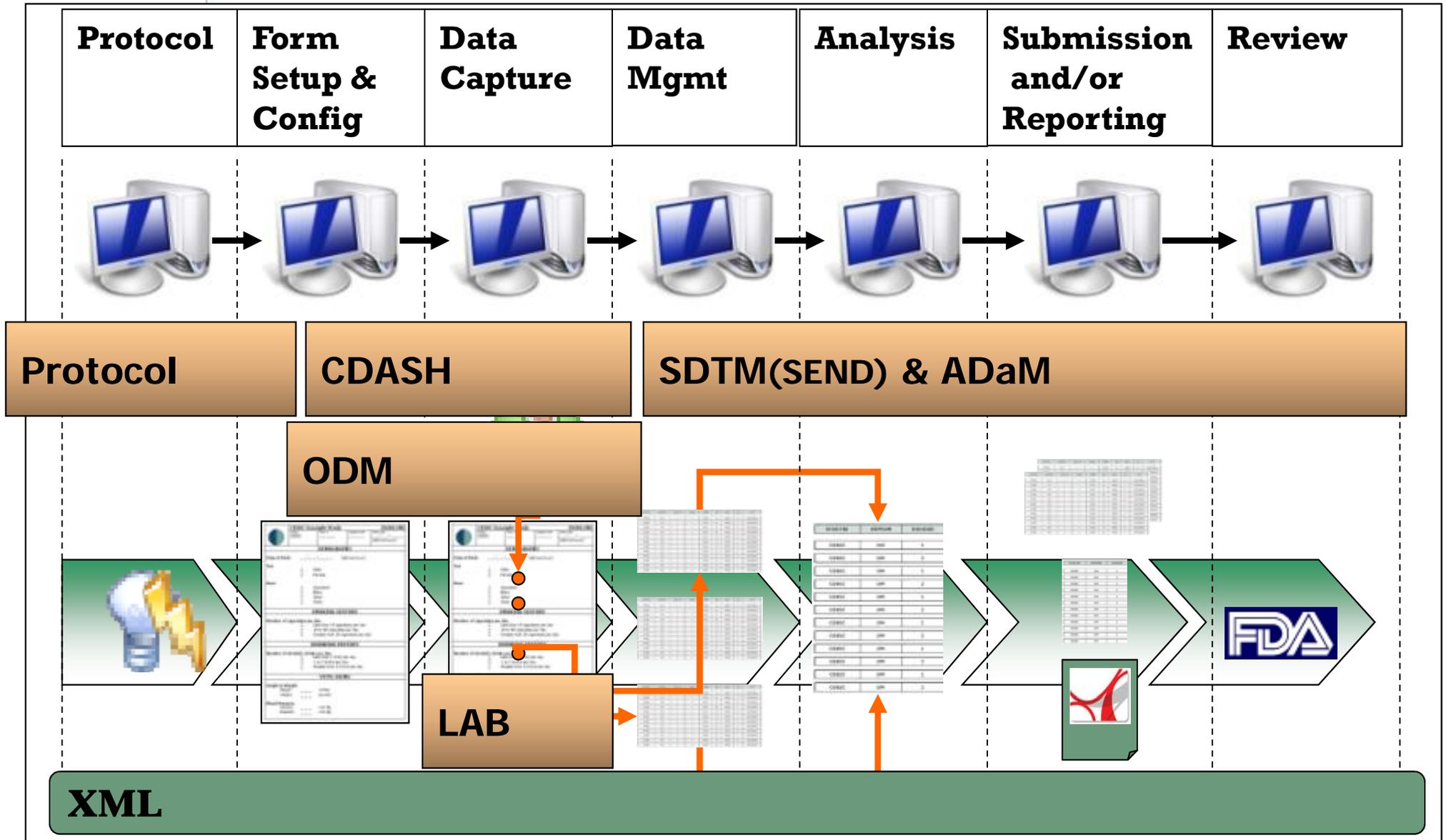
- restructured and contain additional information (derived variables, flags, comments, etc.)
- how the data were used in analysis

**BOTH ARE NEEDED  
FOR FDA REVIEW !**

# **OPERATIONAL DATA MODEL (ODM)**



# CDISC Production Standards



# CDISC Operational Data Model

- Transport Standard (XML)
  - Developed to carry case report form data
  - Carries complete audit trail information (21CFR11)
  - Supports electronic signatures
  - Archives electronic data without need to archive original system at sites
  - Can automate generation of eCRFs
  - Enables remote monitoring or auditing
  - Facilitates exchange of data between different technologies that are ODM (supports features common to all CDM and EDC systems)

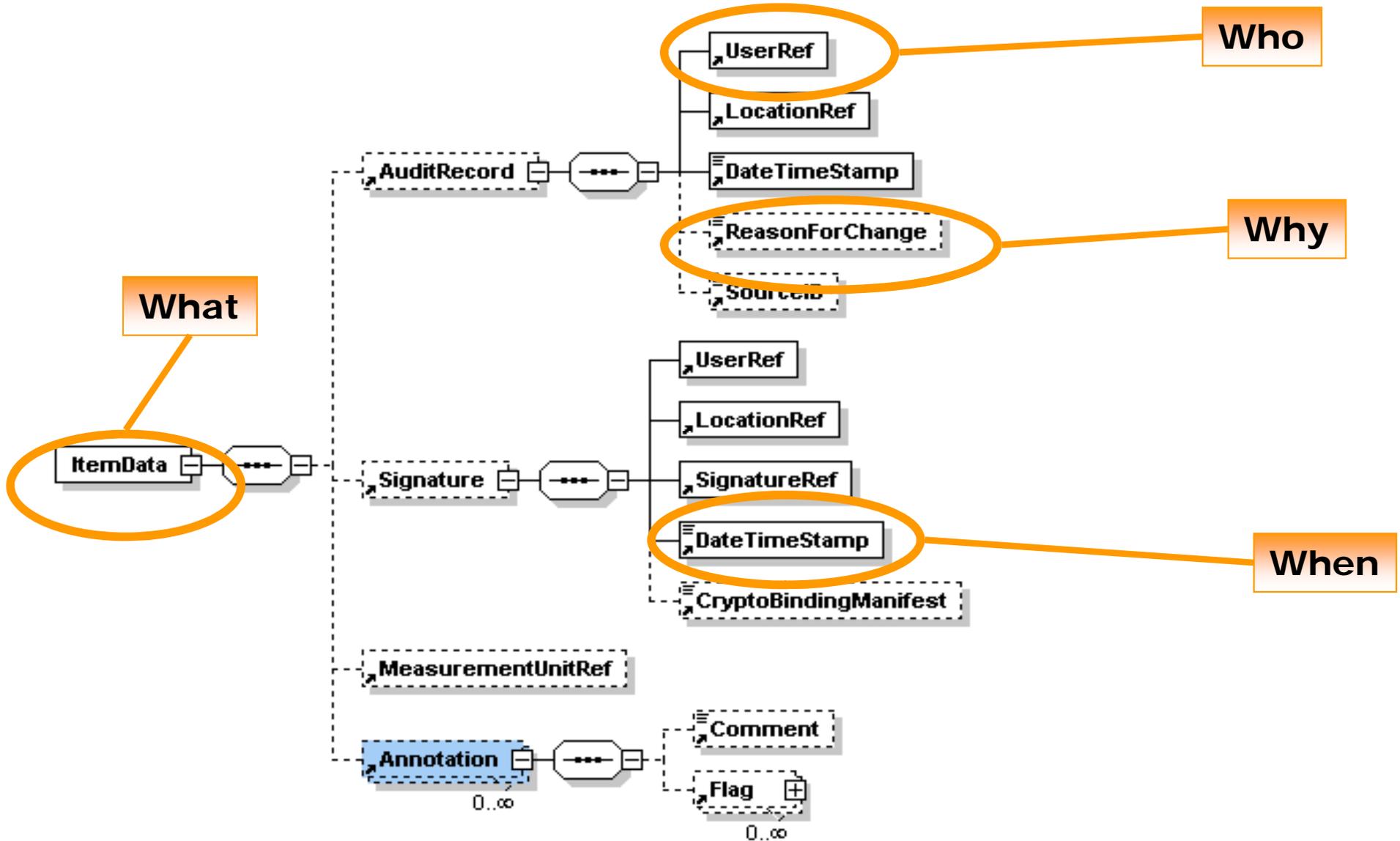
# eXtensible Markup Language

- XML - method for putting structured data in a text file
- Looks similar to HTML
  - Tags “<“ “>”
  - Attributes name=“Value”
- Very flexible standard for data/metadata exchange
- Text based & readable by humans and machines
  - Vendor neutral
  - Computer system neutral

# Glossary for ODM

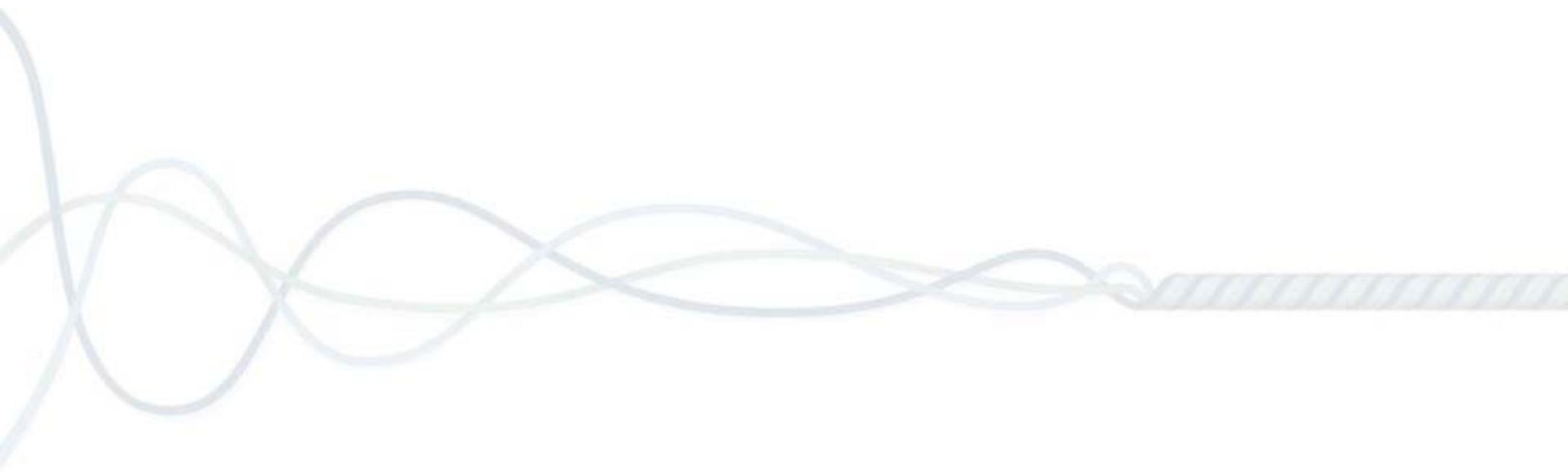
- A **StudyEvent** corresponds to a patient visit
- A **Form** corresponds to a data-entry form
- An **ItemGroup** corresponds to a panel, relational table or SAS dataset. Related group of items.
- An **Item** corresponds to a dataset variable or SAS field
- A **CodeList** corresponds to an external lookup table or a SAS format

