



# CMC Workshop 2015

April 13-15

Hyatt Regency Bethesda, Bethesda, MD

As of April 7, 2015

## PROGRAM CHAIR:

**Yasmin de Faria Krim, PharmD, MScRA**  
Senior Manager  
Pharma Technical Regulatory  
F. Hoffmann-La Roche Limited, Switzerland

## PROGRAM COMMITTEE:

**Christine Anderson, MS, RAC**  
Senior Research Scientist  
Global Regulatory Affairs CMC  
Eli Lilly and Company

**Nagesh Bandi, PhD**  
Director  
Global CMC  
Pfizer Pharmaceuticals Inc.

**Ganapathy Mohan, PhD**  
Head of Global CMC  
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**Elaine Morefield, PhD, RPh**  
Senior Director  
CMC Strategy, R&D  
Vertex Pharmaceuticals

**Moheb M. Nasr, PhD, MS**  
Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline

**Peter Richardson, PhD**  
Head of Quality  
Human Medicines Evaluation Division  
European Medicines Agency, EU, UK

**Jean-Louis Robert, PhD**  
Head  
Medicines Control Laboratory  
National Health Laboratory, Luxembourg

**Ramesh K. Sood, PhD**  
Senior Scientific Advisor (Acting)  
Office of New Drug Products  
CDER, FDA

**Thirunellai G. Venkateswaran, PhD**  
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## OVERVIEW:

DIA, in cooperation with the American Association of Pharmaceutical Scientists (AAPS), will host a two and a half day workshop that will focus on the current Chemistry, Manufacturing, and Controls (CMC) challenges facing the global pharmaceutical and biopharmaceutical communities from development, implementation, and regulatory perspectives. This interactive workshop offers both plenary and parallel breakout sessions, featuring cross-functional discussions on numerous hot topics.

## LEARNING OBJECTIVES:

At the conclusion of this workshop, participants should be able to:

- Discuss the current CMC topics for chemical and biological products in a globalized environment
- Explain current issues in the area of life cycle management
- State updates in the post-approval regulatory landscape in Latin America and Asia Pacific
- Explain the current situation in the area of QbD and its implementation
- Discuss control strategy, continuous manufacturing, and process validation
- Indicate recent initiatives for quality metrics, breakthrough therapies, and risk-based review
- Recognize issues in the development of pediatrics
- Describe the implementation of global standards for control of elemental impurities

*This program was developed by the CMC Working Group of the DIA Regulatory Affairs Community.*



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*This program is co-sponsored by the American Association of Pharmaceutical Scientists*

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### CONTINUING EDUCATION PHARMACY ALLOCATION

The following sessions are approved for ACPE credit:

- **Session 1: Life Cycle Management:** 1.5 contact hours or .15 CEUs; 0286-0000-15-048-L04-P
- **Session 2B: Post-Approval Change Management Protocols (PACMP):** 1.5 contact hours or .15 CEUs; 0286-0000-15-049-L04-P
- **Session 4: Control Strategy Approaches for Drug Substance and Drug Product:** 2 contact hours or .2 CEUs; 0286-0000-15-050-L04-P
- **Session 5B: Risk-based Review:** 1.5 contact hours or .15 CEUs; 0286-0000-15-051-L04-P
- **Session 6B: Pediatrics:** 2 contact hours or .2 CEUs; 0286-0000-15-055-L04-P
- **Session 6C: Comparability Biologicals:** 2 contact hours or .2 CEUs; 0286-0000-15-053-L04-P
- **Session 8C: Risk Management for Biologicals:** 2 contact hours or .2 CEUs; 0286-0000-15-054-L04-P
- **Session 9B: Breakthrough Therapies:** 1.5 contact hours or .15 CEUs; 0286-0000-15-052-L04-P
- **Session 9C: Clinically Relevant Specifications: Technical Considerations and Regulatory Expectations:** 1.5 contact hours or .15 CEUs; 0286-0000-15-056-L04-P

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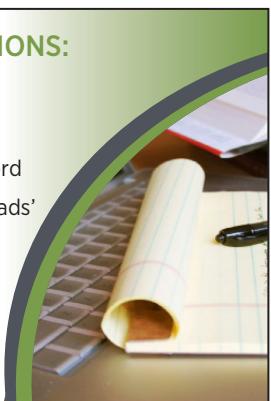
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## MONDAY, APRIL 13

7:30-8:30AM

REGISTRATION/CONTINENTAL BREAKFAST/EXHIBITS

8:30-8:45AM

WELCOME AND OPENING REMARKS

## PROGRAM CHAIR:

**Yasmin de Faria Krim, PharmD, MSc**Senior Manager  
Pharma Technical Regulatory  
Hoffmann-La Roche Limited, Switzerland

8:45-10:15AM

SESSION 1: LIFE CYCLE MANAGEMENT

## SESSION CHAIRS:

**Jean-Louis Robert, PhD**Head  
Pharmaceutical Chemistry Unit  
National Health Laboratory, Luxembourg**Moheb M. Nasr, PhD, MS**Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline

The concepts described in ICH Q8, Q9, Q10 and Q11 provide opportunities for a more science- and risk-based approach for developing medicinal products. However full implementation of these concepts have not been realized mainly with regards to assessing changes across the life cycle of pharmaceutical products. Several gaps exist which limit intended benefits: criteria for a harmonized risk-based change management system that effectively evaluates the impact of change on quality, clarity of the expectations of a knowledge management system that ensures continuity of product and process information, and appropriate level of detail and information sufficient for regulatory assessment and inspection.

Leading regulators from Europe and the United States will join industry speakers to share their perspectives on this topic and provide an update on progress made to date. Presentations will be followed by panel discussions.

**Life Cycle Management – ICH Q12****Moheb M. Nasr, PhD, MS**Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline**Life Cycle Management - Industry Perspective and Case Studies****Ganapathy Mohan, PhD**Head Global CMC  
Merck, Sharp and Dohme, Corp.**Life Cycle Management – EU Perspective****Jean-Louis Robert, PhD**Head  
Pharmaceutical Chemistry Unit  
National Health Laboratory, Luxembourg**Life Cycle Management – FDA Perspective****Robert Iser, MS**Senior Scientific Advisor (Acting)  
Office of Pharmaceutical Quality  
CDER, FDA

10:15-10:45AM

REFRESHMENT BREAK/EXHIBITS

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10:45AM-12:15PM

SESSION 2

**TRACK A:****Technology Transfer****SESSION CHAIR:****C. Narahari Rao**

Senior Scientist  
Purification Process Development  
Bristol-Myers Squibb

**Analytical Method Transfers:  
Practice and Pitfalls****Wayland Rushing, PhD**

Sr. Scientific Advisor  
ABC Labs

**Technology Transfer: CMC activities  
for the manufacture of monoclonal  
antibodies****Patricia M. Seymour Sr.**

Consultant  
BioProcess Technology Consultants, Inc.

**TRACK B:****Post-approval Change Management  
Protocols (PACMP)****SESSION CHAIRS:****Nirdosh Jagota, PhD**

Vice President Regulatory - Small Molecules  
Genentech, A Member of the Roche Group, US

**Wendy Mavroudakis**

Senior Director  
Global Regulatory Affairs  
Johnson & Johnson Pharmaceutical R&D LLC

The focus of this session will be on post approval change management and its application for products in various stages of the life cycle. The speakers will touch on risk based approaches to manage post approval changes and various approaches used in both the generics as well as the branded pharma industry. The speakers will also discuss some of the challenges in the global implementation of changes and discuss steps that need to be taken to facilitate a consistent post approval management pathway that will enable innovation in the industry and help in the utilization of lean principles within the industry.

**Post Approval Change Management:  
FDA Perspective****Christine M. V. Moore, PhD**

Acting Director  
Office of Process and Facilities  
CDER, FDA

**Post Approval Life Cycle Change  
Management - Completing What  
Quality by Design Intended to Deliver****Roger Nosal**

Vice President and Head  
Global CMC  
Pfizer Inc.