# ODM & Audit Trail

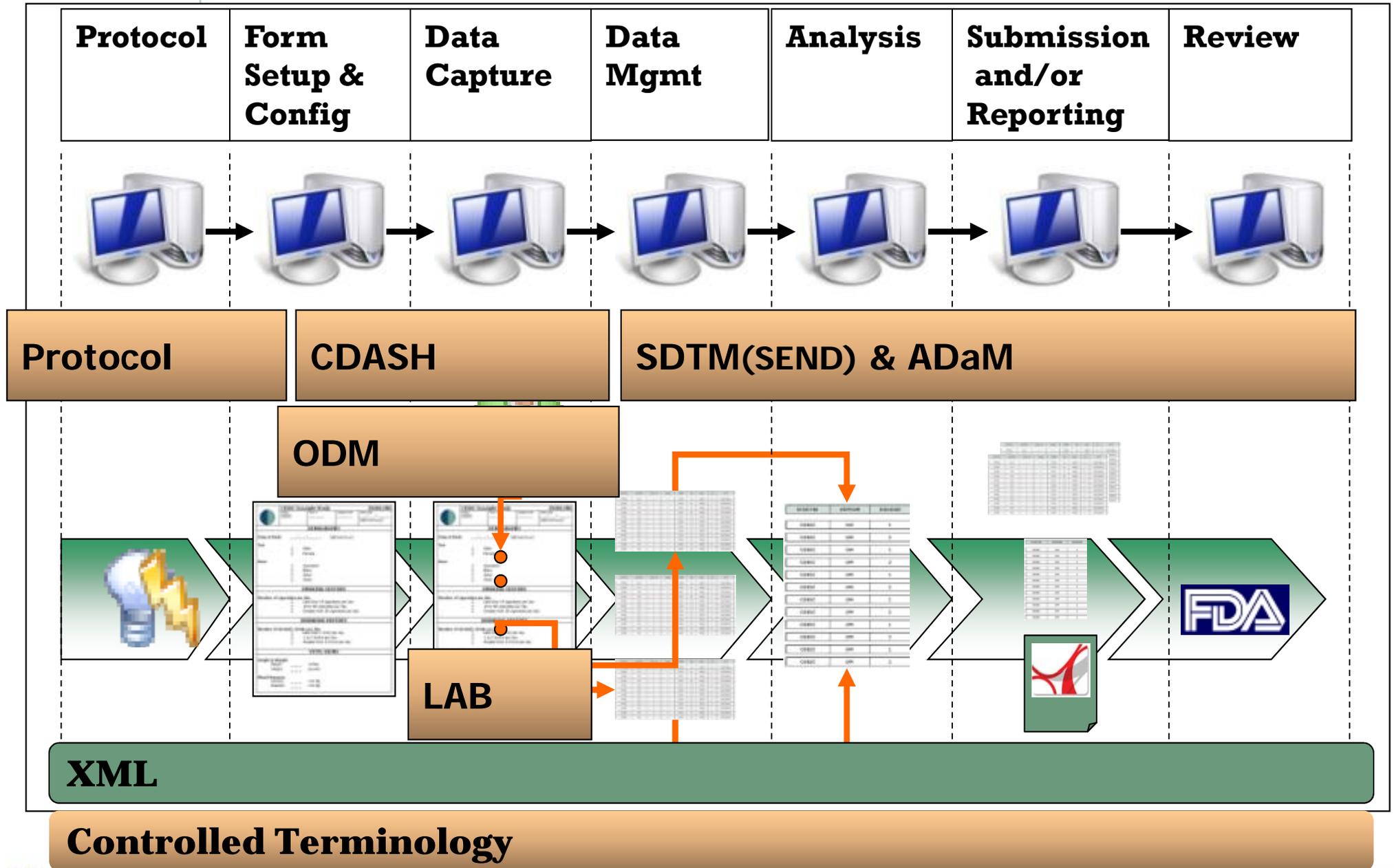


Slide courtesy Dave Ibersen-Hurst, Assero

# **CONTROLLED TERMINOLOGY**



# Clinical Information Flow the CDISC Way



# CDISC Terminology

- Formalized CDISC Terminology Initiative in 2005
- Primary Objective: to define and support the terminology needs of the CDISC models across the clinical trial continuum (CDASH → SDTM), Focus on “standard” terminology codelist development and publication
- Terminology Initiative comprised of 45 team members (FDA, NCI, Global Sponsors & CROs, Academia) distributed across 4 project teams
- Key partnership with NCI Enterprise Vocabulary Services (NCI EVS) with dedicated CDISC / FDA resources

# Collaboration with NCI EVS

NCI Enterprise Vocabulary Services (EVS)  
has committed expertise and significant  
resources in support of the CDISC  
Terminology Initiative...



# Guiding Principles (1)

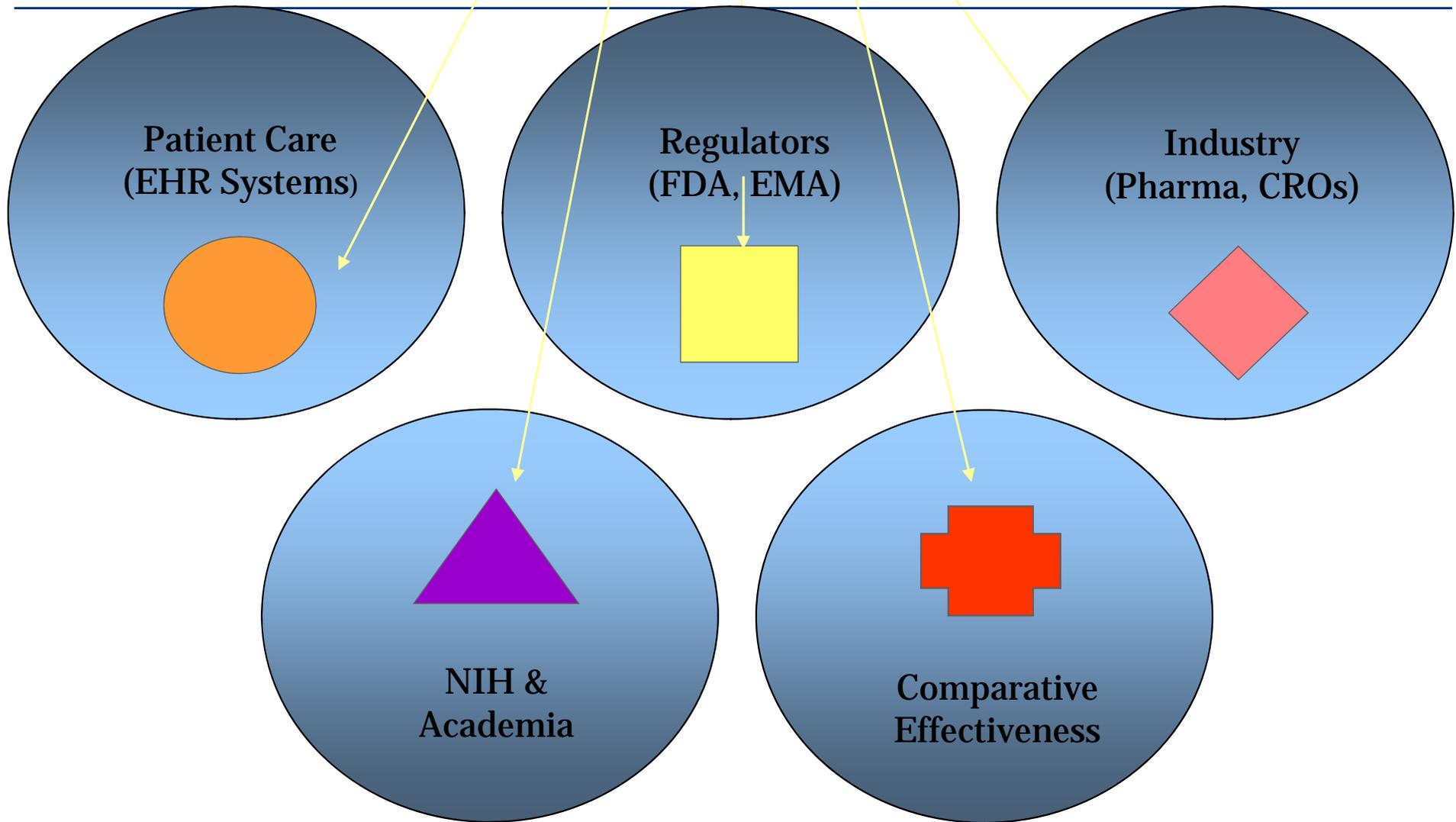
Adopt...Adapt...Develop philosophy

- Evaluate and/or utilize existing terminology first
- Expand existing vocabularies where incomplete, working with vocabulary developer / owner
- Harmonize across CDISC Models and with pre-existing vocabulary initiatives

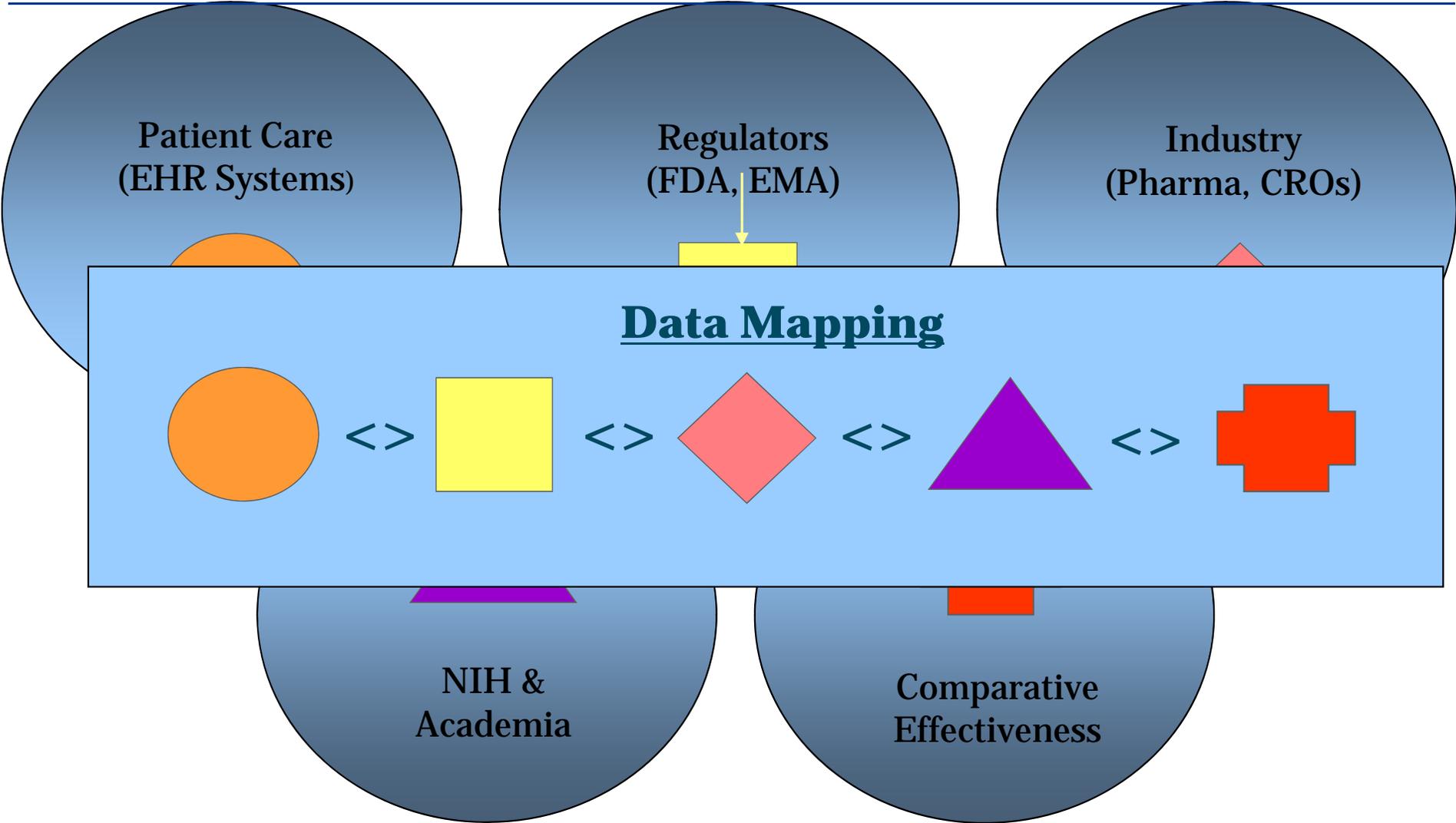
# Guiding Principles (2)

- Address international needs for global projects and organizations
- Ensure a sustainable “open source” environment and infrastructure for production terminology supporting terminology evolution

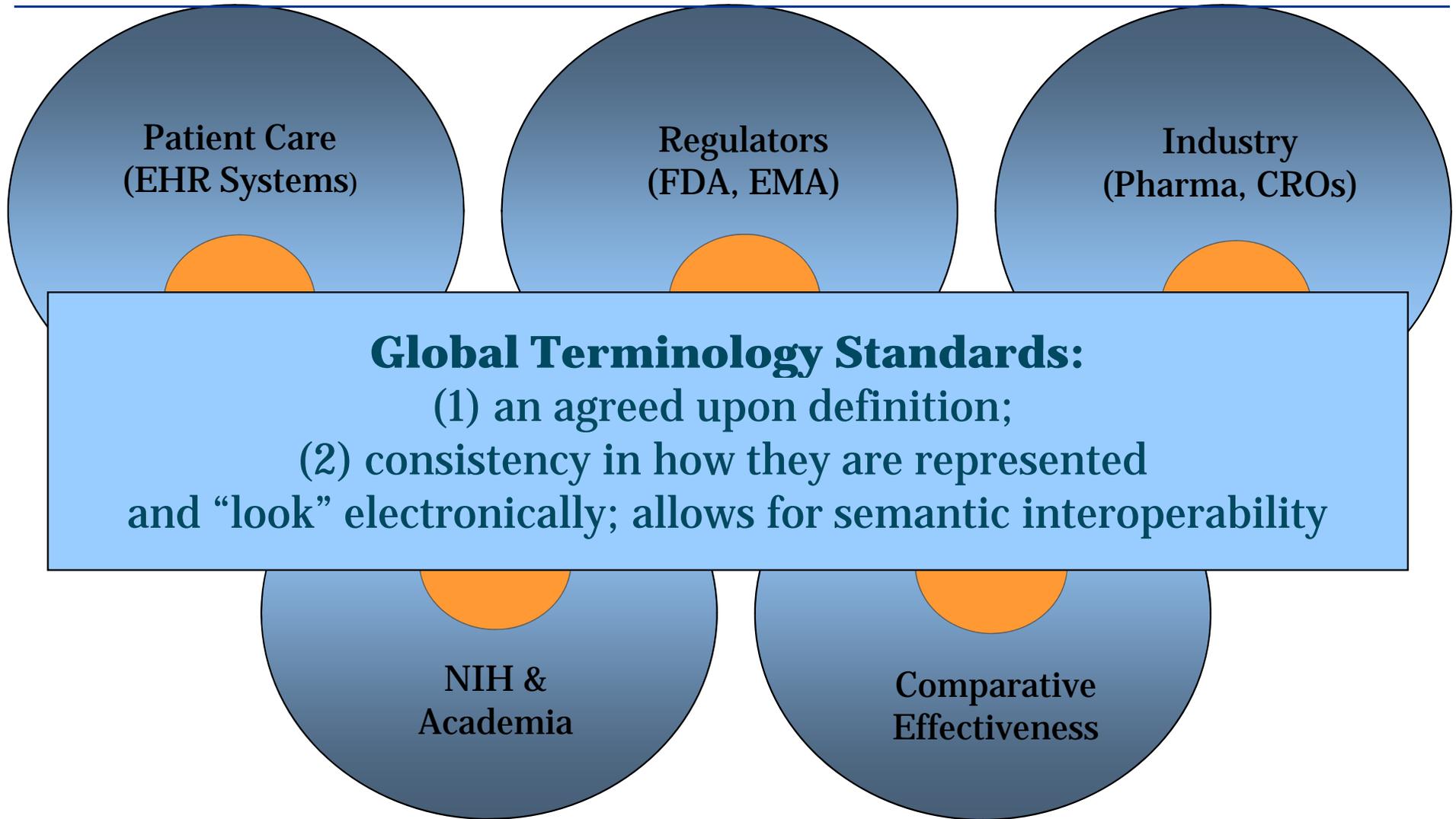
# Data Element: Sex



# Data Element: Sex



# Standard Data Element: Sex



# POSITION Codelist Example

## SDTM and CDASH: VSPOS, EGPOS

### Standard Terminology Codelist

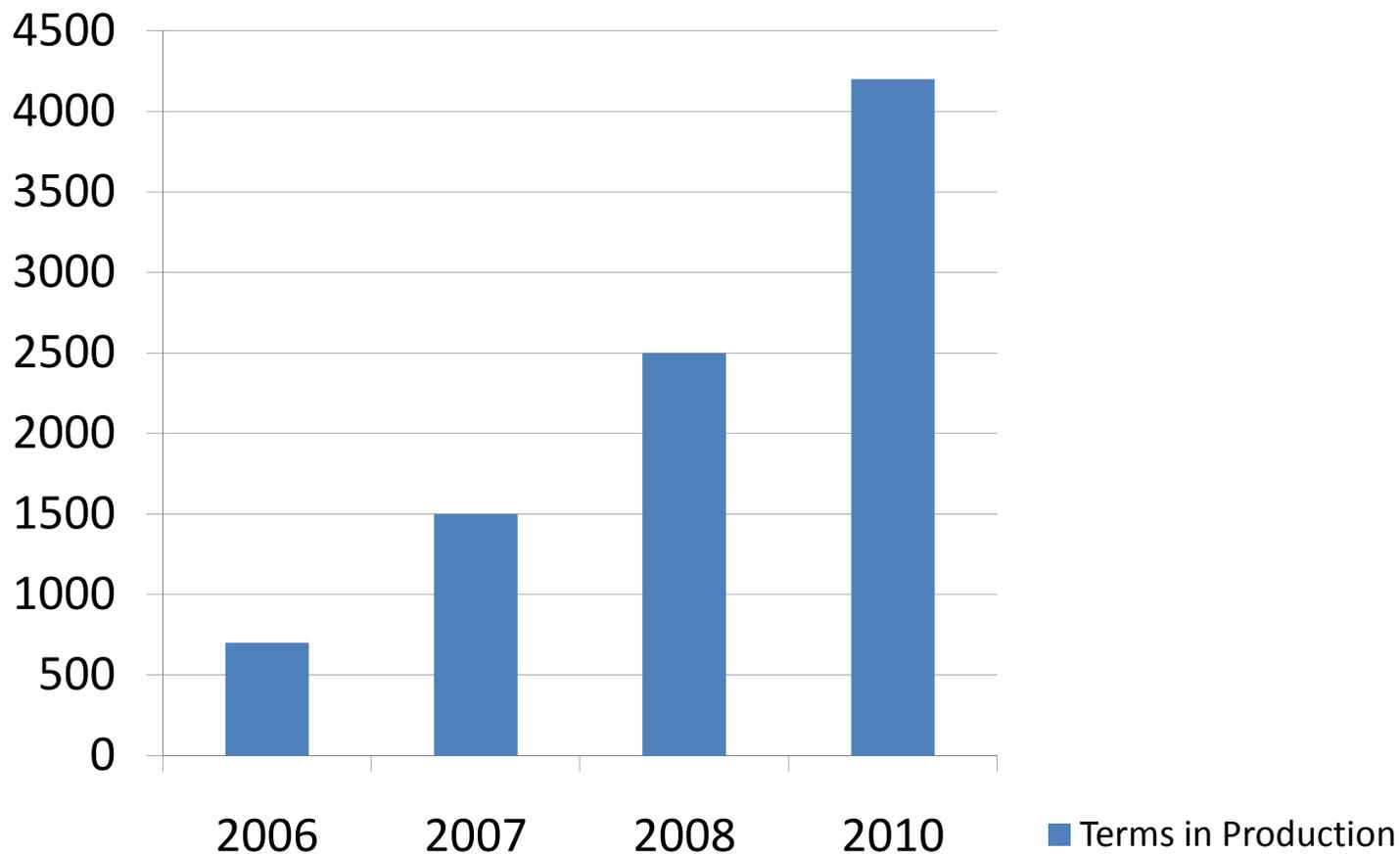
*CDISC  
Controlled  
Terminology*

- Sitting
- Prone
- Standing
- Supine
- Fowlers
- Semi-Fowlers
- Trendelenburg
- Reverse Trendelenburg
- Right Lateral Decubitus
- Left Lateral Decubitus



Codelist = Value Set = Permissible Values

# Pharmaceutical Group Internal CDISC Standards Development



# For More Information on CDISC Standards



[www.CDISC.org](http://www.CDISC.org)

ABOUT CDISC | **STANDARDS** | RESOURCES | NEWS | EDUCATION & EV

## Standards

CDISC Standards are freely available and can be accessed here. The Standards are regularly updated and these updates are announced in the CDISC newsletter and on the website, so make sure you check back regularly.

### CDISC Technical Roadmap

The Road Map document provides an overview of the activities that CDISC will undertake, in the development and harmonization of current and future CDISC technical products, within the next two to three years. It discusses the drivers for those technical developments, the standards being developed, associated technical programmes and the dates by which the various activities will be completed.

### Latest Updates

News on CDISC SHARE coming soon! In the meantime, register for the

#### STANDARDS

- SDTM
- Operational Data Model
- Define.XML
- Study/Trial Design Model
- LAB
- ADaM
- Protocol
- Terminology
- CDASH
- SEND
- Therapeutic Area Standards

**SDTM and SDTMIG**  
Current production version

**ADaM**  
Current production version

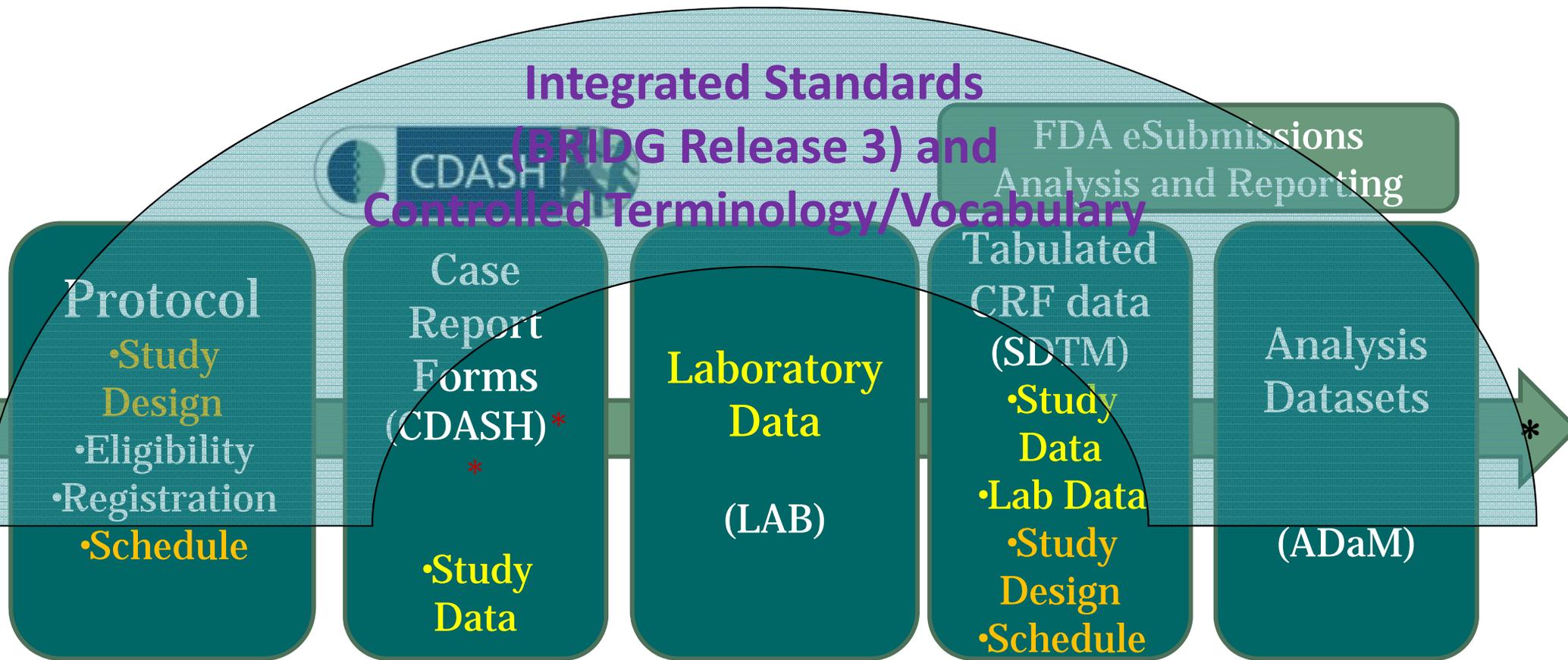
**CDASH**  
Current production version

# End-To-End Use of CDISC Standards



*Strength through Collaboration*

# Global Biomedical Research Standards (Protocol → Reporting)



\*\* Harmonized w/ NCI caBIG CRFs

\* CDISC and/or HL7

*\*Biomedical Research Integrated Domain Group (BRIDG) Model*

## ***The BRIDG Model\****

A clinical research domain analysis model  
initiated by CDISC,  
BRIDGing

- Organizations (CDISC, HL7, FDA, NCI...)
- Standards
- Research and Healthcare
- [www.bridgmodel.org](http://www.bridgmodel.org) (or via CDISC website)

# BRIDG Scope

Protocol-driven research and its associated regulatory artifacts:

*i.e. the data, organization, resources, rules, and processes involved in the formal assessment of the utility, impact, or other pharmacological, physiological, or psychological effects of a drug, procedure, process, subject characteristic, or device on a human, animal, or other subject or substance plus all associated regulatory artifacts required for or derived from this effort, including data specifically associated with post-marketing adverse event reporting.*

# Domain-Friendly, Subdomain-Specific Business Models



Separate EA/XML file for each subdomain

Layer 1

SME View

Transformer

## BRIDG Domain Analysis Model (DAM)



Single EA file with comprehensive and subdomain Views



Layer 2

Canonical View

Manual Mapping

OWL View

## RIM-Based BRIDG Model



Equivalent to an HL7 DMIM (HL7 Visio)