**GDUFA Impacts on Post-Approval  
Changes – The Real Deal****Barinder Sandhu, MBA, RAC**

Senior Director  
Regulatory Affairs, US Generics  
Teva Pharmaceuticals

**TRACK C:****Case Studies and Post Approval  
Change Requirements in Latin  
America****SESSION CHAIR:****Bekki Thomas, MS**

Multi-national companies are challenged with the rapidly evolving regulatory environment in Latin America. The region has diverse country specific requirements that impact NCE's and life cycle management of the assets. An industry speaker will present an overview of post approval changes and the impact on industry followed by an update on challenges with international harmonization and RDC 58 discussion presented by the Office of Evaluation of Post Approval Changes of the Brazilian Health and Surveillance Agency. Example case studies of simple post approval changes and the impact to industry will be presented. The session will include an opportunity for CMC experts to ask questions and discuss opportunities for convergence or regionalization of the regulatory requirements.

**Latin America - Post Approval  
Changes and the Industry Perspective****Ivone Takenaka, PhD**

Associate Director  
Global Regulatory - CMC  
Bristol-Myers Squibb Co.

**Post-approval Changes in Brazil:  
Current Scenario and Perspectives****Raphael Sanches Pereira**

Health Regulation Expert  
Office of Evaluation of Post Approval Changes of Synthetic Drugs/GEPRE/SUMED  
Anvisa, Brazilian Health and Surveillance Agency, Brazil

**Post Approval Change Requirements  
and Discussion of New Quality  
Consultation Guidance****Marcio Silva**

Regulatory Governance / P&I Manager  
Emerging Markets & Asia Pacific - Brazil  
GlaxoSmithKline, Brazil

12:15-1:30PM LUNCH/EXHIBITS

1:30-3:00PM

SESSION 3

**TRACK A:****Elemental Impurities**

SESSION CHAIR:

**John F. Kauffman, PhD**  
 Deputy Director  
 Division of Pharmaceutical Analysis  
 CDER, FDA

This session will address implementation of recently developed standards and guidelines for control of elemental impurities in drug products. Topics will include implementation of ICH Q3D: Guideline on Elemental Impurities and an update on USP chapters <232> and <233>. An industry perspective on the standards and guidelines will also be presented. At the conclusion of the presentations, the panel of speakers will be available to answer questions from the audience.

**Elemental Impurities:  
FDA Perspective**

**Danae Christodoulou, PhD**  
 Acting Branch Chief  
 Office of Pharmaceutical Quality, ONDP  
 CDER, FDA

**USP Elemental Impurities:  
An Update**

**Kahkashan Zaidi, PhD**  
 Principal Scientific Liaison  
 USP

**Industry Perspective on Elemental Impurity Standards and Guidelines**

**Melissa Figgins**  
 Director PMO  
 Sandoz

**TRACK B:****Quality Metrics**

SESSION CHAIR:

**Peter Carbone**  
 Novartis Pharmaceutical

**OPQ's role in CDER's 21st Century Quality Initiative**

**Ashley Boam**  
 Acting Director  
 Office of Policy for Pharmaceutical Quality  
 CDER, FDA

**Industry Perspective**

**Laura Cannon**  
 Senior Director Quality  
 TEVA

**TRACK C:****Post-Approval Regulatory Landscape in Asia Pacific – Challenges to Multi-National Companies**

SESSION CHAIR:

**Chi-wan Chen, PhD**  
 Executive Director  
 Global CMC  
 Pfizer Inc.

In the rapidly growing emerging market, multi-national companies (MNCs) face tremendous challenges in ensuring that they are in compliance with regional and country-specific regulations and policies while maintaining the drug supply to patients throughout the product life cycle. Nowhere are these challenges as pronounced as the Asia Pacific region whose 15 countries/autonomies have extremely diverse regulatory histories, systems, and capacities. This session will provide a close examination of the post approval landscape across the region and an opportunity for MNC regulatory CMC experts to share their experiences with the audience.