Layer 3

HL7 RIM View

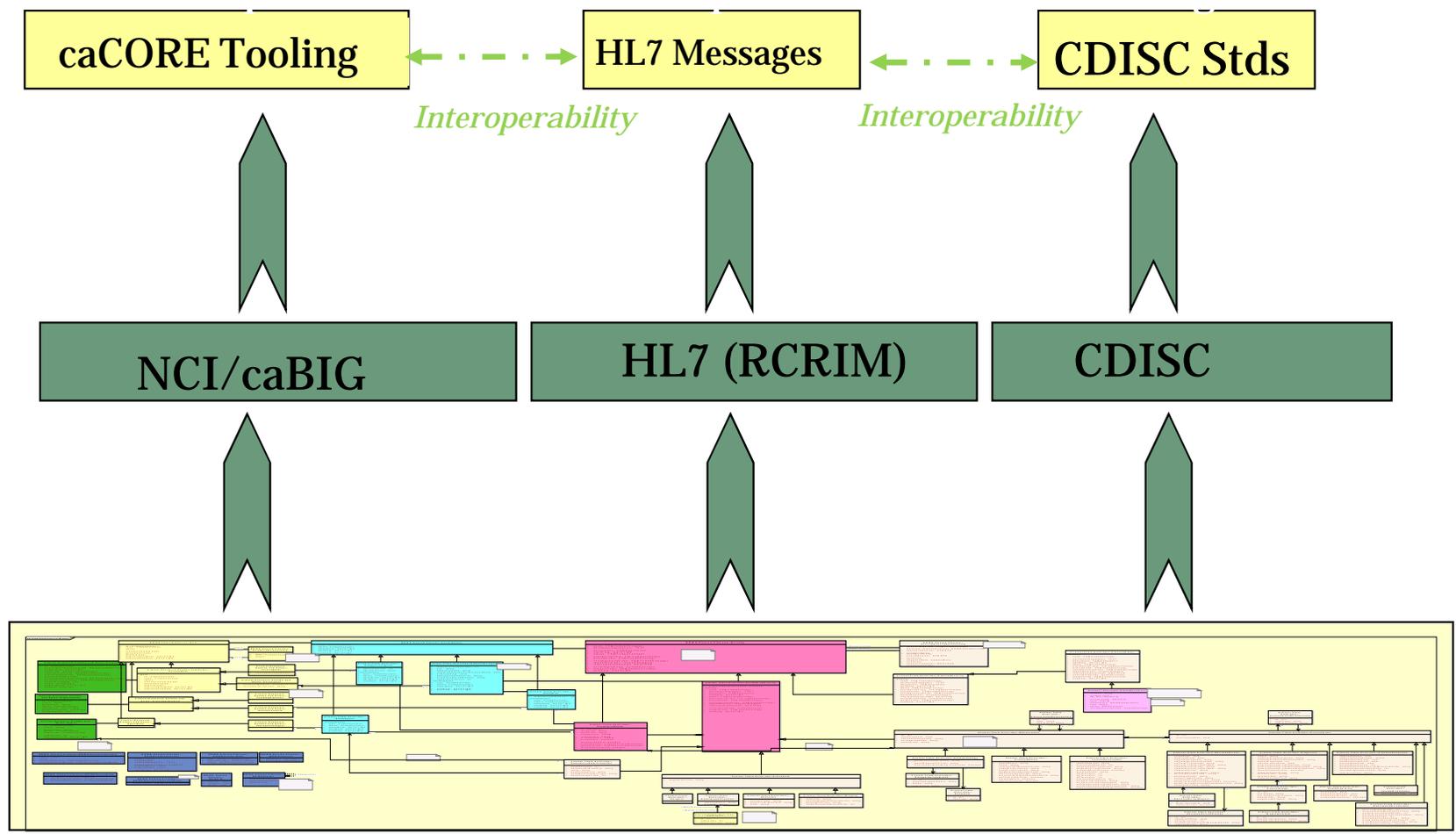


# Achieving Interoperability

IMPLEMENTATION SOLUTIONS

STAKEHOLDERS

FOUNDATION MODEL



**BRIDG – Domain Analysis Model for Clinical Research**  
**Rigorously defined Controlled Terminology**



# BRIDG as a Global Standard

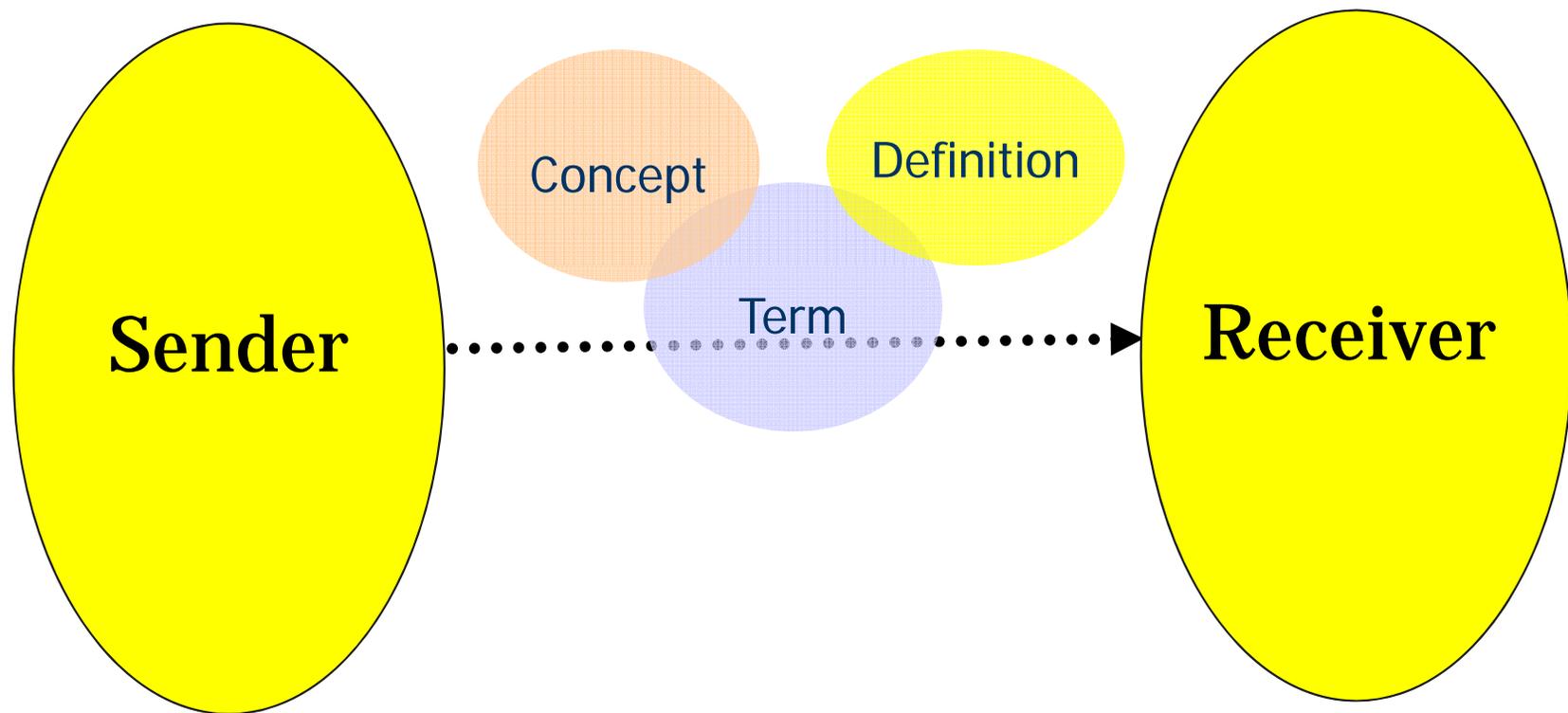
- BRIDG is going through the JIC (Joint Initiative Council) process to become a global standard
- BRIDG is now a CDISC standard and an HL7 Standard.
- BRIDG has passed two ballot cycles in ISO; the goal is for BRIDG to be an ISO standard (and CEN standard) in 2011.

*Why is...*

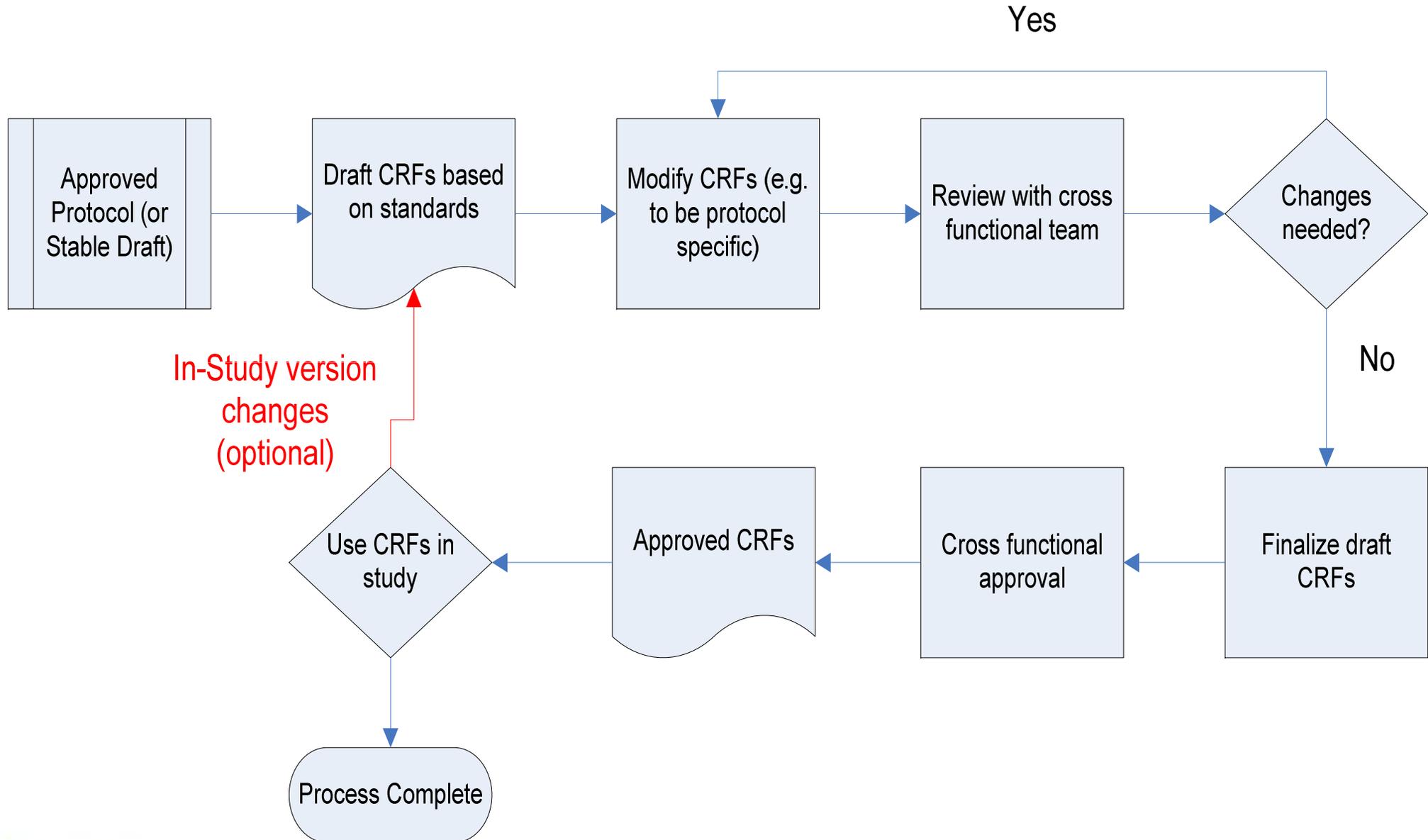
*Standard Controlled Terminology*

*...Important?*

# Semantic Interoperability In eClinical Systems



# Suggested CRF Development Workflow



# ACRO Adverse Event Form

SPONSOR NAME

Protocol No.	Investigator No.	Subject No.	Subject Initials
ABC123	<input type="text"/>	<input type="text"/>	<input type="text"/>

## ADVERSE EVENTS

Has the subject experienced any adverse events? 1  Yes 0  No

If Yes, describe below.

Event No.	Adverse Event	Start Date Stop Date	Was Event Serious?	Severity	Is there a reasonable possibility that the AE may have been caused by the study drug(s)?*	Action Taken with Study Drug	Subject Outcome												
	(Please list one event per line)		Mark only 1 response 0 - No 1 - Yes	Mark only 1 response 1 - Mild 2 - Moderate 3 - Severe	Mark only 1 response 0 - No 1 - Yes	Mark only 1 response 0 - None 1 - Study drug regimen changed 2 - Temporarily stopped study drug 3 - Study drug discontinued	Mark only 1 response 0 - Subject remains in study 1 - Withdrawn from study 2 - Lost to follow-up 3 - Death												
		<table border="1"> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td>day</td> <td>month</td> <td>year</td> </tr> </table> <table border="1"> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td>day</td> <td>month</td> <td>year</td> </tr> </table>	<input type="text"/>	<input type="text"/>	<input type="text"/>	day	month	year	<input type="text"/>	<input type="text"/>	<input type="text"/>	day	month	year	1 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>																	
day	month	year																	
<input type="text"/>	<input type="text"/>	<input type="text"/>																	
day	month	year																	
		<table border="1"> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td>day</td> <td>month</td> <td>year</td> </tr> </table> <table border="1"> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td>day</td> <td>month</td> <td>year</td> </tr> </table>	<input type="text"/>	<input type="text"/>	<input type="text"/>	day	month	year	<input type="text"/>	<input type="text"/>	<input type="text"/>	day	month	year	1 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>																	
day	month	year																	
<input type="text"/>	<input type="text"/>	<input type="text"/>																	
day	month	year																	
		<table border="1"> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td>day</td> <td>month</td> <td>year</td> </tr> </table> <table border="1"> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> <tr> <td>day</td> <td>month</td> <td>year</td> </tr> </table>	<input type="text"/>	<input type="text"/>	<input type="text"/>	day	month	year	<input type="text"/>	<input type="text"/>	<input type="text"/>	day	month	year	1 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>																	
day	month	year																	
<input type="text"/>	<input type="text"/>	<input type="text"/>																	
day	month	year																	

\*A "reasonable possibility" means you cannot rule out a relationship between the event and the study drug.

# Annotated Version

## SPONSOR NAME

Protocol No.	Investigator No.	Subject No.	Subject Initials
ABC123	<input type="text"/>	<input type="text"/>	<input type="text"/>

### ADVERSE EVENTS

Has the subject experienced any adverse events?

1  Yes    0  No

If Yes, describe below.

Event No.	Adverse Event	Start Date Stop Date	Was Event Serious?	Severity	Is there a reasonable possibility that the AE may have been caused by the study drug(s)?*	Action Taken with Study Drug	Subject Outcome
	(Please list one event per line)	YES/NO QUESTION NOT INCLUDED IN CDISC SDTM Either provide a stop date or mark box (✓) if event is continuing ↓	Mark only 1 response 0 = No 1 = Yes	Mark only 1 response 1 = Mild 2 = Moderate 3 = Severe	Mark only 1 response 0 = No 1 = Yes	Mark only 1 response 0 = None 1 = Study drug regimen changed 2 = Temporarily stopped study drug 3 = Study drug discontinued	Mark only 1 response 0 = Subject remains in study 1 = Withdrawn from study 2 = Lost to follow-up 3 = Death
<i>AE SPID char</i>	<i>AE TERM contains the initial verbatim value. AE MODIFY contains the modified text, if AE TERM was changed</i>	<input type="text"/> <i>AE STDTC char</i> day month year	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 3
	<i>AE TERM char</i>	<input type="text"/> <i>AE ENDTC char</i> day month year	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<i>AE REL char</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<i>AE OUT char</i>
	<i>AE MODIFY char</i>	<input type="text"/> <i>AE ENRF char</i> day month year	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<i>AE SEV char</i>	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3
	<i>AE DECOD char</i> <i>AE BODSYS char</i> <i>(coding variables)</i>	<input type="text"/> <i>AE SER char</i> day month year	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3
	<i>AE ENRF variable should contain the word 'AFTER' if the event is ongoing after the reference time period</i>	<input type="text"/> day month year	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<input type="checkbox"/> 0 <input type="checkbox"/> 1	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3

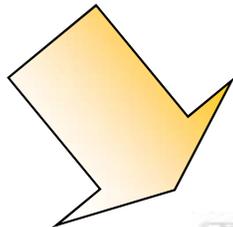
\*A "reasonable possibility" means you cannot rule out a relationship between the event and the study drug.

# Practical Experience

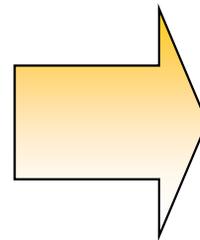
## 1. ACRO Standard Form



Form ID	Form Name	Form Description	Form Version	Form Status
CRF001	CRF001	CRF001	1.0	Active
CRF002	CRF002	CRF002	1.0	Active
CRF003	CRF003	CRF003	1.0	Active
CRF004	CRF004	CRF004	1.0	Active
CRF005	CRF005	CRF005	1.0	Active

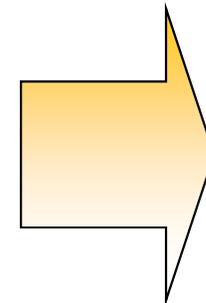


Form ID	Form Name	Form Description	Form Version	Form Status
CRF001	CRF001	CRF001	1.0	Active
CRF002	CRF002	CRF002	1.0	Active
CRF003	CRF003	CRF003	1.0	Active
CRF004	CRF004	CRF004	1.0	Active
CRF005	CRF005	CRF005	1.0	Active

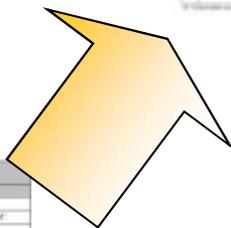


## 4. Annotated Form + ODM Standard = Standard electronic metadata (XML)

```
<ODM>
  <Study>
    <Meta...
  </Meta...
  </Study>
</ODM>
```



Variable Name	Variable Label	Type	Description
STUDYID	Study Identifier	Char	Unique identifier for a study within the institution.
SITEID	Site Identifier	Char	Site identifier for the data collection site.
TRTID	Treatment Identifier	Char	Unique identifier for the treatment group.
USUBID	Subject Identifier	Char	Unique identifier for the subject within the study.
CRFID	CRF Identifier	Char	Unique identifier for the CRF form.
CRFCDM	CRF Content Module	Char	Unique identifier for the CRF content module.
CRFCDMCDM	CRF Content Module Content Module	Char	Unique identifier for the CRF content module content module.
CRFCDMCDMCDM	CRF Content Module Content Module Content Module	Char	Unique identifier for the CRF content module content module content module.



## 3. ACRO Form + CDISC SDTM Standard = Annotated Form



## 5. Standard electronic metadata configures collection system

## 2. CDISC SDTM Standard



# Electronic Configuration

Adverse Event Form (ACRO)	
<a href="#">top</a>	<a href="#">top</a>
<i>OID=F_AE, Repeating=Yes</i>	
<b>Common</b> <i>OID=IG_COMMON Repeating=No</i>	Site # <b>SITEID</b> <input type="text"/> Subject ID # <b>USUBJID</b> <input type="text"/> Visit Date <b>RFSTDTC</b> 1 Jan <input type="text"/>
<b>Adverse Events Occurred</b> <i>OID=IG_AE_OCCURRED Repeating=No</i>	Has the subject experienced any adverse events - @SDSVarName Not Set - Code List: CL_NOYES ▾
<b>Adverse Event</b> <i>OID=IG_AE Repeating=Yes</i>	Event No. <b>AESPID</b> <input type="text"/> Adverse event <b>AETERM</b> <input type="text"/> Start Date <b>AESTDTC</b> 1 Jan <input type="text"/> Stop Date <b>AEENDTC</b> 1 Jan <input type="text"/> Mark if event is still continuing <b>AEENRF</b> Code List: CL_NOYES ▾ Was event serious <b>AESER</b> Code List: CL_NOYES ▾ Severity <b>AESEV</b> Code List: CL_AE_SEVERITY ▾ Is there a reasonable possibility that the AE may have been caused by the study drug <b>AEREL</b> Code List: CL_NOYES ▾ Action taken with study drug <b>AEACN</b> Code List: CL_AE_ACTION ▾ Subject outcome <b>AEOUT</b> Code List: CL_AE_OUTCOME ▾

Courtesy of Assero

# Electronic Configuration

## Adverse Event Form (ACRO)

Visit: Adverse Event

Subject ID: 00011:SAW



Common	
Site #	<input type="text"/>
Subject ID #	<input type="text"/>
Visit Date	11

Adverse Events Occurred	
Has the subject experienced any adverse events	<input type="checkbox"/> No <input type="checkbox"/> Yes

Adverse Event	
Event No.	<input type="text"/>
Adverse event	<input type="text"/>
Start Date	11
Stop Date	11
Mark if event is still continuing	<input type="checkbox"/> No <input type="checkbox"/> Yes
Was event serious	<input type="checkbox"/> No <input type="checkbox"/> Yes
Severity	<input type="checkbox"/> Mild <input type="checkbox"/> Moderate <input type="checkbox"/> Severe
Is there a reasonable possibility that the AE may have been caused by the study drug	<input type="checkbox"/> No <input type="checkbox"/> Yes
Action taken with study drug	<input type="checkbox"/> None <input type="checkbox"/> Study drug regimen changed <input type="checkbox"/> Temporarily stopped study drug <input type="checkbox"/> Study drug discontinued
Subject outcome	<input type="checkbox"/> Subject remains in study <input type="checkbox"/> Withdrawn from study <input type="checkbox"/> Lost to follow-up <input type="checkbox"/> Death

Information Panel

Courtesy of Formedix



# Electronic Configuration

Marvin - Mozilla Firefox

Datei Bearbeiten Ansicht Gehe Lesezeichen Extras Hilfe

https://eu-interchange.xclinical.test/marvin/studydata/action.do

 Patient: unnamed + Week 2 Visit OK

 Baseline Visit  Week 1 Visit  Adverse Event

**Adverse Event**

Adverse Event Form (ACRO)   
Adverse Event Form (ACRO) +  
Prior or Concomitant Medications (ACRO) +

Medication overview >>  
Patient Mgmt. >>

Info / Help  
**Patients**  
Queries  
Reports  
Messages (D/O)  
Users  
Centers  
Materials  
System  
Logout

Dr. Ivan Vestigator  
London Hospital



**Common**

Site #	123
Subject ID #	AB001
Visit Date	10/12/2005

**Adverse Events Occurred**

Has the subject experienced any adverse events	Yes
--	-----

**Adverse Event**  
**Please complete these items before continuing.**

Event No.	1
Adverse event *	<input type="text"/>
Start Date *	<input type="text"/> dd/MM/yy
Stop Date	<input type="text"/> dd/MM/yy
Mark if event is still continuing	<input type="text"/>
Was event serious *	<input type="text"/>
Severity *	<input type="text"/>
Is there a reasonable possibility that the AE may have been caused by the study drug *	<input type="text"/>
Action taken with study drug *	<input type="text"/>
Subject outcome *	<input type="text"/>

Next

- Subject remains in study
- Withdrawn from study
- Lost to follow-up
- Death

Fertig

Courtesy of XClinical

# Electronic Configuration

Adverse Event Experienced Yes  \*

**Group: Adverse Event**

<input checked="" type="radio"/> Adverse Event Number	1 *
Adverse Event Term	<input type="text" value="headache"/> *
Adverse Event Start Date	2005-10-11 *
Adverse Event Stop Date	2005-10-13
Adverse Event Continues	No <input type="button" value="v"/>
Adverse Event Serious	No <input type="button" value="v"/> *
Adverse Event Severity	Mild <input type="button" value="v"/> *
Adverse Event Related	Yes <input type="button" value="v"/> *
Adverse Event Action Taken	Temporarily stopped study drug <input type="button" value="v"/> *
Adverse Event Subject Outcome	Subject remains in study <input type="button" value="v"/> *

*Courtesy of XML4Pharma*

# Electronic Configuration through ODM

Adverse Event - Mozilla Firefox

File Edit View Go Bookmarks Tools Help

Adverse Event Patient ID: Created: May 24, 2006 5:42 PM EDT Last Updated: May 24, 2006 5:42 PM EDT [Exit Form and Print](#) | [Exit Form](#)

Legend:  Clear Selection  Show Help  Open Calendar  Add Annotation  Show Warnings  Show History  Show All Annotations

Patient ID:  **OUTCOME** [\[+\]Errors](#)

**Common**

Emplacement #

Sujet Identification #

Date de Visite      
MM DD YYYY

**Adverse Events Occurred**

Est ce que le sujet a eu des événements défavorables

**Adverse Event**

Nombre d'événement

L'événements défavorable

Date du début      
MM DD YYYY

Date de la fin      
MM DD YYYY

Marque si l'événement continue toujours

était l'événement sérieux

Sévérité

Y a il une possibilité raisonnable que les événements défavorables a pu avoir été provoqué par la drogue d'étude

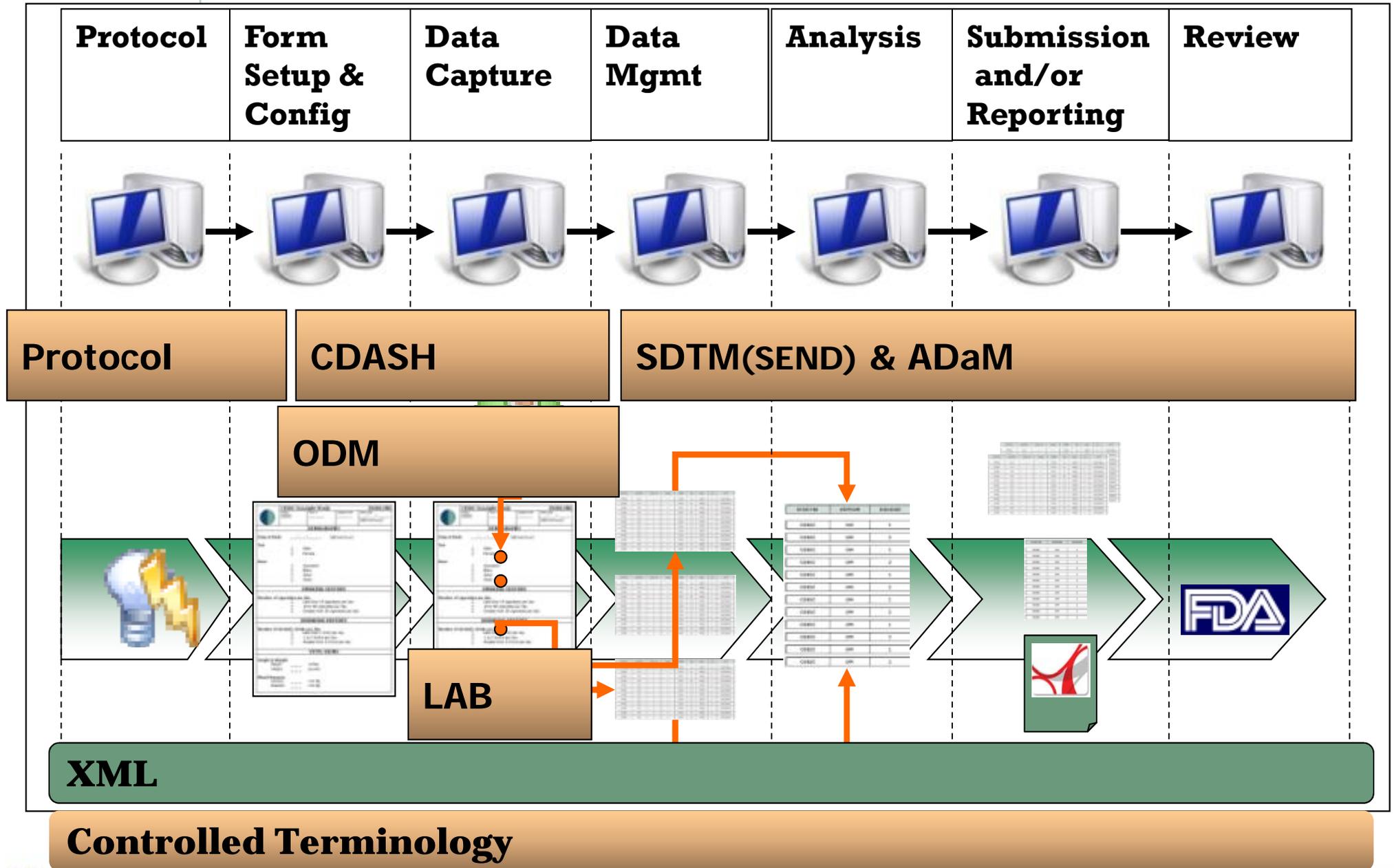
Mesure prise avec le médicament de l'étude