**What is New in China?**

**Chi-wan Chen, PhD**  
 Executive Director  
 Global CMC  
 Pfizer Inc.

**ASEAN Region – Is it Harmonized?**

**Susan E. Stoltz**  
 Sr. Research Scientist  
 CMC Regulatory Affairs  
 Eli Lilly and Company

**Experiences in Other Asian Countries – Japan, South Korea, and Taiwan**

**Nirdosh Jagota, PhD**  
 Vice President Regulatory - Small Molecules  
 Genentech, A Member of the Roche Group, US

3:00-3:30PM

REFRESHMENT BREAK/EXHIBITS

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3:30-5:30PM

SESSION 4

**Control Strategy Approaches for Drug Substance and Drug Product**

SESSION CHAIR:

**Elaine Morefield, PhD, RPh**Senior Director  
CMC Strategy, R&D  
Vertex Pharmaceuticals

This session will look at approaches to developing, maintaining, and improving the control strategy throughout the product life cycle, from a drug substance, drug product, and regulatory perspective. Following the presentations, a discussion of control strategy approaches in described situations will allow the audience to share their insights and approaches and to ask questions of the panel members.

**Life Cycle Approach to Drug Substance Development****Nick Thomson, PhD**Director Technology API  
Chemical Research and Development  
Pfizer Ltd.**Development and Continual Improvement of the Drug Product Control Strategy****Stephen Tyler**Director  
Quality Assurance  
AbbVie**Regulatory Considerations for the Development of Control Strategy****Sarah Pope Miksinski, PhD**Acting Director  
Office of Pharmaceutical Quality, Office of New Drug Products  
CDER, FDA

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## TUESDAY, APRIL 14

7:00-8:00AM

REGISTRATION/CONTINENTAL BREAKFAST/EXHIBITS

8:00-9:30AM

SESSION 5

## TRACK A:

## Continuous Manufacturing

## SESSION CHAIRS

## Moheb M. Nasr, PhD, MS

Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline

## Jean-Louis Robert, PhD

Head  
Pharmaceutical Chemistry Unit  
National Health Laboratory, Luxembourg

Continuous manufacturing is gaining momentum in industry and is supported by major regulators. This session will assess industry implementation strategy, the current regulatory environment, and existing guidelines and their impact on manufacturing innovation. Presentations will outline key industry and regulatory concerns, gaps, challenges, and propose a way forward to facilitate speedy implementation.

Industry and regulatory presentations will be followed by panel discussion.

## Regulatory and Quality Considerations for Continuous Manufacturing

## Moheb M. Nasr, PhD, MS

Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline

## Jean-Louis Robert, PhD

Head  
Pharmaceutical Chemistry Unit  
National Health Laboratory, Luxembourg

## Continuous Manufacturing – Implementation Strategy: Industry Perspective

## Moheb M. Nasr, PhD, MS

Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline

## Continuous Manufacturing – Regulatory Challenges and Opportunities

## Jean-Louis Robert, PhD

Head  
Pharmaceutical Chemistry Unit  
National Health Laboratory, Luxembourg

## TRACK B:

## Risk-based Review

## SESSION CHAIR:

## Nirdosh Jagota, PhD

Vice President Regulatory - Small Molecules  
Genentech, A Member of the Roche Group

Patient confidence in the quality of a product relies on regulatory approval of a product registration, which in turn relies on a company's effective demonstration and conveyance of control of the quality attributes of that product. Benefits of a product with respect to patient needs have to be appropriately balanced with management of risks and uncertainty. This session will focus on how quality attributes can be conveyed in a product registration to effectively demonstrate how quality attributes are controlled and managed and can be reviewed to provide confidence in the quality of a product.

Confidence in Quality:  
A Proposal to Unify Approaches to Risk-based Regulatory Review

## Roger Nosal

Vice President and Head  
Global CMC  
Pfizer Inc.

## Presentation Title TBD

## Diane J. Zezza, PhD

Vice President  
Global Regulatory Affairs, CMC  
Novartis Pharmaceuticals Corporation

Integrated Quality Assessment:  
An Update on Team-Based Review and One Quality Voice

## Sarah Pope Miksinski, PhD

Acting Director  
Office of Pharmaceutical Quality  
Office of New Drug Products  
CDER, FDA

## TRACK C:

## Process Validation/Verification – Biologicals

## SESSION CHAIR:

## Kowid Ho, PhD

Pharma Technical Regulatory Policy  
F. Hoffmann-La Roche Ltd.

The product life cycle concept was introduced in ICH Q8 and Q10 guidelines. ICH Q11 describes process validation in a life cycle context that can include the collection and evaluation of data, from the process design stage throughout production.

These concepts are being implemented in ICH regions. This session will discuss the regulatory expectations and implementation of these concepts in the US and EU.

## Integration of Control Strategy, Process Validation and Life cycle Management for Biologics Process Validation

## Ronald Bates

Bristol-Myers Squibb

## Implementation of Evolving Process Validation Principles for Legacy Products

## Marco Strohmeier, PhD

Manager  
Quality Assurance Improvement & Reliability  
Roche Diagnostics GmbH, Germany

9:30-10:00AM

REFRESHMENT BREAK/EXHIBITS

10:00AM-12:00PM

SESSION 6

**TRACK A:****Process Validation/Verification – Chemicals**

## SESSION CHAIR:

**Kevin Seibert, PhD, MS**Senior Research Advisor  
Chemical Product R&D  
Eli Lilly and Company

With the changing landscape of small molecule syntheses, and the implementation of many Quality by Design principles into the drug development as well as commercialization activities, traditional approaches to process validation may not provide a sufficient data package or confidence to ensure robust long term manufacture. Infrequent production, desired flexibility, and alternative synthetic platforms (i.e. flow chemistry) offer unique challenges and therefore validation or ongoing verification strategies that support these activities need to be considered. This session will provide examples of validation and verification strategies useful for addressing the changing regulatory landscape. Time will be reserved for a panel discussion and audience participation is highly encouraged.

**Validation Challenges of a Small Molecule Flow Chemistry Process****Kevin Seibert, PhD, MS**Senior Research Advisor  
Chemical Product R&D  
Eli Lilly and Company**FDA Perspective****Christina Capacci-Daniel, PhD**Consumer Safety Officer  
Office of Process and Facilities  
Office of Pharmaceutical Quality  
CDER, FDA**TRACK B:****Pediatrics**

## SESSION CHAIR:

**Peter Richardson, PhD**Head of Quality  
Human Medicines Evaluation Division  
European Medicines Agency, UK

This session will look at some of the issues arising during development of pediatric formulations; covering industry experiences and reflecting on the regulatory requirements in both the USA and EU. Progress continues to be made in this area and EMA has recently reviewed guidance at a workshop with industry. Outcomes from the workshop and how these may facilitate further evolution of this topic will be considered by speakers from both industry and regulatory authorities.

**Challenges Associated with Developing Medicines for Children: An Industry Perspective****Gossett Campbell, PhD**Senior Scientific Investigator  
Global Formulation Development  
GlaxoSmithKline**Navigating the Pediatric Formulation Landscape: “Considerations for a Successful Global Journey”****Robert L. Ternik, PhD**Senior Research Advisor  
Eli Lilly and Company**Pediatric Medicines – EU Regulatory Perspective****Piotr Kozarewicz, MSc**Head of Evaluation Procedures Service B  
European Medicines Agency, UK*This presentation will be given via WebEx***TRACK C:****Comparability Biologics**

## SESSION CHAIR:

**Anthony Ridgway, PhD**Senior Regulatory Scientist  
Office of the Director  
Health Canada, Canada**An Industrial View of Comparability Applied to Biopharmaceuticals****Anthony S. Lubiniecki, ScD**Senior Scientific Director & Fellow  
Janssen R&D LLC**FDA Perspective****Linan Ha, PhD**Team Leader  
Division of Biotechnology Review & Research I  
Office of Biotechnology Products, Office of  
Pharmaceutical Quality  
CDER, FDA**European Perspective****Kowid Ho, PhD**Pharma Technical Regulatory Policy  
F. Hoffman-La Roche, Ltd.