Résultats du sujet

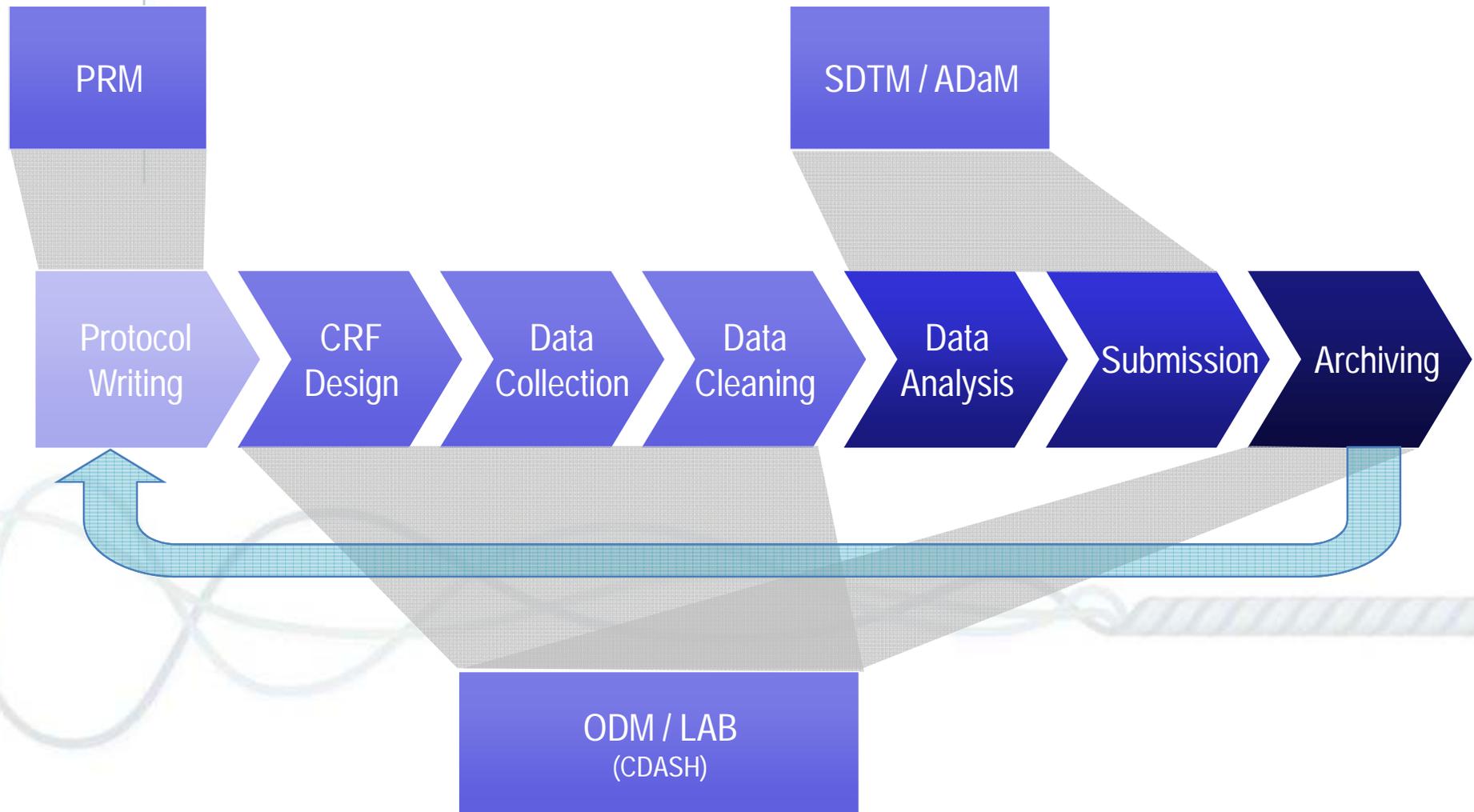
Complete Form: Check to mark this form as complete.

Courtesy of Outcome

# Clinical Information Flow the CDISC Way



# End to End Process with CDISC



Graphic courtesy of Dr. Philippe Verplancke, Founder and CEO, XClinical

# SHARE

Accelerating Standards Development



*Strength through Collaboration*

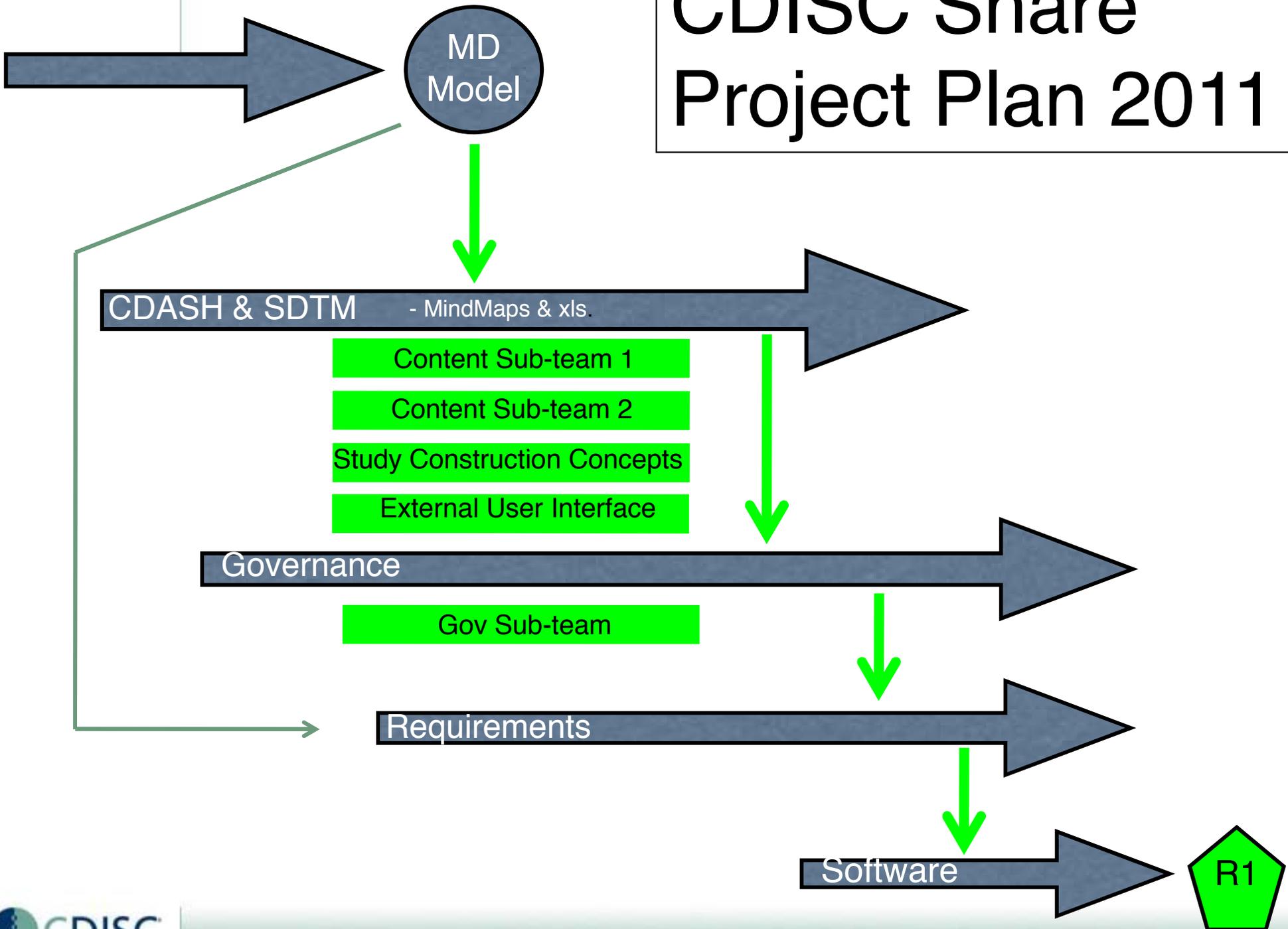


CDISC  
**SHARE**  
SHARED HEALTH AND CLINICAL RESEARCH ELECTRONIC LIBRARY

A global, accessible electronic library, which through advanced technology, enables precise and standardised data element definitions (including value sets) that can be used in applications and studies to improve biomedical research and its link with healthcare

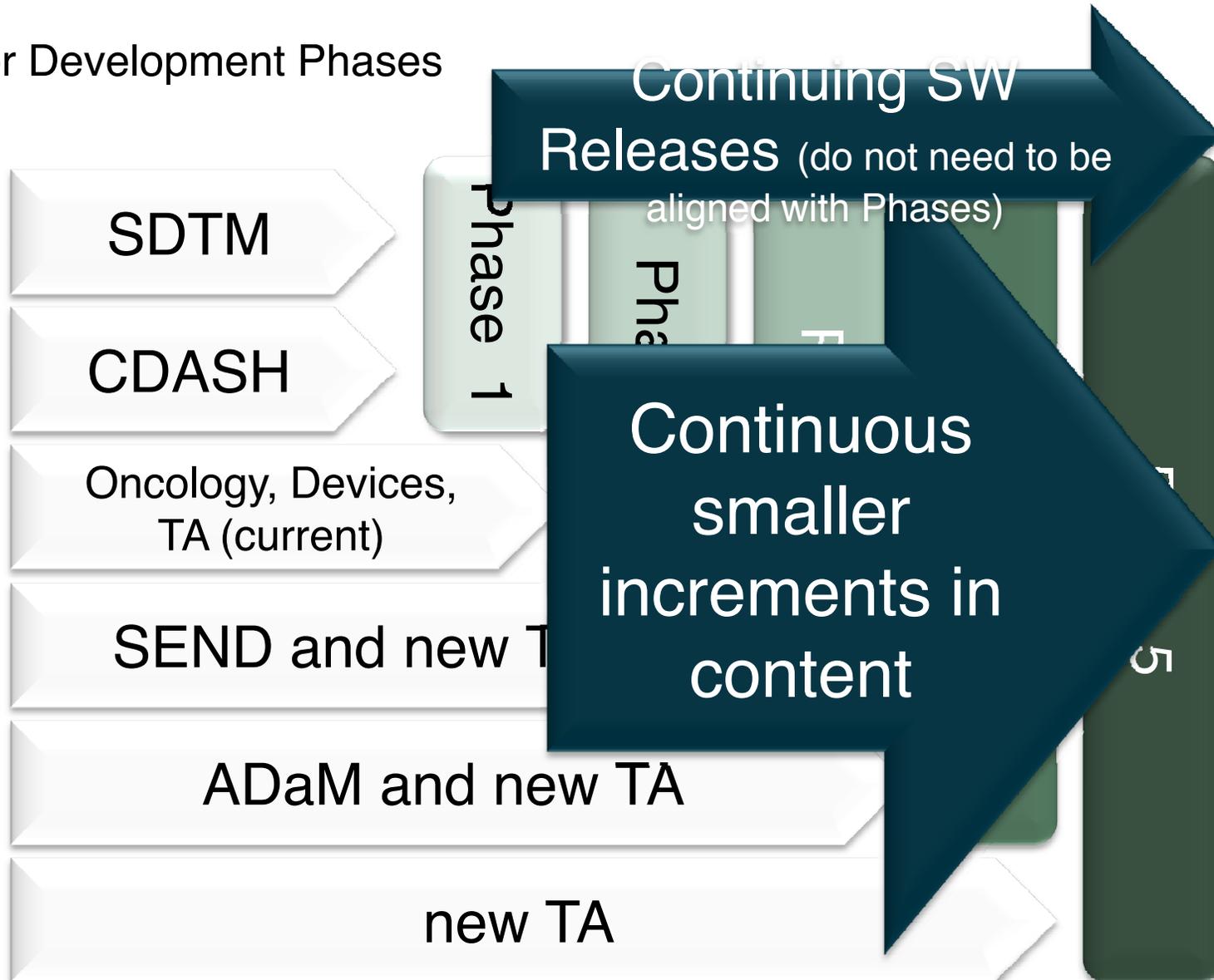
*Key purposes: Develop efficacy standards faster and make the CDISC standards more accessible.*

# CDISC Share Project Plan 2011



# Longer Term CDISC Share Development Plan

Major Development Phases

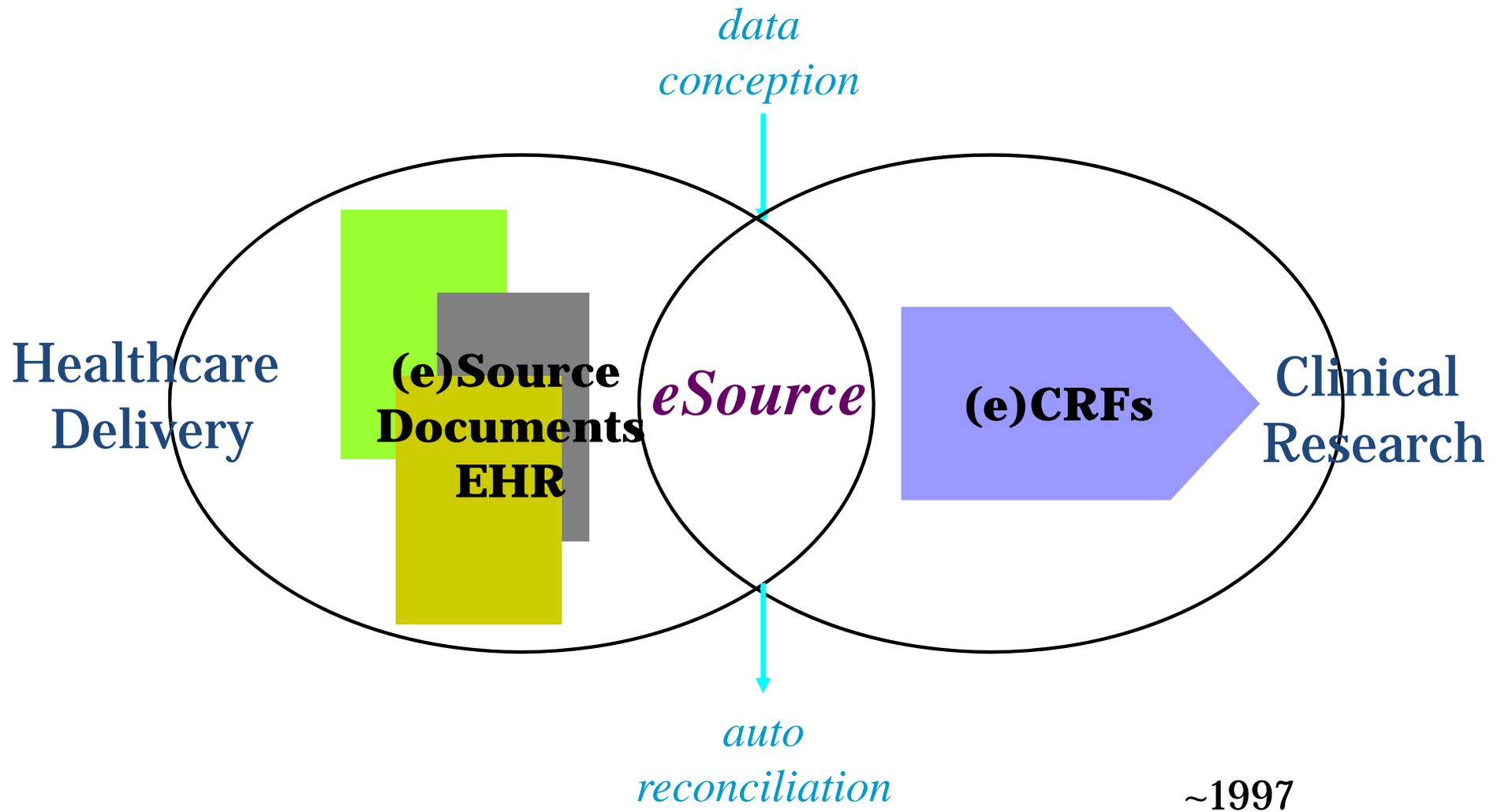


# Linking Research and Healthcare



*Strength through Collaboration*

# Optimizing the Process



# eSource Data Interchange (eSDI) Initiative

- **Purpose:** FDA initiative to facilitate the use of electronic technology in the context of existing regulations for the collection of eSource data in clinical research
  - Note: eSource pertains to collecting data electronically initially through eDiaries, ePatient Reported Outcomes, eData Collection, Electronic Health Records...*
- **Overarching Goals:**
  - to make it easier for physicians to conduct clinical research,
  - collecting data only once in an industry standard format for multiple downstream uses, and thereby
  - to improve data quality and patient safety
- **Product:** eSDI Document (with 12 requirements for eSource) ([www.cdisc.org](http://www.cdisc.org)), which formed the basis for the Retrieve Form for Data Capture (RFD) Integration Profile



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

09 June 2010

EMA/INS/GCP/454280/2010

GCP Inspectors Working Group (GCP IWG)

Date for coming into effect 01 August 2010

## Reflection paper on expectations for electronic source data and data transcribed to electronic data collection tools in clinical trials

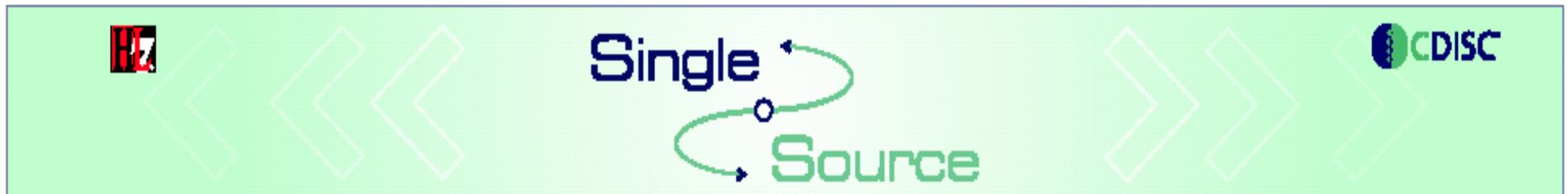
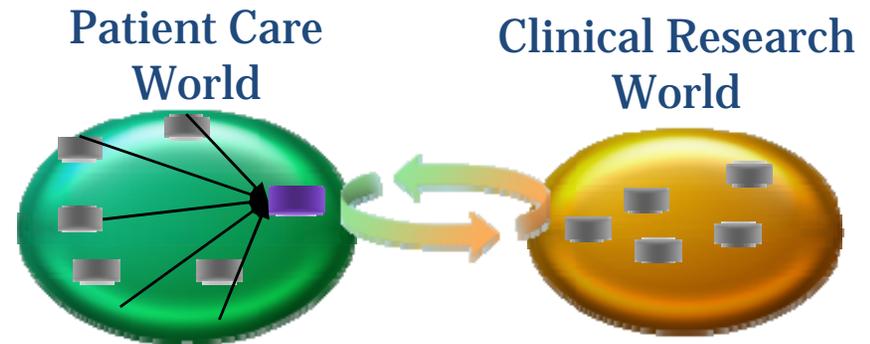
### References

2. CDISC (Clinical Data Interchange Standards Consortium) Clinical Research **Glossary Version 8.0**, DECEMBER 2009

[http://www.cdisc.org/stuff/contentmgr/files/0/be650811feb46f381f0af41ca40ade2e/misc/cdisc\\_2009\\_glossary.pdf](http://www.cdisc.org/stuff/contentmgr/files/0/be650811feb46f381f0af41ca40ade2e/misc/cdisc_2009_glossary.pdf).

3. **CDISC e-source standard requirements-CDISC** (Clinical Data Interchange Standards Consortium) Version 1.0 20 November 2006.

# CDISC Initiative: Healthcare Link



**An industry initiative that successfully demonstrated clinical information interoperability between physician clinical systems (EHR) and pharmaceutical clinical trials systems based on open standards.**

*- Duke Clinical Research Institute, CDISC, Novartis, Merck, J&J, Microsoft.*

Next Step was the **Development and Demonstration of an Integration Profile called Retrieve Form for Data Capture (RFD)**



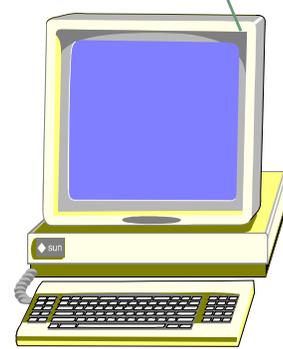
*(Project Leader: Landen Bain, [lbain@cdisc.org](mailto:lbain@cdisc.org), CDISC Liaison to Healthcare)*



**Patient Value:**  
**Quality of Healthcare, Safety**  
*Research informs healthcare more effectively*  
*Build quality into process at beginning*



Site  
Research  
Archive

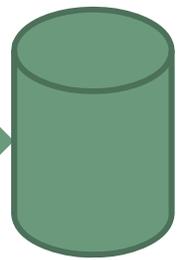


**Research Site**  
 (Healthcare Location,  
 Investigator, Site Personnel)

De-identified Data



**Research  
Data**



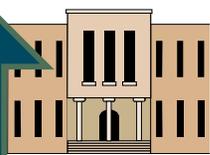
**Study Sponsor**  
 (e.g. ARO, CRO, Vendor,  
 Principal Investigator,  
 potentially AHRQ...)



**Research Results,  
eSubmission  
Standard Formats**



Scientific  
Pub-



**Regulatory  
Authority**



**Public Registries,  
IRB, DSMBs**



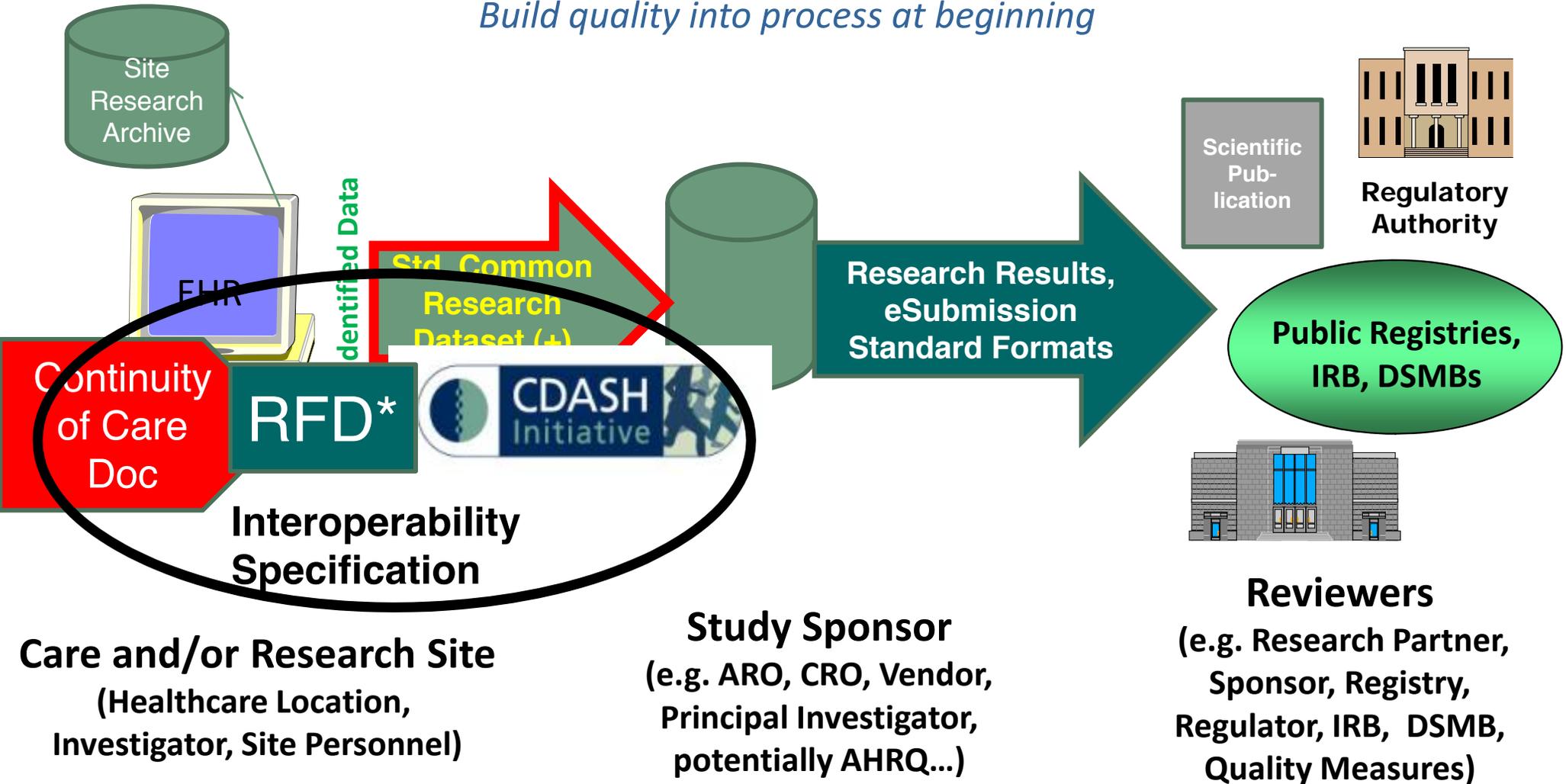
**Reviewers**  
 (e.g. Research Partner,  
 Sponsor, Registry,  
 Regulator, IRB, DSMB)

**CDISC Standards are NOT just for FDA eSubmissions!**



# Patient Value: Quality of Healthcare, Safety

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Build quality into process at beginning*