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12:00-1:30PM

LUNCH/EXHIBITS

1:30-3:00PM

SESSION 7

**Implementation of QbD**

SESSION CHAIR:

**Peter Richardson, PhD**Head of Quality  
Human Medicines Evaluation Division  
European Medicines Agency, UK

Industry and regulators have invested substantial resources in the Quality by Design project over recent years. This session will look at some of the gains that have been made for both small (chemical) and large (biological) molecules in this area and address real benefits versus expectations. The field has matured significantly with the implementation of ICH Q8, 9, 10, and 11 guidelines; however, there still remain opportunities to facilitate implementation. Recent progress in the area will be reviewed, such as outcomes from EMA QbD workshop and other FDA initiatives, with perspectives from both industry and regulators.

**The Present and Future of QbD for New Drugs:  
An FDA Perspective****Sharmista Chatterjee, PhD**CMC Lead for QbD  
Office of New Drug Quality Assessment  
CDER, FDA**QbD Where Next – Industry Experience and Perspectives****Frank Montgomery**Global Head Regulatory CMC  
AstraZeneca, UK**Targeting “Quick but Decisive” Analytics to Support  
Advanced Process Controls****Kazumi Kobayashi, PhD**Senior Principal Scientist  
Technical Development  
Biogen Idec

3:00-3:30PM

REFRESHMENT BREAK/EXHIBITS



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Lawrence X. Yu, PhD Deputy Director Office of Pharmaceutical Quality CDER, FDA	Janet Woodcock, MD Director Center for Drug Evaluation and Research FDA

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3:30-5:30PM

SESSION 8

**TRACK A:****Models, Control Strategy and Regulatory Implications: Some Thoughts and Examples from Industry**

SESSION CHAIR:

**Frank Montgomery**Global Head Regulatory CMC  
AstraZeneca, UK

The Points to Consider ICH Endorsed Guide for ICH Q8/Q9/Q10 Implementation describes the use of models and their categorization from high to low impact depending on level of risk to patient when used as part of the control strategy. There are a variety of ways that this information can be incorporated into the control strategy to assure product quality and process consistency as part of a marketing application. This session will look at a variety of ways that models have been used to support marketing applications, the data and statistical analysis that was needed gain approval, and some considerations of how this could evolve in the future. For example a Design Space may be associated with RTRT, or may be part of a control strategy that includes extensive end-product testing; consequently the degree of assurance and the level of statistical confidence required can vary.

**Model Implementation: It Really Is All About the Control Strategy****John Lepore, PhD**QbD and CMC Practices Lead  
Global Pharmaceutical Commercialization  
Merck**Science and Strategy: The Role of Models in Achieving Manufacturing Flexibility****Matt Popkin, PhD**Product Development Global Regulatory Liaison  
GlaxoSmithKline, UK**Statistical Models and Tools for the Assessment of Process Parameter Criticality****Nathan Ide, PhD**Senior Principal Scientist  
Pfizer**TRACK B:****FDA/EMA QbD Pilot Program Update**

SESSION CHAIR:

**Ganapathy Mohan, PhD**Head of Global CMC  
Merck & Co., Inc.

Quality by Design (QbD) has become a way of life in the pharmaceutical industry in how we develop drugs. This was piloted in the early 2000s (around 2004-2005) by the US FDA and submissions were filed by sponsors for review and approval by the US FDA. In the years since the pilot program was started, a number of continuous improvements have been made to the understanding, expectations of regulators, and the implementation from the industry. However, the expectations from the regulators around the world have not been fully harmonized. In 2011, FDA and EMA announced the joint QbD Pilot Program which would help to bring the review process closer than before between the two regulatory bodies.

This session will have leading regulators, from Europe and the United States, and an industry speaker share their perspectives on this topic and provide an update on progress made to date. Presentations will be followed by panel discussions.

**US FDA Regulatory Perspectives of the FDA/EMA QbD Pilot Program****Christine M. V. Moore, PhD**Acting Director  
Office of Process and Facilities  
CDER, FDA**Industry Perspectives of the FDA/EMA QbD Pilot Program****Roger Nosal**Vice President and Head  
Global CMC  
Pfizer Inc.**EU Regulatory Perspectives of the FDA/EMA QbD Pilot Program****Jean-Louis Robert, PhD**Head  
Pharmaceutical Chemistry Unit  
National Health Laboratory, Luxembourg**TRACK C:****Risk Management for Biologicals**

SESSION CHAIR:

**Peter Richardson, PhD**Head of Quality  
Human Medicines Evaluation Division  
European Medicines Agency, UK

This session will look at some of the issues arising in managing risks for large (biological) molecules, mainly from the perspective of QbD. ICH guidelines detail approaches to risk management, however interpretation of these concepts have not always been consistently applied to the development of control strategies for biological substances. Of particular importance is providing assurance that Critical Quality Attributes have been appropriately identified and placed in the control strategy. Risk ranking and filtering is an important tool for this purpose, yet other tools can be employed to achieve this. Speakers with industry and regulatory experience will share their perspectives on how this may be applied for innovator and biosimilar products.

**Fit For Purpose Quality Attribute Risk Assessments****Patrick Swann, PhD**Senior Director  
Technical Development  
Biogen Idec**Risk Management Applied to Control Strategy****Kowid Ho, PhD**Pharma Technical Regulatory Policy  
F. Hoffmann-La Roche Ltd.**Quality Risk Management in the Biosimilar Development****Martin Schiestl, PhD**Scientific & Regulatory Advisor  
Sandoz GmbH, Austria

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## WEDNESDAY, APRIL 15

7:00-8:00AM

REGISTRATION/CONTINENTAL BREAKFAST/EXHIBITS

8:00-9:30AM

SESSION 9

### TRACK A:

#### API Starting Materials – Implementation

SESSION CHAIR:

**D. Scott Coffey, PhD**

Sr. Director CMC, Chorus and Global External R&D  
Eli Lilly and Company

API starting material selection and justification is a critical activity in the drug development and commercialization process. General principles for the selection of API starting materials are outlined in ICH Q11. The application of these guidelines, however, has varied from company to company, and interpretation of the guidelines by pharmaceutical companies and regulatory agencies has been inconsistent. This has led to frustration by both industry and regulatory agencies and can lead to delays in drug development timelines and to significant changes in supply chain strategies. This session will provide examples of the implementation of API starting material strategies, feedback from regulatory agencies, and a regulatory agency view on API starting materials. Time will be reserved for a panel discussion, and audience participation is highly encouraged.

#### Definition of Starting Materials for the Synthesis of the Active Substance – The EMA View

**Robert Bream, PhD**

Quality Specialist  
European Medicines Agency, UK

*This presentation will be given via WebEx*

#### Science and the Supply Chain: Challenges in the Application of ICHQ11 Principles for the Selection and Justification of API Starting Materials

**Michael A. McGuire, PhD**

Quality by Design Lead/ CMC Technical Lead  
GlaxoSmithKline

#### Recent Approaches to Registered Starting Materials

**John Pavey, PhD**

Director  
Global Chemical Development  
AstraZeneca, UK

### TRACK B:

#### Breakthrough Therapies

SESSION CHAIR:

**Ramesh K. Sood, PhD**

Senior Scientific Advisor (Acting)  
Office of New Drug Products  
CDER, FDA

Section 902 of the Food and Drug Administration Safety and Innovation Act (FDASIA), passed in year 2012, provides a roadmap for expedited development and review of drugs for serious or life-threatening diseases or conditions where the preliminary clinical evidence indicates that the drug may demonstrate substantial improvement over existing therapies. This has provided an excellent opportunity for the pharmaceutical industry and the regulators to develop and make available medically necessary treatments to the American public. The development and approval of such therapies typically involve a rapid manufacturing development program to accommodate the accelerated pace of the clinical development.