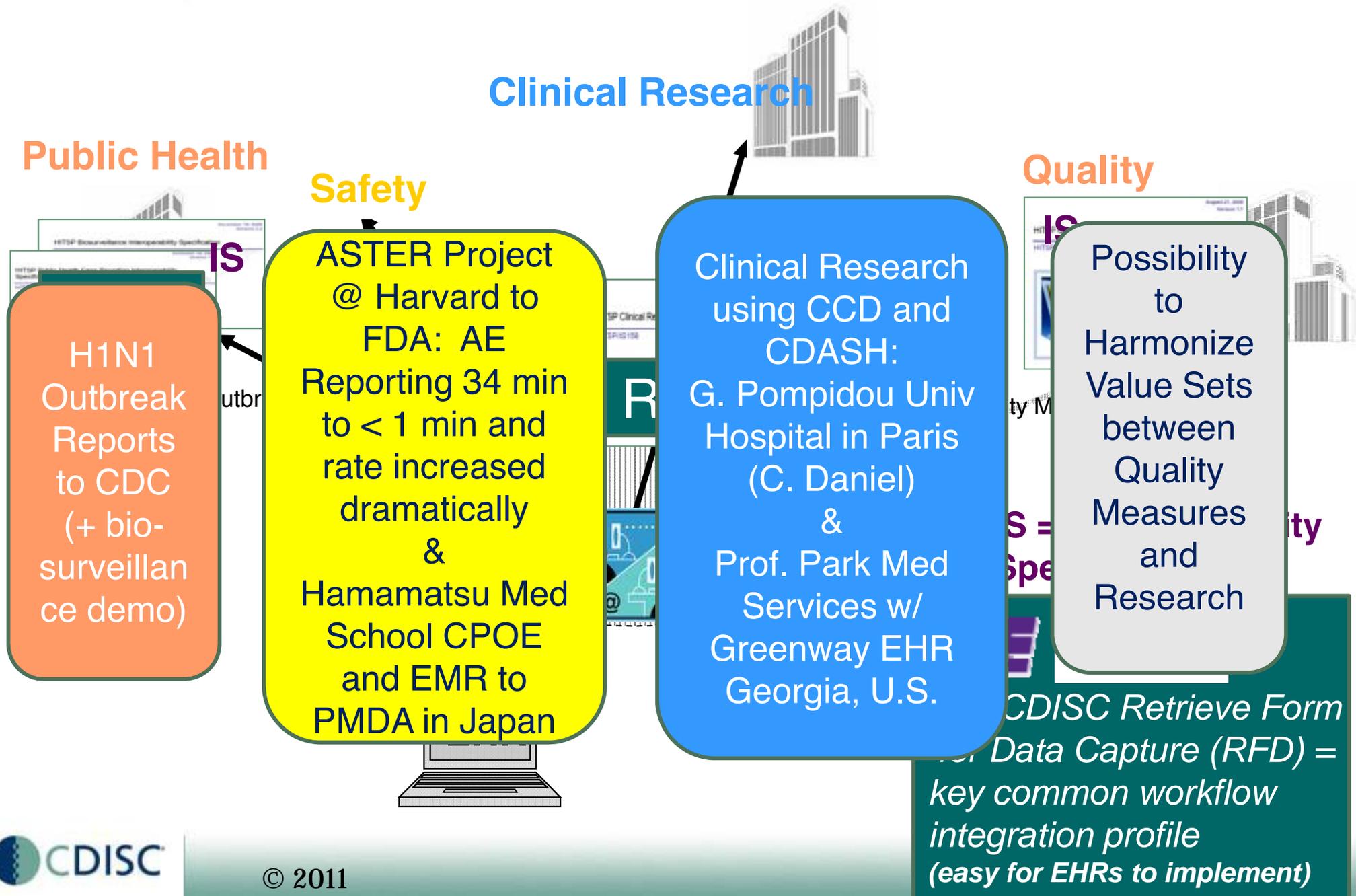


**Care and/or Research Site**  
(Healthcare Location,  
Investigator, Site Personnel)

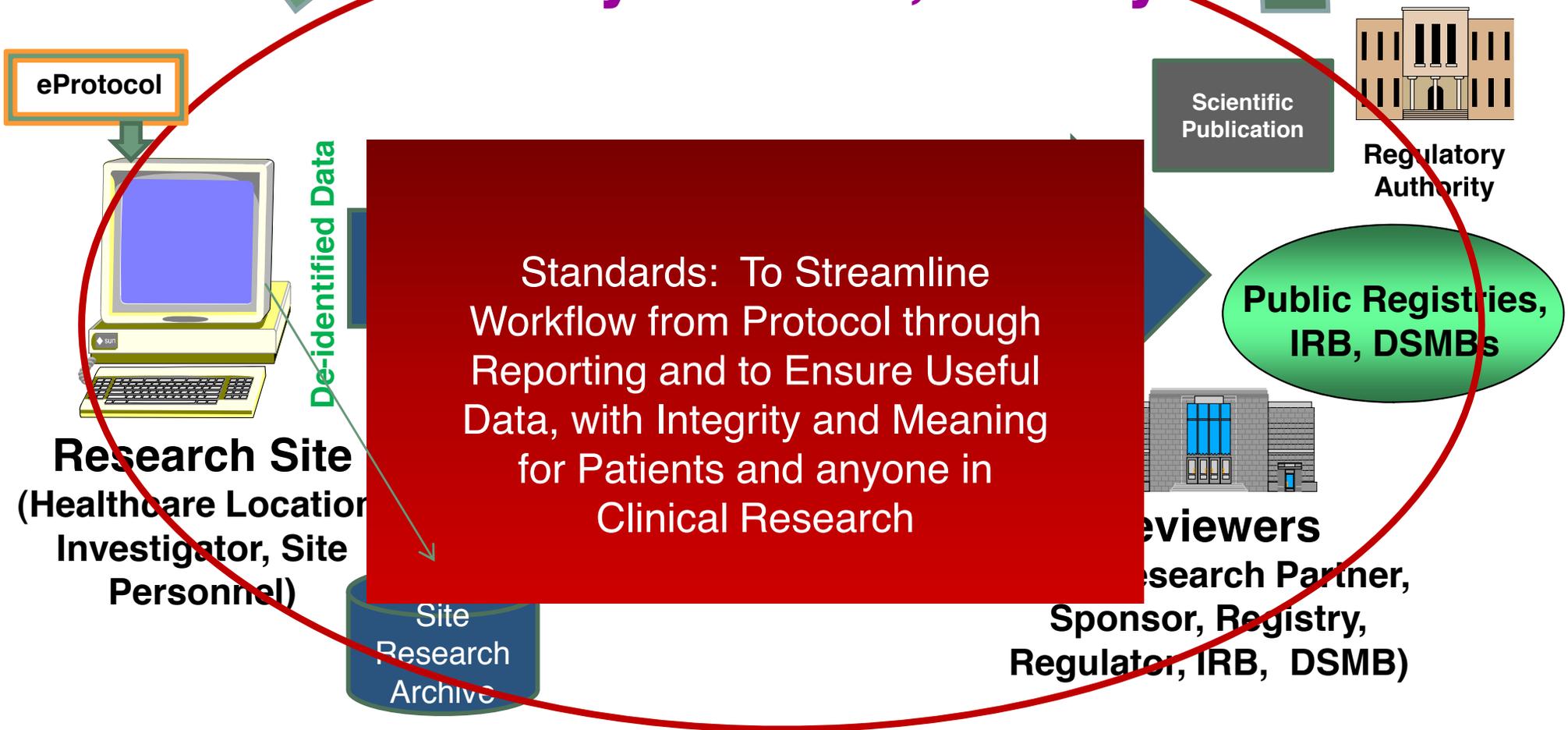
**Study Sponsor**  
(e.g. ARO, CRO, Vendor,  
Principal Investigator,  
potentially AHRQ...)

**Reviewers**  
(e.g. Research Partner,  
Sponsor, Registry,  
Regulator, IRB, DSMB,  
Quality Measures)

# Integrating Workflow: EHRs and Clinical Research, Quality, Safety and Public Health



# Patient Value: Quality of Care, Safety



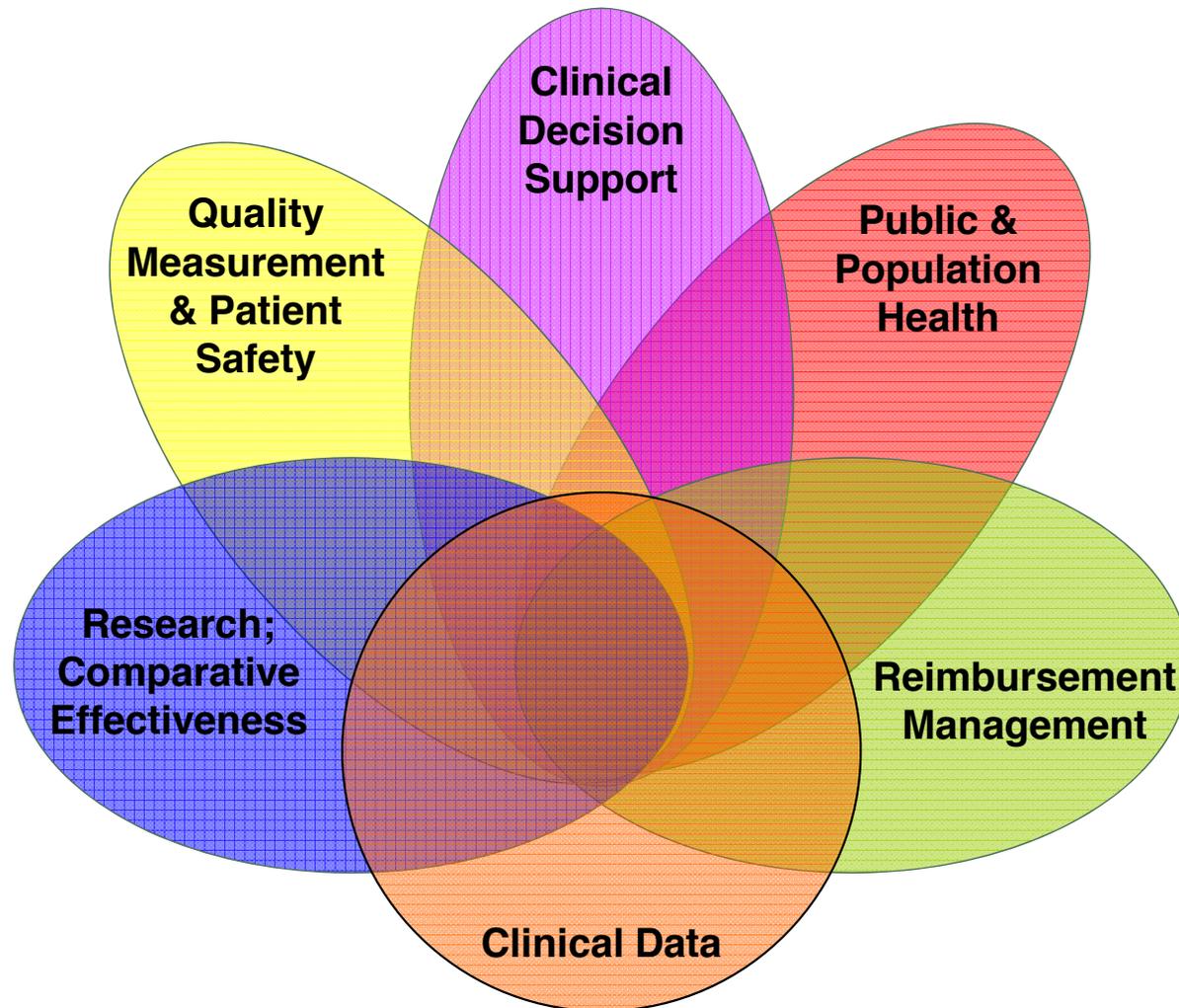
# Capabilities with Available (Core) Data Standards and Integration Profiles/Interoperability Specifications (Standards-inspired Innovation)

- Dramatic **reduction in time and effort to report core data** for safety, research, public health
- Can accommodate eDiaries, patient-entered data, EDC, EHR
- Improved data quality
- Data can be more readily aggregated and analyzed or queried
- Extensible; paves the way for more complex research and clinical genomics for personalized healthcare
- Easily implemented by vendors; endorsed by EHRA

## **NEXT STEP (in progress):**

**Use Protocol (Process) Representation model (study/process design) to program business processes within EHRs to automate scheduling and data collection for research and other data re-use priorities (high throughput phenotyping).**

# Towards Efficiency: Collect Once, Repurpose Many Times

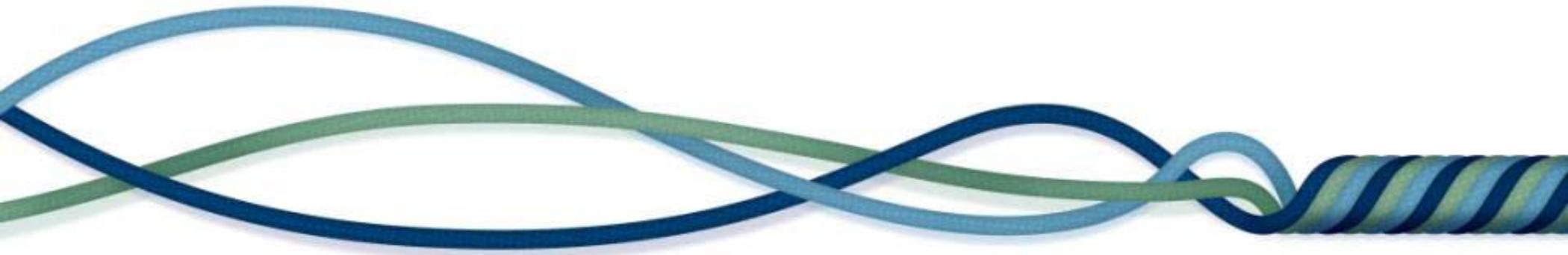


Donald T Mon, PhD, AHIMA

## Harmonized standards/terminology for research and healthcare essential:

- To enable clinicians to perform research and safety monitoring concurrent with clinical care
- To aggregate sufficient data across partners to enable trustworthy research analyses, including comparative effectiveness
- To identify new biomarkers and link them to population characteristics and outcomes
- To reduce the ~ 17-year lag time for research information to inform healthcare decisions.

# **CDISC is more than Standards!**



## ***CDISC Vision***

***Informing patient care and safety through higher  
quality medical research***



"Thank You Absolute Clinical Data System Co., Ltd for all the efforts that have made to promote CDISC in China, as well as this time help in translating these slides into Chinese"

"感谢北京阿贝斯努信息技术有限公司为CDISC在中国所做的工作，并感谢他们将这些幻灯片翻译成中文"