Regulators from the Food and Drug Administration and pharmaceutical industry speakers will share their experiences, successes, and challenges faced during the development and approval process of such therapies. Presentations will be followed by panel discussions.

#### Breakthrough Therapy Drugs: An Industry Perspective

**Brian Kelley, PhD**

Vice President  
Bioprocess Development  
Genentech, A Member of the Roche Group

#### An Industry Experience With Breakthrough Therapy Drugs

**John Groskopf, MBA**

Senior Director  
Pfizer Inc.

#### CMC Submission Strategies for Breakthrough Therapies: Successes and Challenges - FDA Perspective

**Dorota Matecka, PhD**

Branch Chief (Acting)  
Office of New Drug Products  
CDER, FDA

#### Manufacturing Challenges for Breakthrough Therapies

**Robert Wittorf, PharmD**

Pharmacist  
Office of Pharmaceutical Quality  
Office of Process and Facilities  
CDER, FDA

### TRACK C:

#### Clinically Relevant Specifications: Technical Considerations and Regulatory Expectations

SESSION CHAIR:

**Nagesh Bandi, PhD**

Director  
Global CMC  
Pfizer Pharmaceuticals, Inc.

Due to the critical role that dissolution plays in the bioavailability of a drug, in vitro dissolution can serve as a relevant predictor of the in vivo performance of the drug product. There is growing evidence that clinically meaningful dissolution specifications will minimize variability to the patient and therefore will optimize drug therapy. This session will discuss the relevant CMC and clinical pharmacology-related factors that should be considered in developing a clinically relevant dissolution method and specifications. In addition, the regulatory expectations and considerations will be illustrated.

#### Novel Approaches to Better Understand Drug Product Design and Testing

**Phillip Floyd, PhD**

Head  
Analytical Development  
GlaxoSmithKline

#### Strategies for Ensuring Dissolution and Clinical Performance

**Vivek Purohit, PhD**

Director  
Pfizer Inc.

#### Clinically Relevant Specifications: FDA Perspective

**Angelica Dorantes, PhD**

Biopharm Lead  
Office of Pharmaceutical Quality  
CDER, FDA

9:30-10:00AM

REFRESHMENT BREAK/EXHIBITS

10:00AM-12:00PM

SESSION 10

**Updates from Regulatory Agencies  
(Dossier Review/Inspections)  
FDA, EMA and Other Global Agencies**

SESSION CHAIR:

**Moheb M. Nasr, PhD, MS**  
Vice President  
CMC Regulatory Strategy  
GlaxoSmithKline

To conclude the workshop, this last session will provide updates from experts from regulatory agencies as well as a true opportunity for a dialogue with the audience.

SPEAKER EU:

**Peter Richardson, PhD**  
Head of Quality  
Human Medicines Evaluation Division  
European Medicines Agency, UK

SPEAKER BRAZIL:

**Raphael Sanches Pereira**  
Health Regulation Expert  
Office of Evaluation of Post Approval Changes of Synthetic Drugs/GEPRE/SUMED  
Anvisa, Brazilian Health and Surveillance Agency, Brazil

SPEAKER JAPAN:

**Yoshihiro Matsuda**  
Deputy Director  
Office of Standards and Guidelines Development  
Pharmaceuticals and Medical Devices Agency (PMDA), Japan

SPEAKER FDA:

**Lawrence Yu, PhD**  
Deputy Director, Office of Pharmaceutical Quality  
CDER, FDA, US

**Panel Discussion**

ALL PARTICIPANTS ABOVE AND:

**Anthony Ridgway, PhD**  
Senior Regulatory Scientist  
Office of the Director  
Health Canada, Canada

12:00PM

WORKSHOP ADJOURNED

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DIA Communities are unique global forums offering neutral and multidiscipline opportunities to develop professionally while raising the level of health and well-being worldwide.

DIA would like to thank the CMC Working Group of the DIA Regulatory Affairs Community for helping to develop this program.



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